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INSTITUTE OF JUDICIAL ADMINISTRATION (IJA)  
Oral History of Distinguished American Judges

**HON. JOHN PAUL STEVENS**  
**JUSTICE, U.S. SUPREME COURT**  
**An Interview**

with

Troy A. McKenzie,  
Professor of Law  
New York University School of Law

Carol F. Lee,  
Taconic Capital Advisors

Samuel Estreicher,  
Dwight D. Opperman Professor of Law  
New York University School of Law

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[START RECORDING]

MR. TROY A. MCKENZIE: Justice

00:00:24

Stevens, good morning.

HONORABLE JUSTICE JOHN PAUL STEVENS:

Good morning.

MR. MCKENZIE: Thank you for

participating in this, and we will be

conducting an oral history of the

Justice with the goal not so much of

discussing the Justice's time on the

Supreme Court, but some of the

experiences and influences from his

00:00:45

life before coming to the Court,

including his educational and

professional background, his time on

the Seventh Circuit, and then later

his transition to Associate Justice

on the Supreme Court.

MS. CAROL F. LEE: Perhaps to begin

at the beginning, with the University

of Chicago Lab Schools, your law

clerk, Ed Siskel, wrote that in many

00:01:08

ways, you are the intellectual heir

of John Dewey, who was the founder of

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the Lab Schools.<sup>1</sup> And we thought we might ask you whether you think there was any intellectual influence that

00:01:22      John Dewey had on you through the Lab Schools.

JUSTICE STEVENS: It's very interesting because I just don't know. I had heard of John Dewey, but I did not realize that he was one of the people responsible for the Lab School. But it was a very good school, and I remember it very well. They had good faculty and good people, and I enjoyed my time there very much.

00:01:45      MS. LEE: I think what Ed was thinking about was that Dewey is often described as a pragmatist, and you, yourself, have been described as a pragmatist as a judge. One of the

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<sup>1</sup> Edward Siskel, *The Business of Reflection*, University of Chicago Magazine, August 2002. John Dewey (1859-1952), a leading proponent of the American school of thought known as pragmatism, espoused an empirically based theory of knowledge. He viewed learning as a process in which humans actively manipulated and adapted to their environment. He founded the laboratory school at the University of Chicago, where he sought to apply his pedagogical ideas. Dewey left Chicago for Columbia in 1904.

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hallmarks of Dewey's thinking was constantly reexamining, reflecting on ideas and values in light of concrete situations.

00:02:11

JUSTICE STEVENS: Well, I just don't know. I haven't thought of the association with Dewey. The Lab School was different from other

00:02:22

grammar schools because it was a six-year program. And the high school had a sub-freshman year which combined the seventh and eighth grades. And I always thought that was great because we got finished up a year earlier than many of my contemporaries. But I didn't ever think of it in the pattern of Dewey.

00:02:49

MR. MCKENZIE: Justice, I wanted to ask you a little bit about your family growing up. And you came from a very prominent family that was active in business and civic affairs in the city of Chicago up through the time of the Great Depression. And I wanted to ask you just about your

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experiences growing up. Do you

remember your family's views about

the role of business and government

00:03:15      and the courts as a general matter?

JUSTICE STEVENS: Well, my parents

were Republicans, and my father was

active in business and active in the

community too. And he

00:03:28      was very proud of the city. He came

from Colchester, Illinois. And I

recently received a brick from the

present owner of the area where he

grew up, and there's a stained glass

window in a church dedicated to his

grandfather. So there's the history

of Illinois that the family's had.

And his grandfather's name was

Socrates Stevens. It was Socrates

00:04:04      and Amanda.

MR. MCKENZIE: Well, actually I wanted

to follow up on that. You mentioned

a church that was associated with the

family. Did your family have strong

religious views one way or the other?

JUSTICE STEVENS: Yes, my mother was

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a very devout Christian

00:04:20      Scientist, and her mother also was a  
Christian Scientist. And there was  
some conflict between my parents on  
that particular faith. But she was  
really a very strong believer in mind  
00:04:37      over matter.

MR. MCKENZIE: And did they raise you  
as a Christian Scientist?

JUSTICE STEVENS: Yes, I went to  
Sunday school and was active for, as  
a young boy. And she in fact every  
summer went to Boston to be at the  
annual reunion of Christian  
Scientists or something. So she was  
quite a believer.

00:05:04      MR. MCKENZIE: Growing up, did you  
have a sense of your family's  
economic status as opposed to other  
schoolchildren, others in the city of  
Chicago?

JUSTICE STEVENS: Well, yes, until  
the Depression came, the family was  
very wealthy and we had a place up in  
Michigan and a very nice home on 58<sup>th</sup>

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Street in Chicago. And I was

00:05:34      conscious of the fact, but it didn't  
change... I mean most of my friends  
were of course from the school, and  
we all got along very well together.  
But of course those things changed  
00:05:48      with the Depression.

MR. MCKENZIE: And how did the  
Depression change the circumstances  
of your family?

JUSTICE STEVENS: Well, we went from  
being the dominant figure in the  
hotel business in Chicago to losing  
the interest in the hotel.<sup>2</sup> The  
family basically lost its wealth.  
And my dad, after the Depression, was

00:06:16      employed as a hotel manager in a  
hotel on the South Side of Chicago,  
first the Hyde Park Hotel and the  
Sherry Hotel.

MS. LEE: Continuing with your  
childhood, you grew up in the Hyde

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<sup>2</sup> Justice Stevens's family owned the Stevens Hotel in Chicago, the world's biggest hotel at the time it opened in May 1927. They lost the hotel to creditors in June 1932. BILL BARNHART & GENE SCHLICKMAN, JOHN PAUL STEVENS: AN INDEPENDENT LIFE 26, 31 (2010).

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Park area of Chicago?<sup>3</sup>

JUSTICE STEVENS: Yes.

MS. LEE: What was Hyde Park like?

And what kinds of people did you

00:06:39      associate with? Did you...

JUSTICE STEVENS: Well, several of my  
friends were in families that worked  
on the faculty of the university.

There were a

00:06:51      member of the Bogert family. George  
Bogert was a professor at the law  
school,<sup>4</sup> and others who had a  
connection with teaching. Fielding  
Ogburn, the Ogburn was a sociology  
professor at the university.<sup>5</sup> And so  
among my friends were always children  
of people associated with the  
university.

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<sup>3</sup> Hyde Park is a neighborhood on the South Side of Chicago where the University of Chicago was established in 1891. Until the middle of the 20<sup>th</sup> century, Hyde Park's population was almost entirely white.

<sup>4</sup> George Gleason Bogert, the author of a treatise on trusts, was a professor of law at the University of Chicago from 1925 to 1949.

<sup>5</sup> William Fielding Ogburn (1886-1959), a sociologist, statistician, and educator, became a professor in the Sociology Department at the University of Chicago in 1927, where he taught until 1951.



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MS. LEE: Did you come into contact

00:07:19

with people of different ethnic

groups or different races or...

JUSTICE STEVENS: No, the only

different ethnic group was the

[Jewish] community; anti-Semitism was

prevalent in the area. But I didn't

have a problem with that because

there were several Jewish children in

the school and among my friends. So

that never

00:07:48

colored my own thinking. But there

was much more anti-Semitism prevalent

in society at that time that people

sometimes have forgotten about.

00:08:01

MS. LEE: And you were aware of that.

Did it bother you that there was

anti-Semitism?

JUSTICE STEVENS: Yes, it did because

I had some very good friends. And

when I got into college, later on I

went not only to the grammar school

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and U. high,<sup>6</sup> but I went to the

University of Chicago too. And I

00:08:18 joined a fraternity,<sup>7</sup> which before the year I joined it--in fact most fraternities [were non-Jewish], there were some Jewish fraternities and non-Jewish. But there were three of us who were very good friends, one of whom was Jewish. And we wouldn't join the fraternity unless they changed their rules. So we broke, we made a step in the right direction in that area.

00:08:45 MS. LEE: Turning to another feature of the time that you were growing up, Prohibition, did you have a view at the time or form a view later about the wisdom of Prohibition?

00:08:59 JUSTICE STEVENS: Well, I do remember when it came to an end, and they

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<sup>6</sup> John Paul Stevens attended the University of Chicago Lab Schools, founded by John Dewey, from elementary school through high school. BARNHART & SCHLICKMAN, *supra* note 2, at 23.

<sup>7</sup> John Paul Stevens was a member of Pi Upsilon fraternity, which had been his father's fraternity when he attended the University of Chicago. The Oyez Project, John Paul Stevens, [http://www.oyez.org/justices/john\\_paul\\_stevens](http://www.oyez.org/justices/john_paul_stevens).

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	permitted 3.2 beer, the first change.
	And I think that was in '32, wasn't it, that the 18 <sup>th</sup> Amendment was succeeded by the end of Prohibition? <sup>8</sup>
	But it didn't have much impact on my own life because both of my parents were non-drinkers, and they were both during Prohibition and thereafter.
00:09:28	So it didn't change our particular personal life. But I do remember feeling that it was a very stupid program. But I do also remember traveling in the South, there was a difference. They didn't have, alcohol was not generally available in the South as it was in the North shortly after Prohibition.
	Everything, it was state option. The
00:09:58	states did what they wanted to do.
	MR. MCKENZIE: Justice, you mentioned what the Great Depression did to your family's fortunes, and I wanted to

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<sup>8</sup> On March 22, 1933, President Franklin D. Roosevelt signed the Cullen-Harrison Act, which amended the Volstead Act to permit the manufacture and sale of 3.2% beer. The Eighteenth Amendment was repealed by the Twenty-First Amendment, which was ratified on December 5, 1933.

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ask you about something related to

00:10:10

that, which was the criminal case involving your father.<sup>9</sup> Did that affect your views of either what due process means or about the importance of appellate review? Because on appeal, your father's conviction was reversed, and the court said there wasn't a scintilla of evidence against him.<sup>10</sup> Do you think that planted a seed of your interest in

00:10:38

due process or appellate review?

JUSTICE STEVENS: You know, I've been asked that before. I'm not sure. I just don't know, because I was quite young at the time. And I never took the whole criminal proceeding as seriously as perhaps I should have, because I had complete faith in my father's innocence, which was

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<sup>9</sup> John Paul Stevens's father, Ernest Stevens, was charged with embezzlement for investing assets of a family-owned life insurance company in bonds issued by the family-owned Stevens Hotel. He was tried before a jury in Illinois state court and convicted in October 1933. The conviction was overturned on appeal the following year. BARNHART & SCHLICKMAN, *supra* note 2, at 26, 31-34.

<sup>10</sup> *People v. Stevens*, 193 N.E. 154, 160 (Ill. 1934).

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vindicated later on. And I never

00:11:02

really considered the possibility he might spend some time in prison. It just wasn't something that seemed likely to happen, even after the conviction. And so I don't remember

00:11:17

having a particular reaction to trial process and all that. I think that came later.

MR. MCKENZIE: One of the features of the case was sensational press coverage. . .

JUSTICE STEVENS: Correct.

MR. MCKENZIE: Including Hearst papers. Did you remember reading any of those stories in the paper? Did they affect your. . .

00:11:37

JUSTICE STEVENS: Yes, I did.

MR. MCKENZIE: . . . views about the press?

JUSTICE STEVENS: I did, and I remember developing you might say a hostility to the way in which the press emphasized it and made it a matter of great public interest,

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00:11:53	their banner headlines and things  like that. And in fact, one of those  banner headlines had something said  like Stevens charged with  embezzlement of a million dollars or
00:12:07	something. <sup>11</sup> And that headline I think  was probably responsible for the home  invasion that occurred a few days  later in Hyde Park. And I do. . .  MR. MCKENZIE: That's when your  family home was invaded by. . .  JUSTICE STEVENS: Yes, four guys came  in around 6:00 in the evening, one of  them dressed as a police officer, and  they purportedly
00:12:33	were going to serve a warrant on my  dad. And in fact, what they did is  they came in and cut the phone wires  and conducted a thorough search of  the house and voiced a few threats of  things that might happen. But I do  associate that event with the

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<sup>11</sup> Justice Stevens may have been referring to a headline, *Ernest J. Stevens Arrested in \$1,000,000 Conspiracy*, CHICAGO HERALD & EXAMINER, Jan. 28, 1933, at 1. See BARNHART & SCHLICKMAN, *supra* note 2, at 275, 19.

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                 publicity that came before.

MS. LEE:    Now onto the University of  
Chicago.    You were an English major .  
                 . . .

00:13:10      JUSTICE STEVENS:    Correct.

MS. LEE:    At the University of  
Chicago.    And it is tempting to  
wonder whether the reading of  
literary texts that you undertook as  
a student of

00:13:24      literature had any influence on the  
way that you subsequently read and  
interpreted legal texts.

JUSTICE STEVENS:    Well, yes, the  
answer is yes, and I always thought  
that being able to read and  
understand lyric poetry would be the  
best training that a young lawyer  
could get to learn and understand  
statutes.    You have to stop and think

00:13:49      about what some ambiguous provisions  
mean and so forth.    And I do remember  
thinking that my training in the  
English department was very, very  
helpful later on when I was trying to

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read statutes and other legal  
documents.

MS. LEE: You also were a student in  
the Great Books curriculum at. . .

JUSTICE STEVENS: Yes.

00:14:16      MS. LEE: . . . University of Chicago  
with President Robert Maynard  
Hutchins and Mortimer Adler.<sup>12</sup> Was  
there anything that you recall among  
the works that you read among the  
great classics

00:14:30      that shaped your view about law or  
liberty or the role of government?  
JUSTICE STEVENS: I'm not sure. When  
you mention Adler and Hutchins, the  
thing I remember most vividly is that  
when I was in college, that was  
immediately before World War II, and  
I remember that Adler was the strong  
interventionist, and Hutchins was an

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<sup>12</sup> Robert Maynard Hutchins, who had previously been the dean of Yale Law School, served as president of the University of Chicago from 1929 (when he was 30 years old) until 1945, and chancellor from 1945 to 1951. He was an iconoclast who reformed undergraduate education at the University of Chicago. He brought philosopher Mortimer J. Adler to Chicago from Columbia. Together they taught a two year honors course that came to be known as Great Books. See BARNHART & SCHLICKMAN, *supra* note 2, at 38.



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isolationist.

00:15:00      And they disagreed on what the country should be doing. And I remember thinking that even the smartest guys in the world don't often agree. And I did learn, and I think of it more often than you might expect, that there are intelligent, good arguments for both sides of very difficult issues. And I think of Hutchins and Adler as an example of

00:15:27      that, and I've often thought of that because they both were inspiring teachers and brilliant individuals.

MS. LEE: I read a later piece that you wrote in which you quoted and

00:15:41      discussed John Stuart Mill and his work *On Liberty*.<sup>13</sup>

JUSTICE STEVENS: Right.

00:15:55      MS. LEE: And again at the University of Chicago, you were a journalist.<sup>14</sup>

JUSTICE STEVENS: Yes.

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<sup>13</sup> John Paul Stevens, *The Third Branch of Liberty*, 41 U. MIAMI L. REV. 277, 283 (1986).

<sup>14</sup> BARNHART & SCHLICKMAN, *supra* note 2, at 37-42.

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MS. LEE: So that the same breed whom you had developed some hostility toward when you were, when your family was the subject of coverage, you decided that you wanted to be one. Why was it that you decided to get involved in the student newspaper?

00:16:15 JUSTICE STEVENS: Well, that's actually sort of a personal incident. When I was a freshman, the editor of *The Daily Maroon* was Bill McNeill,<sup>15</sup> who was a very

00:16:25 smart, good person. But he was too liberal for the student body generally. And I remember there was a tradition that if you didn't like some student leader, they would dump him in the Botany Pond.<sup>16</sup> And that was a ritual way of student protest

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<sup>15</sup> William McNeill later became a professor of history at the University of Chicago. He wrote influential books on world history, including THE RISE OF THE WEST: A HISTORY OF THE HUMAN COMMUNITY (winner of a National Book Award) and PLAGUES AND PEOPLES.

<sup>16</sup> Botany Pond, originally created as an outdoor research laboratory for botanists, is located near biology and zoology buildings on the University of Chicago campus.

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                    against others. And they dumped Bill  
                    McNeill in the Botany Pond, which was  
                    not a very heroic event. But in any  
00:17:00      event, I thought they were wrong,  
                    that he was a pretty good person.  
                    And so I decided to just go to work  
                    on the newspaper. And to the extent  
                    that it might not been a perfect  
                    reflection of student views, I  
                    thought maybe if I participated in  
                    the paper I might be able to help  
                    improve it. And so I started out as  
                    the sports 00:17:26 reporter and that  
                    sort of thing. But I got interested  
                    in journalism, and I worked on the  
                    paper for my all four years in  
                    college and made some very good  
                    friends on the paper.

00:17:39      MS. LEE: Do you think that years  
                    later when you were a judge and then  
                    a justice, and you had to deal with  
                    cases involving the legal issues  
                    affecting the press, that your own  
                    experience had any effect?

JUSTICE STEVENS: I think it probably

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	did. Yeah, I think it probably did.
	I remember that, you think about
	different things to generate
00:18:01	circulation at times, and some of the
	ideas you come up with are not very
	praiseworthy. But the press has a
	great deal of power, and I think it's
	important for judges to realize that.
	And in fact, I think the press's
	influence on the Court is greater
	than people realize, and that goes
	back to my days with Warren Burger.
	When he had opinions to write that
00:18:32	were favorable to the press point of
	view, he'd write them himself. <sup>17</sup> But
	when he had to sign opinions that
	were contrary to the views of the
	press, he'd assign them to Byron
	White, <sup>18</sup>
00:18:43	because Byron was a good tough guy

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<sup>17</sup> E.g., *Press-Enterprise Co. v. Superior Court*, 478 U.S. 1 (1986); *Press-Enterprise Co. v. Superior Court*, 464 U.S. 501 (1984); *Smith v. Daily Mail Publishing Co.*, 443 U.S. 97 (1979); *Landmark Communications, Inc. v. Virginia*, 435 U.S. 829 (1978).

<sup>18</sup> E.g., *Herbert v. Lando*, 441 U.S. 153 (1979); *Zurcher v. Stanford Daily*, 436 U.S. 547 (1978); *Zacchini v. Scripps-Howard Broadcasting Co.*, 433 U.S. 562 (1979).

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and could take criticism. But I

think that the press has more of an

influence on the Court than is

sometimes appreciated.

MR. MCKENZIE: Justice, you mentioned

the disagreement between Hutchins and

Adler about intervention in the years

leading up to World War II. You of

course served in the military. You

00:19:15 actually joined right before Pearl

Harbor.

JUSTICE STEVENS: Correct.

MR. MCKENZIE: As a preliminary

question, maybe it's obvious because

you joined the military before Pearl

Harbor, did you come to a view about

the wisdom of intervention in World

War II before that time?

JUSTICE STEVENS: Yes, I

00:19:33 thought that Adler had the better of

the argument. And I always felt that

sooner or later we would have to be

involved in the war. And I think

that was the general view, of course

00:19:48 men particularly because the women

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weren't considered eligible for

military service at the time. But

everybody realized that sooner or

later we were going to be in the war

in Europe. And that started months

before the Japanese attack.

MR. MCKENZIE: Could you describe

your work in the military during the

war?

00:20:12

JUSTICE STEVENS: Yeah, it's kind of

interesting. During my senior year,

the dean of students was a man named

Leon Smith, who was Leon Perdue

Smith. And he's a very fine person.

But he was an undercover agent for

the Navy,<sup>19</sup> and he offered me

00:20:39

the opportunity to take a course in

cryptanalysis, which if I succeeded,

it's a correspondence course, and if

I succeeded, I'd become eligible for

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<sup>19</sup> Leon Perdue Smith, Jr. (1899-1964) was an assistant professor of Romance languages and dean of students at the University of Chicago from 1936 to 1942. He was on military leave from the university from 1942 to 1946, serving as a lieutenant commander and then a commander in the office of the Director of Naval Communications in the Office of the Chief of Naval Operations. University of Maryland alumni magazine, November-December 1948.

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a commission right away. And I did

00:20:52

that, and during the months of the

summer of 1941, my principal

activities were working on that

correspondence course. And oddly

enough, I never met anybody in

Washington who had anything to do

with the course, and I don't know how

it got started. But after I

progressed to a certain point, they

sent me a letter saying you're

00:21:15

eligible to apply for a commission.

And as it so happens, on December 6<sup>th</sup>,

1941, I went up to Great Lakes and

took the physical exam, did the

paperwork to sign up. And then of

course the next day the Japanese

attacked.

MR. MCKENZIE: Did your experience in

cryptography, cryptanalysis influence

the way you read texts, or maybe

00:21:42

legal texts later on in your career?

JUSTICE STEVENS: Yes, it did. And

there are two incidents that I

particularly recall, one thing that--

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perhaps the most important, was I

00:21:54

learned how often there could be garbles. You get messages that are intercepted by radio operators who learned the Japanese, well, the equivalent of the Japanese Morse code, with kana. Their alphabet had, I don't know, about 30 or 40 characters in it. And how often they'd mistake three dots for four dots. And you'd get the wrong

00:22:22

character in your message. So I learned that the risks of what later on are described as scribes' errors, you have to be very, very careful that you got the right kind of text.

There was one occasion when the man who was on duty before I showed up had warned me that the Japanese battleship, I forget, I think the Haruna, had

00:22:53

been identified in a message involving the Truk Island base force, and which would've been a dramatic



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change in the location of a major ship, and to watch out for further evidence of

00:23:12

the battleship in that part of the ocean. And I did, but what I found out was that the message on which he'd relied to draw that inference was a garble, a "ra," it should have been a "nu," or something like, and instead of being the battleship, it was the call sign for the personnel of the Truk base force or something like that. But I learned how a garble can cause a

00:23:39

misunderstanding at the other end. And so that was one incident that I remembered dramatically, that you can get misinformation. And that affected my thinking about statutes that contain mistakes on the part of the authors of the statute, and they happen. They're more, even with expert draftsmanship over on the Hill, sometimes they do have errors. That

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00:24:09      one incident had an impact on my  
  
thinking.

MR. MCKENZIE: Justice, many  
  
commentators have stated that your  
  
military service helps to explain  
00:24:21      your dissent in the flag-burning  
  
cases, Texas against Johnson, and  
  
U.S. against Eichman.<sup>20</sup> Do you think  
  
there is a connection between your  
  
wartime experiences and those cases?

JUSTICE STEVENS: I think there may  
  
well be, because I think sometimes  
  
the value of symbols of patriotism  
  
and the like are given less respect  
  
than they should have.  
00:24:52      I do think that the flag does have an  
  
important symbolic message to convey  
  
to people, and it does generate  
  
patriotic attitudes toward the  
  
country, and they actually affect the  
  
behavior of peoples. So I do think  
  
that I have that feeling myself, and

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<sup>20</sup> Texas v. Johnson, 491 U.S. 397 (1989) (striking down Texas flag burning statute); U.S. v. Eichman, 496 U.S. 310 (1990) (striking down federal Flag Protection Act of 1989).

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I do think that there is more

importance to the actual symbolic

value of the flag than is generally

00:25:21 appreciated. People think, you think

the other side is the importance of

the free expression of ideas. And of

course, I fully go along with that.

But I never saw why it was absolutely

00:25:36 necessary to protect that particular

form of symbolic speech. And it's

interesting. In the years since the

flag burning decision, nobody burns

flags anymore. It was a dramatic

event at the time. It was opposition

to the Vietnam--Vietnamese War. But

I think of course in a sense it's a

wonderful decision. You like to

think that we have that degree of

freedom here that

00:26:07 even burning a flag is something that

will be protected. So I do recognize

their merit on both sides of the

issue. But I thought the contrary

arguments were not given the weight

that they were entitled to. And I

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also might say I thought the

reasoning in Bill Brennan's opinion

is highly unpersuasive, because

basically that reasoning

00:26:40

would give constitutional protection

to any form of symbolic speech.~~---~~

MS. LEE: So turning to another

episode during the war that you are

aware of, and that you've commented

00:26:56

on, this was the targeted killing of

Yamamoto. And Admiral Yamamoto, you

have said that it bothered you, that

it seemed different from simply

people dying in the ordinary course

of battle, because it was more like

an execution, but without any

process.<sup>21</sup> And that's a very

interesting reaction. Could you talk

a little bit about that?

00:27:27

JUSTICE STEVENS: Well, that's true.

I can remember thinking that it is a

different kind of military operation

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<sup>21</sup> U.S. forces learned of the flight plans of Admiral Isoroku Yamamoto, commander of the Japanese combined fleet and architect of the 1941 attack on Pearl Harbor, and shot down his plane on April 18, 1943. See BARNHART & SCHLICKMAN, *supra* note 2, at 50.

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when you go after a particular individual instead of just fighting for a piece of territory or something like that. And it did seem to me just a little bit unusual. And it's true also that when you're given statistics about

00:27:49 the number of deaths on the highways or something like that, they don't have the same impact on your thinking as witnessing or knowing about the fatality when someone in your family

00:28:00 or a friend is killed on the highways. It's a much more dramatic event. But I can't say that I thought about it in terms of due process. It just seemed to me that picking out an individual target was a very unusual kind of military operation. And if I remember correctly, I think I was told that before they went forward with the

00:28:25 operation, they got the approval of President Roosevelt.

MS. LEE: When you were thinking

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about that, do you think that any of the works of philosophy or ethics that you had studied in college had any impact on the way that you thought about this?

JUSTICE STEVENS: I just don't know.

00:28:45 MR. MCKENZIE: Justice, I wanted to ask about other wartime events that may have come to your attention at the time. And we spoke earlier about the flag and the flag burning case

00:28:56 much later. But I wondered if you were aware of the flag salute controversy during that era, and if you had any views about the Court's decision in the Barnette case involving Jehovah's Witnesses and saluting and pledging to the flag.<sup>22</sup>

JUSTICE STEVENS: Well, I really wasn't aware of it during the war.

But of course I became aware of

00:29:18 it later on. And I think perhaps the

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<sup>22</sup> In *West Virginia Board of Education v. Barnette*, 319 U.S. 624 (1943), the Supreme Court held 6-3 that compelling children in public school to salute the flag was unconstitutional.

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most interesting phase of that

particular litigation is the fact

that Justice Frankfurter started out

on the winning side and then when the

case was reheard, several votes

switched.<sup>23</sup> And it illustrates how

different his views were from the

majority when the switch took place.

MR. MCKENZIE: Another wartime event

00:29:47

was the internment of Japanese-

Americans during the war. Were you

aware of that? Did you have views

about it at the time?

JUSTICE STEVENS: At the

00:29:56

time I have to confess that I thought

it was probably a sound military

decision. Of course later on I've

learned that if you had all the

information it never should have been

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<sup>23</sup> In *Minersville School District v. Gobitis*, 310 U.S. 586 (1940), the Supreme Court, with only one dissent, had upheld the constitutionality of compelling public school students to salute the flag. Justices Black, Douglas, and Murphy, who joined the opinion of the Court in *Minersville v. Gobitis*, voted to overrule the case in *West Virginia Board of Education v. Barnette*, which prompted a memorable dissenting opinion by Justice Felix Frankfurter. 319 U.S. at 646 (Frankfurter, J., dissenting).

Timecode      Quote  
approved. And I think there was some  
failure of explanation of what the  
real facts were in the high command  
of the military and even in the  
executive branch of the government.<sup>24</sup>

00:30:23      But it was a tragic decision, and the  
fact that it was really a pretty  
stupid decision too, I don't think  
became generally known until quite a  
long time afterwards, because just as  
an average civilian--of course I was  
a civilian, well, I guess I wasn't, I  
don't know, at least I was just a  
beginning member of the military--but  
it just seemed to me, it seemed to  
the general public to be

00:30:52      a sensible defense measure, which  
later on, as I say, it clearly was  
not.

MR. MCKENZIE: So after the war, you  
decided to attend law school. And

00:31:05      you've spoken before about the

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<sup>24</sup> For an account of how the military and the Department of Justice concealed the absence of facts supporting the need to relocate all persons of Japanese ancestry from the West Coast, see *Hohri v. United States*, 782 F.2d 227, 232-237 (D.C. Cir. 1986), rev'd, 482 U.S. 64 (1987).



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                 influence of your brother. . .

JUSTICE STEVENS:    Yes.

MR. MCKENZIE:    . . . In that  
decision.    What was his advice about  
the study of law and about the  
practice of law?

JUSTICE STEVENS:    Well, it's very  
interesting.    He had a practice by  
himself or with another young lawyer  
for his first--he's five years older  
00:31:27    than I was.    And he originally  
enlisted in the Army, but then he had  
a personal injury, so he was mustered  
out earlier.    And he had been  
practicing during most of the war.  
But I wasn't sure what to do after  
the years in the military.    And I  
became

00:32:01    aware of the GI Bill of Rights.    And  
that was a major event in learning  
the opportunity to further my  
education that way.

And I don't know whether his letter  
00:32:16    came first, or the knowledge about  
the Bill of Rights, but in any event,

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	he wrote me a very long letter about what he regarded as the rewards of the law practice. And it was a very persuasive statement of how much both benefit and enjoyment and satisfaction you get out of helping people who really need help. And he did a number of things in practice
00:32:47	where he did, not for financial gain, but he would help other people. And he kind of inspired me at the time. I thought that's really an appealing project. And his description of the psychic rewards of helping people in the law practice, really what made me make up my mind definitely to go to law school.
00:33:20	MR. MCKENZIE: Did you consider at the time another professional life, or going to graduate school after the war?
	JUSTICE STEVENS: I don't remember. I think I'd pretty well
00:33:30	decided that the law made sense, and I was fortunate. They dropped the

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bomb in the summer of '45. And I had been transferred--I was on leave in the States at that time. And so I was back in Washington when the war ended, and I was able to get out very promptly. And I sent applications to Michigan, Northwestern, Chicago, and Harvard, I think, or maybe Yale, I don't know. And at that time it was really pretty easy to get in law school, and not nearly as hard as it is now. But I decided I wanted to go to a law school in Chicago because I intended to practice in Chicago, and so I had to choose between Chicago<sup>25</sup> and Northwestern. And having spent my full educational training in the pre-war years at Chicago, I thought it would be a good challenge to go to a different law school. And so I went to Northwestern, which had a fine reputation as a school at the time.

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<sup>25</sup>Justice Stevens meant Chicago when he referred to Michigan in the recorded interview.

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Timecode	Quote
00:34:41	MR. MCKENZIE: Do you think that your service in the military made you a better law student than if you had gone to law school immediately after your undergraduate years?
	JUSTICE STEVENS: I think it might have, although to be honest with you I had good grades in college before too. But I was in a very exceptional class of students. We
00:35:02	were fairly, those of us who were able to get out promptly-- I started in October of '45, so that I was really discharged in a matter of days after the war. And our class was relatively small. I think all but maybe three or four--and there were about 30 or 40, 50, something like that, members of the law school class. And most of the members of
00:35:30	the class were recent, had been involved in the military in some way or another. And everybody was very serious. We felt like we were senior citizens at the time 'cause we were a

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00:35:44 couple of years older than others.

And a number of the students were married, and so you had, it was a serious business trying to learn a new profession. So it was in a particularly good class, that there was a very stimulating class. And we all had, a number of us had similar experiences, one kind if another. So it was a serious class, and a

00:36:14 hardworking class. And I think they were, I was fortunate to be in that group.

MR. MCKENZIE: Did you view law school as principally an academic experience, or did you think of it as professional training, that your goal was to learn as much about what it would be like to be a practicing lawyer?

00:36:33 JUSTICE STEVENS: I think the latter, Troy. I would say the latter. And because everybody, as I say, we went on an accelerated two-year program. We got three semesters

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00:36:47      by going to school in the summer.

And that was true at the major law schools all around the country at the time, that three years were compressed into two. So everybody was interested in completing your training promptly.

MS. LEE: Justice, in the very beginning, the front of your book, *Five Chiefs*, you included a photo of Professor Nathaniel Nathanson, and you then wrote about him in the very first paragraph of your book.<sup>26</sup> So he clearly was an important figure--

00:37:14

JUSTICE STEVENS: Yes.

MS. LEE: In your life. Could you please tell us about the influence that Professor Nathanson had on you?

JUSTICE STEVENS: Well, it was tremendous. First of all, he was an awfully nice person.

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<sup>26</sup> JOHN PAUL STEVENS, *FIVE CHIEFS: A SUPREME COURT MEMOIR* 3, 5-6 (2011). Nathaniel L. Nathanson was a full-time faculty member at Northwestern Law School from 1936 to 1977 and remained a professor of law at Northwestern until 1983. He was best known for his work in administrative law, constitutional law, civil liberties, international law, and human rights.

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Timecode	Quote
00:37:35	But he was a former clerk to Justice Brandeis. And everybody in the class was very favorably impressed with the fact that he had had that experience. We also actually had a tax professor
00:37:50	who had been a former clerk to Oliver Wendell Holmes. So between the two of them, we felt we were in a privileged group. But he was a very, very likeable and inspiring person. He was obviously very competent because he had written a great deal about administrative law. He taught constitutional law and ad law. And the statement that I have quoted more
00:38:23	than once is to beware of glittering generalities, which is something that is very good advice, because especially, well, there are a lot of members of the profession who spend too much time repeating glittering generalities. And some of them have become members of the Supreme Court, by the way. And he was a very thorough scholar.

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Timecode	Quote
00:38:58	I remember we spent I don't know how many weeks on Marbury against Madison, I think maybe almost a semester just talking about that case, and cases that were cited in
00:39:11	Marbury, and cases related to it, cases relating to mandamus and relating to other aspects of the judiciary and the like. <sup>27</sup> But he was very, very thorough, and he was very open-minded. I remember another case that was particularly important was the Myers case. And you remember Brandeis had dissented in the Myers case. <sup>28</sup> And so we expected that he of
00:39:41	course believed in the dissenting, that we'd get kind of an adversary's, I mean an advocate's presentation of

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<sup>27</sup> Marbury v. Madison, 5 U.S. 137 (1803), was a landmark case in which the Supreme Court asserted its authority to declare federal statutes unconstitutional. Chief Justice John Marshall declined to issue a writ of mandamus sought by William Marbury on the ground that the provision of the Judiciary Act of 1789 that apparently authorized the Court to issue such a writ violated Article III of the Constitution.

<sup>28</sup> Myers v. United States, 272 U.S. 52 (1926); id. at 240 (Brandeis, J., dissenting). The Court held that a federal statute requiring the consent of the Senate to the President's removal of executive officers was unconstitutional.



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the case. But he was totally neutral in developing the issues. And so when we went ahead to the Rathbun case, Humphrey's Executor,<sup>29</sup> we thought that he would say that was a case that really vindicated Brandeis' position and so forth. But instead he just, as a

00:40:14 professor, he insisted we understand the arguments on both sides. And he never, I don't remember him ever expressing a view on which was right. And I think just that his example of

00:40:29 the thoroughness with which he explored the arguments on both sides and tried to think it through made a huge impression on most of us in the class.

MS. LEE: One thing that you have mentioned is Professor Nathanson's

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<sup>29</sup> Humphrey's Executor v. United States, 295 U.S. 602 (1935). President Roosevelt attempted to remove William E. Humphrey, a member of the Federal Trade Commission, in the absence of any of the causes for removal specified in the statute. Humphrey sued to challenge the dismissal and, after Humphrey's death, Rathbun, the executor of his estate, pursued the litigation. The Court upheld the authority of Congress to establish quasi-legislative or quasi-judicial agencies whose members had fixed terms and who could be removed only for cause.

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training the students to live with

uncertainty to realize that the law

doesn't produce logical, tidy, clear

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00:40:57

JUSTICE STEVENS: That's right.

MS. LEE: Answers.<sup>30</sup>00:41:13And he

said you're not going to get answers.

How, do you remember how you reacted

to that, and

00:41:17

did you become comfortable with

uncertainty?

JUSTICE STEVENS: Well, I thoroughly  
enjoyed his class and learned a lot.

I don't know exactly how to say,

answer the question, but he did not

regard his role as one providing you

with answers to black letter rule or

rules and the like, but rather trying

to figure out how to

00:41:42

approach questions and respond to

them. And I recall being very

favorably impressed with his approach

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<sup>30</sup> John Paul Stevens, *Judicial Restraint*, 22 SAN DIEGO L. REV. 437, 439-442 (1985) (discussing Professor Nathanson's constitutional law class, including discussion of the *Myers* and *Humphrey's Executor* cases).

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to the law generally, and I think it had an impact on my approach to cases later on.

MS. LEE: You have also identified Professor Leon Green, as you wrote, a great law teacher who had a special influence on your understanding of

00:42:12 the law.<sup>31</sup> How would you describe the influence of Professor Green?

JUSTICE STEVENS: Well, in fact I've thought of it recently. I remember him describing four law

00:42:24 schools at the time, Harvard and Michigan on the one hand, and Yale and Northwestern on the other. And Harvard and Michigan were true rules schools, whereas Yale and Northwestern were schools where you tried to understand the procedures involved, the facts-- had an entirely different approach to the law. And the specific doctrine that

00:42:53 he did not like was the doctrine of

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<sup>31</sup> John Paul Stevens, *Some Thoughts About a General Rule*, 21 ARIZ. L. REV. 599, 604 n.25 (1979).

Timecode	Quote
	proximate cause. And he thought that tort law generally had focused unwisely and gave more emphasis to the causation issue than to the issue of whether the defendant had a duty to the plaintiff that had been violated in the case.
	So he got me thinking because of his approach, I thought often of what is
00:43:24	the duty that's been challenged and involved in a particular case as opposed to a more black letter approach to rules generally. And I think that he's probably the real
00:43:40	reason where I kind of resisted in the equal protection area, the notion there are three tiers of scrutiny. And when I wrote in one of the opinions, I said there's really only one equal protection clause. <sup>32</sup> You can't put things in different pigeonholes. You ought to figure out what the pros and cons of the

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<sup>32</sup> Craig v. Boren, 429 U.S. 190, 211-12 (1976) (Stevens, J., concurring).

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argument are on both sides and work

00:44:06

out what particular duty the state in

that case owes to the person

challenging the regulation. And his

approach to the law had a huge impact

on my work later on.

MS. LEE: And you also mentioned that

he focused on who was the decision

maker, as--

JUSTICE STEVENS: Correct.

MS. LEE: At least as much as what

00:44:29

the law was.

JUSTICE STEVENS: Yeah, the other

book that he was famous for, or was

particularly well regarded, was a

book called *Judge and Jury*, in which

he had a

00:44:36

number of essays in which he

emphasized the difference and the

importance of the role of the

factfinder on the one hand and the

judge on the other.<sup>33</sup> And that had a

very important impact on my thinking.

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<sup>33</sup> Leon Green, *JUDGE AND JURY* (1930).

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MS. LEE: Were all of the professors

at Northwestern of the facts and

procedure approach, or were there any

who leaned toward a more rules-based

00:45:04 approach?

JUSTICE STEVENS: Well, I'd say most

of them were similar to Leon Green in

their approach, but different

courses, different emphasis. But for

example, Harold Havighurst, who later

became dean, he succeeded Leon when

Leon went down to Texas, his

contracts book was not organized on

rules relating to offer

00:45:35 and acceptance and consideration and

so forth<sup>34</sup> as they might be inWilliston<sup>35</sup> and some of the other

treatises, but he had cases involving

personal services, cases

00:45:46 involving construction contracts,

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<sup>34</sup> Harold C. Havighurst was on the faculty at Northwestern Law School from 1930 to 1957 and 1958 to 1966, and served as dean from 1948 to 1957. His contracts treatise, *The Nature of Private Contract*, was published in 1962. Leon Green left Northwestern in 1947 to teach at the University of Texas.

<sup>35</sup> Samuel Williston's treatise, *The Law of Contracts*, was first published in five volumes from 1920 to 1922.

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cases involving different kinds of contracts. And so his approach was definitely similar to Leon Green's approach.

MS. LEE: Are there any other professors at Northwestern who stand out in your mind as having been an influence?

JUSTICE STEVENS: Yes, Fred

00:46:06      Inbau, who taught criminal law, who we called him Hanging Fred, and he did a lot of work with prosecutors and others, having them understand the legal implications of the different rules.<sup>36</sup> And although he was a believer in strong enforcement of the law, he also did a lot of progressive teaching to the law enforcement community, and I took

00:46:46      evidence from him and criminal law.

MS. LEE: Thank you.

JUSTICE STEVENS: Well, you asked me

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<sup>36</sup> Fred Inbau, who taught at Northwestern Law School from 1945 to 1977, specialized in teaching, developing, and demonstrating effective interrogation techniques.

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about other professors. See, the  
faculty

00:46:57 in the law school in those days was  
maybe ten teachers. That would've  
been a big law school, whereas now  
they're several times larger and  
they're all paid a lot better too.  
But for example, Homer Carey was the  
world's greatest expert in future  
interests as well as real property  
law.<sup>37</sup> And he always returned his  
grades very promptly. And you'd  
00:47:24 finish the exam. Maybe a day or two  
later we'd get the grades. And I  
think he followed the practice of  
giving everybody the same grade they  
had received from the last course  
unless they did something stupid in  
class. Because one time he turned in  
the grades, and then a few days later  
the driver of the bus, the Chicago  
Transit Authority, grabbed a bunch of  
00:47:54 exam papers that had been left on the

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<sup>37</sup> Homer F. Carey taught property law and trusts at  
Northwestern Law School from 1932 until his death in 1950.



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                 bus.

MR. MCKENZIE: Ungraded.

JUSTICE STEVENS: And so he turned in  
the grades, and it's not

00:48:01

clear he read the exams before he  
did them. But Homer Carey, among  
other things, advised the class that  
lawyers would be well-advised to  
have some distinctive characteristic  
associated with their name. And for  
example, to use green ink in the pen  
would, maybe you'd remember the  
lawyer. Lawyers generally were in  
smaller firms than they are

00:48:29

nowadays. But in any event, that  
advice is what prompted my decision  
to use the name Paul along with the  
John Stevens,  
'cause John Stevens is so much like  
John Smith that nobody would  
associate the name. But I was going  
to go on to mention Brunson  
MacChesney too. He taught the course

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                 in agency and corporate law.<sup>38</sup>

00:49:00      And he arrived at the school after  
                 starting-- the students, the class  
                 apparently began a week or two late  
                 because he had been working in  
                 Washington on some war job in the  
                 Department of Justice. I don't  
00:49:13      remember exactly what it was, but I  
                 do remember him describing Tom Clark  
                 as an extraordinarily good lawyer who  
                 was better than most people knew.  
                 And this was before he became a  
                 judge. He was a very competent  
                 attorney general.<sup>39</sup> Well, he didn't  
                 become attorney general until later,  
                 so that doesn't fit. And I do  
                 remember him commenting on  
00:49:39      what a fine lawyer Tom Clark was.  
                 But the more memorable thing about

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<sup>38</sup> Brunson MacChesney joined the Northwestern law faculty in 1940 and taught there until his death in 1978. He taught corporations and administrative law and later became a leading figure in international law, serving two terms as president of the American Society of International Law.

<sup>39</sup> Tom C. Clark (1899-1977) became Assistant Attorney General for the Antitrust Division in 1943, and subsequently became head of the Criminal Division of the Department of Justice. President Truman appointed Clark as Attorney General in 1945 and nominated him to the Supreme Court in 1949.

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Brunson MacChesney who was a very well-respected teacher,<sup>40</sup> was that one day he showed up in class, and it was the morning class, wearing the French Legion of Honor with the red ribbons and so forth on. And he explained to the room, most of whom were veterans and many of whom had been in combat

00:50:04

themselves, whereas he had had a job in Washington during the war and had this very elaborate decoration on,

that the rules of the French Legion of Honor required the recipient to

00:50:18

wear it the first day you received it, or something like it,<sup>41</sup> and I

remember some of my classmates

thinking, well, he probably didn't

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<sup>40</sup> Justice Stevens meant "teacher" in the recorded interview when he referred to "student" .

<sup>41</sup> During World War II, MacChesney held a number of positions in government, including with the Office of Price Administration and the Foreign Economic Administration, for which he went to Dakar, Algiers, and Paris immediately after its liberation. In Paris, he served as special assistant to the U.S. Ambassador. See James A. Rahl, *Brunson MacChesney: Recollections and Appreciation*, 72 Nw. U. L. Rev. 171, 172 (1977). The French government made MacChesney a Chevalier of the Legion of Honor for his services relating to economic affairs when he served in Paris. The medal was presented to MacChesney by the French minister of population in a ceremony in Chicago in October 1945. *In Memoriam -- Brunson MacChesney, 1909-1978*, 12 INT'L LAW. xv (1978); *News by Classes*, THE MICHIGAN ALUMNUS, Nov. 30, 1945, at 158.

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need to have that kind of rule to  
  
come to class to show it off. But  
  
anyway, that was a memorable  
  
experience for our class.

MR. MCKENZIE: Justice, you attended  
  
law school at a very interesting time  
  
in American law because it was after  
  
00:50:45 the New Deal, and the Supreme Court  
  
had rapidly changed. There was a lot  
  
of new legislation. Many of the  
  
older doctrines that the Court, the  
  
pre-New Deal Court, had enforced were  
  
going by the wayside. Do you  
  
remember feeling that you were  
  
learning law at a pivotal moment?  
  
Did it seem as though what you were  
  
learning was new and fresh and

00:51:09 different from what had come before?

JUSTICE STEVENS: Well, I remember  
  
that during our senior year, Art  
  
Seder and I were co-editors of the  
  
law review, and we both had

00:51:20 pretty good grades. And the first  
  
project that we undertook in the law  
  
review was an issue devoted to the

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Taft-Hartley Act, which was passed  
  
that year. And so there's an issue  
  
of the *Northwestern Law Review* which  
  
responded to that change in the law.<sup>42</sup>  
  
So it was true that we were aware of  
  
what was going on in the law  
  
generally.

00:51:51      MR. MCKENZIE: During law school, did  
  
you form a view or think about  
  
whether the original meaning of the  
  
Constitution should control its  
  
interpretation?

JUSTICE STEVENS: I don't think that  
  
approach to interpreting  
  
constitutional law developed until Ed  
  
Meese became attorney general many,  
  
many years later.<sup>43</sup> But it was always  
  
00:52:11      part of our work in any case to try  
  
and understand the history that led  
  
to particular decisions. And so I  
  
think original meaning was part of  
  
our training and understanding

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<sup>42</sup> At the time John Paul Stevens was a student at Northwestern, the law review was published under the title the Illinois Law Review.

<sup>43</sup> Attorney General Edwin Meese III, Address before the American Bar Association, Washington, D.C. (July 9, 1985).

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Timecode      Quote  
00:52:26      generally, but not as sort of a  
  
special, sacred document. It was  
  
just part of your study about a  
  
particular issue.

MR. MCKENZIE: You mentioned working  
  
on the issue of the law review about  
  
Taft-Hartley. Did you develop views  
  
about the rise of the administrative  
  
state, the proliferation of agencies,  
  
and new agencies at the time?

00:52:48      JUSTICE STEVENS: No, I don't  
  
associate it with Taft-Hartley. I do  
  
associate it with the Rathbun case  
  
and the Myers case. And that  
  
generated discussion about the  
  
administrative state. But I think  
  
that discussion and talking about  
  
administrative law generally was a  
  
later development. Of course the  
  
Administrative Procedure Act I think  
  
00:53:14      was passed either when we were in law  
  
school or just a year before.<sup>44</sup> But  
  
that was reshaping administrative law

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<sup>44</sup> The Administrative Procedure Act was enacted June 11, 1946, during John Paul Stevens's time in law school.

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                 at the time.

00:53:26      MR. MCKENZIE: While you were in law school, were there particular doctrines that you remember learning that you thought were particularly wrong, in any field? Constitutional law or admin.

00:53:50      JUSTICE STEVENS: Well, the one that I remember most clearly is the proximate cause in tort law as doing more harm than good. I don't recall any particular constitutional law doctrine that stood out.

00:54:18      MR. MCKENZIE: I also wanted to ask you about something you had mentioned earlier, that Professor Nathanson had stressed avoiding glittering generalities, being comfortable with a flexible standard that pays attention to circumstances. Did you find it persuasive at the time, or was it only later when you became a judge, that you thought that was a persuasive view of looking at the world?

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JUSTICE STEVENS: Probably a little  
of both, I think. But I

00:54:25 think we were conscious at the time  
that the school did have a different  
approach to the law generally than  
the Harvard approach did, and that  
has stuck with my thinking from time  
to time. I do think even today some  
of the Harvard graduates are more  
rule-oriented than some of the  
others. You know, maybe this  
digresses, but you mentioned

00:54:49 glittering generalities in another  
concept. I think I'll take, I'd like  
to take this opportunity to explain  
how I avoided one glittering  
generality, which during my practice  
on the Court of Appeals and the first  
couple of years on the Supreme Court,  
I had developed a rule of my own that  
I would not hire any Yale law clerks.  
And the reason for that was that I

00:55:16 couldn't understand Yale's grading



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system.<sup>45</sup>

00:55:28      And then a few years later, a few  
years after I got there, I got an  
application from Carol Lee, whom you  
may know whom I'm talking about. And  
she was from Yale. And I said well,  
we can't, I'm sorry. I've got a  
black letter rule here that  
disqualifies her. And then I got a  
letter from a professor in Oxford,  
who described her academic career at  
Oxford, in which he explained, it was  
a handwritten letter. It was very,  
very persuasive. He explained to me  
00:55:53      that they had a system of alpha  
grades that a superior student once  
in a great while might get an alpha.  
And he said and by the way, you have  
an applicant named Carol Lee who got,  
I can't remember, 10 or 12 alpha,  
which was unheard of at Oxford. And  
I thought well, maybe I shouldn't

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<sup>45</sup> Unlike other law schools that used letter grades with pluses and minuses, Yale Law School had only four grades, Honors, Pass, Low Pass, and Failure. Justice Stevens selected Carol Lee as a law clerk for the 1982 Term and subsequently hired numerous law clerks from Yale Law School.

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	enforce my black letter rule against  this particular applicant. She may  turn
00:56:21	out to be qualified. And not only  was she qualified. She could even  type faster than Nellie could type. <sup>46</sup>  And that taught me a lesson that even  your own self-imposed rules sometimes
00:56:36	can be broader than necessary.  MS. LEE: Thank you, Justice.  Switching to the next topic, which is  the <i>Illinois Law Review</i> , you were the  co-editor-in-chief of the law review  in your third year at Northwestern.  And we wondered whether you had  learned any lessons that stuck with  you in your time on the law review.  JUSTICE STEVENS: Well, I'm
00:57:09	really not sure, except I do remember  it was a lot of work. And I've  respected people who survived on  other law reviews since then. And I  worked well with my co-editor, Art

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<sup>46</sup> Nellie Pitts served for many years as Justice Stevens's secretary at the Supreme Court.

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Seder, who is still an inspiration.

He made a number of bombing runs in

England during the war. But the odd

thing about my friend Art, he and I

were born on the same day. We're

00:57:48 precisely the same age. Our grades

in school were almost the same. I

had a little bit of an edge on one or

two classes, I guess. But I just

want to mention him because he was an

00:58:02 exceptional student at Northwestern,

an exceptional friend. And he's

still, still a friend today.<sup>47</sup>

MS. LEE: Did the co-editors-in-chief

have a role in deciding which

articles the law review was going to

publish, and how did you decide?

JUSTICE STEVENS: I don't know. We

just got the job done. There were no

black letter rules

00:58:26 explaining our assignments.

MS. LEE: Well, Troy, why don't we

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<sup>47</sup> Arthur R. Seder, Jr. clerked for Chief Justice Vinson from 1948 to 1950, then joined the law firm now called Sidley Austin in Chicago. In 1960 he became counsel for American Natural Gas Company. He became the company's president in 1973 and chairman and president in 1976.

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turn to Justice Rutledge?

MR. MCKENZIE:    Sure.    So Justice, you  
were a law clerk to Justice Rutledge

--

JUSTICE STEVENS:    Right.

MR. MCKENZIE:    Here at the Supreme  
Court.    And we wanted to ask a little  
bit about your time in that job and  
what you learned, and your

00:58:43

experiences, and starting with how --

JUSTICE STEVENS:    Let me interrupt  
and

tell you about getting the job first.

MR. MCKENZIE:    Yes.

JUSTICE STEVENS:    Art and I, I had  
mentioned we were so close in our  
grades that when a position became  
available, both for Chief Justice  
Vinson and a position for Wiley  
Rutledge, the only difference being  
that the Vinson job was a year

00:59:05

later, the faculty could not decide  
which of the two of us should get the  
nod to the first job.    And so we  
settled our dispute by flipping a

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coin in the law review office. And I  
won the flip and therefore got the  
job with Wiley Rutledge.

MR. MCKENZIE: So you arrive in  
Washington-- you never interviewed,  
then? You just --

JUSTICE STEVENS: No, I

00:59:27      never-- I was interviewed by Willard  
Pedrick, who had, I guess he clerked  
for, I'm not sure what the relation,  
and Willard Pedrick, and Willard  
Wirtz, who was later secretary of  
labor.<sup>48</sup> They're

00:59:43      the two who are responsible for our  
getting clerkship opportunities.

MR. MCKENZIE: So when you arrived in  
Washington to clerk for Rutledge,

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<sup>48</sup> Willard H. Pedrick was a law professor at Northwestern from 1946 to 1966. He had clerked for then-Judge Fred M. Vinson on the D.C. Circuit after graduating from Northwestern Law School in 1939. When Vinson resigned from the court in 1943 to become Director of the Office of Economic Mobilization, he arranged for Pedrick to be detailed by the Marine Corps to assist him. In 1946, Pedrick recommended Northwestern's top graduating student, Frank Allen, to be Chief Justice Vinson's law clerk. Allen clerked for Vinson from 1946 through 1948. W. Willard Wirtz taught law at Northwestern from 1939 to 1942 and again from 1946 to 1954. Wirtz had been hired in the late 1930s by Dean Wiley Rutledge to join the faculty at the University of Iowa law school, and had become a close friend of Rutledge. Erin Miller, *Getting His Clerkship*, SCOTUSblog, <http://www.scotusblog.com/2010/04/getting-his-clerkship>. Wirtz served as Secretary of Labor from 1962 to 1969.

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what guidance did the Justice give

you about the decisional process, how

he thought about cases and the law,

or did you just jump in?

JUSTICE STEVENS: I just jumped in.

01:00:04

MR. MCKENZIE: Just jumped in.

JUSTICE STEVENS: And my co-clerk,

Stan Temko, was already there.<sup>49</sup> And

I perhaps learned more from Stan

about the procedure at the Court than

from anyone else.

MR. MCKENZIE: Now you've stated in

the past that Justice Rutledge

encouraged his law clerks to state

their view of the case, that he

01:00:21

wanted you to think the case through.

Do you remember disagreeing

frequently with him on the ultimate

outcome of the case, or infrequently?

JUSTICE STEVENS: It would

01:00:33

be infrequently, but there was one

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<sup>49</sup> Stanley L. Temko, who graduated first in his class at Columbia Law School and was editor-in-chief of the Columbia Law Review, had previously been selected by the late Chief Justice Harlan Fiske Stone to be his law clerk, before Stone's sudden death in 1946. Miller, *supra* note 48. After his clerkship with Justice Rutledge, he became a partner at the law firm Covington & Burling in Washington, D.C.

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case on which I remember having a fairly violent discussion with him, where it was either a Social Security case or some kind of a case involving interpretation of a statute, in which I felt that he was off the base. And I did not persuade him. I do remember that. But he did encourage us to explain exactly what we felt, and

01:01:04

there was never any feeling that we should not express disagreement with him. But I can't remember disagreeing with him on any case except that one.

MR. MCKENZIE: Do you remember a time when you persuaded him to change his view of a case?

JUSTICE STEVENS: No, I don't. I don't remember. I think

01:01:31

there was one dissent we wrote in the Ahrens case in which I think I helped him make it a little stronger and longer dissent than he originally had planned. But I don't think I

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01:01:44 persuaded him to change his view.<sup>50</sup>

MR. MCKENZIE: Now, Justice Rutledge wrote the first draft of his own opinions.

JUSTICE STEVENS: Yes.

MR. MCKENZIE: I wanted to ask you if you could just explain how he used his law clerks. What did you do?

JUSTICE STEVENS: Well, first of all we did all the certs.

01:02:02 We wrote him a cert memo on every case, and there weren't that many then. And that included the *in forma pauperis* cases.<sup>51</sup> And he was not confident that the Chief would adequately review them. And at that time, the *in forma pauperis* cases circulated to other justices. They went to the Chief's office first.

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<sup>50</sup> Ahrens v. Clark, 335 U.S. 188, 193 (1948) (Rutledge, J., dissenting). For a discussion of law clerk John Paul Stevens's influence on Justice Rutledge's dissenting opinion, see Joseph T. Thai, *The Law Clerk Who Wrote Rasul v. Bush: John Paul Stevens's Influence From World War II to the War on Terror*, 92 VA. L. REV. 501, 504-13 (2006).

<sup>51</sup> *In forma pauperis* cases are filed by indigent petitioners, most of them prisoners, who lack the resources to pay the Supreme Court's filing fees.



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The Chief

01:02:33      wrote a one, usually a one-page memo about whether he'd exhausted his state remedies or something. But he very often gave a summary that was not adequate to even find out what the

01:02:47      issues were. And so Rutledge insisted that Stan and I look at the original papers ourselves and find out whether there might be an issue there.

And there were times when we did spot issues that we thought needed further attention. One of them gave rise to the dissent in the Marino case, Marino against Ragen, where Rutledge,

01:03:13      I think he described the Illinois post-conviction system as an "Illinois merry-go-round."<sup>52</sup> You had to exhaust writ of error, writ of error coram nobis, habeas corpus, and so forth. Had to do all three 'cause

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<sup>52</sup> Marino v. Ragen, 332 U.S. 561 (1947); *id.* at 563, 570 (Rutledge, J., concurring) ("Illinois merry-go-round").

Timecode	Quote
	the attorney general most of the time
	would urge for a denial of a federal
	review on the ground another remedy
	had not been exhausted. So a very
01:03:41	important part of our work was
	examining the records in in forma
	pauperis cases to be sure that we
	weren't missing issues. And there
	were occasions when Rutledge would
01:03:53	add cases to the discuss list that
	otherwise would've been. See, at
	that time the --
	MR. MCKENZIE: The dead list at the
	time.
	JUSTICE STEVENS: Yeah. Cases,
	actually we took cases off the dead
	list. Originally the stuff that was
	not going to be discussed at
	conference was put on a dead list.
01:04:12	And everything else was discussed.
	And any justice could take a case off
	the dead list. But we sometimes
	added cases to the conference
	discussion because Rutledge had this
	more thorough procedure in reviewing

Timecode	Quote
	in forma pauperis cases. And some of those really did merit attention.
	The Marino case was one that he
01:04:36	wrote a separate opinion in. <sup>53</sup>
	But you asked me what our, our duties were to do all the certs including the in forma pauperis. And the in formas, we wouldn't necessarily write
01:04:46	memos in the in formas. We would at least look at them. That was part of our job. And the second thing was he assigned each of us at the beginning of the term I think three or four cases to write bench memos. So we would study the case much more thoroughly. And I wrote a memo on the cement industry, Federal Trade Commission against whatever the
01:05:13	cement company's name was. <sup>54</sup> And Stan

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<sup>53</sup> Justice Rutledge concurred in *Marino v. Ragen*, in which the Court vacated the judgment below in view of the state's confession of error. He wrote to state his view that the Court should have addressed the defects in the Illinois post-conviction system more generally. Justice Stevens said "dissent" in the recorded interview when he meant "opinion".

<sup>54</sup> *Federal Trade Commission v. Cement Institute*, 333 U.S. 683 (1948).

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wrote his bench memo.

And then we also did not, we did not write original drafts, but he would, before he'd circulate an opinion, he would often ask us to get material to put in the footnotes. I mean, I can remember one draft. The draft would be his handwritten draft and

sometimes Edna, the secretary,<sup>55</sup> would

01:05:46      type them up before we saw them.

Sometimes we had the draft itself.

And it'd be a footnote, JPS get cites. And I remember on one opinion the only cite I could find was an

01:05:58      1816 Mississippi state court

decision. And I got him to change the footnote. But he really did the opinion writing, except that he assigned, we each had either one or two opinions in which we wrote the first draft.

And I wrote the first draft in the

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<sup>55</sup> Edna Lindgreen was secretary to Justice Rutledge at the Supreme Court.

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01:06:28 Mandeville Island Farms case, which  
you know.<sup>56</sup> And my draft was about  
six or seven pages. The issue was  
whether a price-fixing agreement  
among producers of sugar violated the  
Sherman Act. And I thought it did,  
and I cited four or five cases and  
wrote the opinion. And he wrote an  
opinion in which my four or five  
pages are the center of about a 30 or  
40-page opinion in which he basically  
thought we ought to reexamine, I  
think the E.C. Knight case, is it?<sup>57</sup>  
And  
01:06:59 so my one contribution to his  
jurisprudence was not all that, my  
contribution was not all that  
important. Although when you read  
the opinion, you can see the dramatic  
01:07:14 change in style, right in the middle.  
About four or five pages read like a  
law review with a few propositions.

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<sup>56</sup> Mandeville Island Farms, Inc. v. American Crystal Sugar Co., 334 U.S. 219 (1948).

<sup>57</sup> United States v. E.C. Knight Co., 156 U.S. 1 (1895).

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And the rest of it is in much more polished English. But that's the case, I mentioned this before, that Justice Thomas would overrule.<sup>58</sup> And I always accused him of trying to destroy my one contribution to the law that I made as a law clerk.

01:07:41 MR. MCKENZIE: Justice, you mentioned that Rutledge would request a bench memo on a small handful of cases.

JUSTICE STEVENS: Right.

MR. MCKENZIE: Did he ever indicate why he would pick out certain cases and ask for a bench memo?

JUSTICE STEVENS: Well, I think there were two considerations. One, if it was a big record case,

01:08:01 he'd generally ask us to save him time of going through the whole record, because he was pretty careful and pretty thorough. And other than

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<sup>58</sup> United States v. Lopez, 514 U.S. 549, 598 (1995) (Thomas, J., concurring) (writing that Framers had not intended for the Commerce Clause to give Congress power to regulate manufacturing, and writing approvingly of Supreme Court's decision in *E.C. Knight*).

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01:08:14 that, and also there were cases of importance, we had the Paramount Pictures antitrust case decided that year. I think I probably wrote a memo on that case. And the cement case was a big important case.<sup>59</sup> And I can't remember the others, but I think they were cases on which the bench memo would shorten the amount of time he'd have to spend on the case.

01:08:36 MR. MCKENZIE: Justice, you famously did not join the cert pool as a justice. Did your experiences as a law clerk --

JUSTICE STEVENS: Yes.

MR. MCKENZIE: -- affect your decision not to join the cert pool?

JUSTICE STEVENS: Yes, that made the difference, having had the experience as a law clerk. I really

01:08:54 felt that I could get through the,

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<sup>59</sup> United States v. Paramount Pictures, Inc., 334 U.S. 131 (1948); Federal Trade Commission v. Cement Institute, 333 U.S. 683 (1948).

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make the decision whether or not to  
  
grant cert very quickly without a lot  
  
of writing involved, by just looking  
  
at the papers. And so I developed

01:09:10

the practice of not writing memos in  
  
every case. But I did ask my clerks  
  
as I think you remember, I think you  
  
would go through the certs and write  
  
memos if you thought the case really  
  
was important and so to be sure I  
  
wouldn't miss it. So you performed a  
  
kind of second safety valve that I  
  
wouldn't miss things that I should  
  
understand.

01:09:41

MS. LEE: Now, this is turning to the  
  
rather amorphous topic of judicial  
  
style, or opinion-writing style of  
  
judicial approach. First an open-  
  
ended question, and then I can get  
  
more specific. Do you think that  
  
Justice Rutledge's approach to  
  
deciding cases, including his focus  
  
on the record and on the facts,  
  
influenced you when you became a

01:10:13

judge, and then later a justice?



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01:10:23 JUSTICE STEVENS: Yes. Yes, it did, Carol, but actually it wasn't just Justice Rutledge on that. My experience on the Court of Appeals had a big impact on that, and I particularly remember talking to John Hastings, who was a senior judge on the Court of Appeals who also wrote all his own opinions.<sup>60</sup> And he said that, I remember him saying, if you do a careful job with the statement of fact, the rest of the opinion will write itself. And he, as well as the example of Justice Rutledge, did lead me to conclude for myself that I would do a better job if I tried to, if I wrote out the first draft myself.

01:10:51 MS. LEE: Now as to the style of opinion writing, you said a minute or two ago that in the Mandeville Island

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<sup>60</sup> John S. Hastings (1898-1977) of Indiana was in private practice in Washington, Indiana, from 1924 to 1957. He joined the Seventh Circuit in 1957 and served as chief judge from 1959 to 1968. He assumed senior status in 1969, the year before Stevens joined the court, and served until his death in 1977.

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Farms opinion, the text that was

written by Justice Rutledge rather

obviously differed from the text that

was written by clerk John Paul

01:11:17 Stevens. So your writing style is

somewhat different from Justice

Rutledge. What did you think of his

writing style? And if you compare

them, why did your style in writing

01:11:33 opinions differ from his?

JUSTICE STEVENS: Well, I thought at

the time, and I still think that his

style, he tended to write longer

opinions than I thought necessary.

And sometimes the length of the

opinion was fully justified because

of the issue. His dissent in the

Yamashita case, for example, is a

very important document that he wrote

01:11:57 100% himself.<sup>61</sup> It was the year ahead

of my clerkship, but we did have

different-- I do tend to try to be as

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<sup>61</sup> In re Yamashita, 327 U.S. 1, 41-81 (1946) (Rutledge, J., dissenting). See John Paul Stevens, *Mr. Justice Rutledge*, in *MR. JUSTICE 194-198* (Allison Dunham & Philip B. Kurland eds. 1956).

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concise as possible in writing, and

his approach was different. I think

I wrote one opinion I think when

Teresa was clerking for me,<sup>62</sup> in which

the footnotes were longer than the

text. I've always been a believer in

footnotes anyway, unlike

01:12:28

a lot of my colleagues. I think

footnotes play an important role as

optional reading. You don't have to

read footnotes to understand the

opinion, but I do think that they

01:12:40

perform a useful function.

MS. LEE: Now Justice Rutledge also

wrote separate opinions from time to

time.

JUSTICE STEVENS: Yes, he did.

MS. LEE: How did, what was his view

on when was the appropriate time to

write a separate opinion, and did

that affect your own thoughts on

01:12:57

writing separate opinions?

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<sup>62</sup> Teresa Wynn Roseborough was a law clerk for Justice Stevens in the 1987 Term.

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JUSTICE STEVENS: I'm sure it did.

I'm not sure I can give you examples, but I think he sometimes, he would disagree with the reasoning in the majority opinion and would set out his own reasoning. In the Screws case, I guess. No, the Screws case is a case in which he voted differently. But he thought it important to

01:13:22 set forth how he had analyzed the case.<sup>63</sup> And that did stick with me too.

MS. LEE: What were Justice Rutledge's views on what

01:13:35 circumstances would justify overruling a precedent of the Supreme Court?

JUSTICE STEVENS: That's a good question, and I'm not sure I know the

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<sup>63</sup> Screws v. United States, 325 U.S. 91 (1945). Justice Rutledge wrote a concurring opinion, *id.* at 113-34, explaining that he believed that the judgment below should be affirmed. He disagreed with the four justices in the plurality, who wished to remand the case for further proceedings, but disagreed even more with the justices joining the principal dissent, who wished to reverse the judgment below. Therefore he concurred in the judgment so that the Court could dispose of the case.

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answer. I don't know that they did  
any overruling during my term. I  
just don't remember.

MS. LEE: Wasn't EC Knight at least--

JUSTICE STEVENS: Well, I

01:14:01 thought the EC Knight case had  
already been overruled--

MS. LEE: Oh.

JUSTICE STEVENS: By intervening  
decisions. And I guess it had never  
squarely been overruled.<sup>64</sup> But his  
opinion in the *Mandeville Island*  
*Farms* I guess did put the final nails  
in the coffin. And I thought final  
nails until Justice

01:14:20 Thomas came along.

MS. LEE: This is a bit of a tangent,  
but you mentioned that you had  
drafted the first, put a piece of the  
final opinion in *Mandeville Island*

01:14:35 *Farms* and that you did bench memos on  
a cement case and on *Paramount*

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<sup>64</sup> See *Mandeville Island Farms*, 334 U.S. at 229-35 (Justice Rutledge's discussion of intervening decisions, including *Standard Oil* and *American Tobacco*, that applied the Sherman Act to manufacturing companies).

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Pictures. And antitrust seems to

feature in all of these cases. Did

that have any effect on your interest

in practicing antitrust law later on?

JUSTICE STEVENS: Well, I think the

interest in antitrust came first.

MS. LEE: I see.

01:14:56

JUSTICE STEVENS: I think I wrote a

note or a comment when I was on the

law review on the Paramount Pictures

case.<sup>65</sup> And I believe, and also I may

have written some on Alcoa.<sup>66</sup> The big

antitrust precedents that were kind

of controversial when I was in law

school were Paramount, the movie

cases, and the Alcoa case by Judge

Learned Hand. And I had

01:15:23

written a comment on Paramount, the

movie cases, which I think led to my

following development in the

antitrust law, more particularly than

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<sup>65</sup> Comment, *Price Fixing in the Motion Picture Industry*, 41 ILL. L. REV. 630 (1947) (discussing *United States v. Paramount Pictures, Inc.*, 66 F. Supp. 323 (S.D.N.Y. 1946), and 70 F. Supp. 53 (S.D.N.Y. 1947)).

<sup>66</sup> *United States v. Aluminum Company of America*, 148 F.2d 416 (2d Cir. 1945).

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other branches of law.

01:15:38      MS. LEE:    What was it about antitrust  
law that interested you?

What was it about antitrust law that  
interested you?    Was it the

complexity or the room for

development by judges, or --

JUSTICE STEVENS:    Well, I think at  
the time it was a fairly

controversial area of the law in that

there was a fear that the wildly

liberal Supreme Court might destroy

01:16:09      American business.    And I think that  
it was more controversial than it is  
today, I think.

MS. LEE:    So turning back to judging

and judicial approach, what were

Justice Rutledge's views on reaching

or trying not to reach, that is

avoiding the decision of

constitutional questions?

JUSTICE STEVENS:    Well, he

01:16:38      did not think the Court should decide  
constitutional issues unless they  
were absolutely necessary to do so.

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He wrote some opinions that went to  
great lengths to avoid this

01:16:54 specific issue. There's one, I have  
one in mind, but I can't think of its  
name now.<sup>67</sup> But he definitely did not  
go out of his way to reach  
constitutional issues.

MS. LEE: One thing that came to mind  
as I prepared for this oral history  
was that Professor Nathaniel  
Nathanson and Justice Wiley Rutledge  
had certain elements of their

01:17:22 approach to the law in common, and  
one of them was a focus on the facts  
and the specific circumstances of the  
case. Another one was the importance  
of judgment by judges, not black  
letter law. And yet another was  
avoiding constitutional decision-  
making --

JUSTICE STEVENS: That's exactly  
right.

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<sup>67</sup> Justice Stevens may have been referring to *Rescue Army v. Municipal Court of City of Los Angeles*, 331 U.S. 459 (1947), a case decided the term before his clerkship. Justice Rutledge wrote the opinion of the Court dismissing the appeal.



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Timecode	Quote
01:17:43	MS. LEE: When necessary. At the  time, when you were clerking for  Justice Rutledge, did it occur to you  that some of the things that Justice  Rutledge believed in addressing these  01:17:55 sorts of issues were similar to what  you had learned at Northwestern?  JUSTICE STEVENS: That's interesting.  They probably were similar, but I  don't recall associating the two.  But Rutledge did write some fairly  elaborate opinions on going out of  his way to avoid. You know, there's  an interesting parallel here that  just comes to  01:18:21 mind. The legislative veto case was  a very important case where the  Court, I forget the name.  MR. MCKENZIE: Chadha. <sup>68</sup>  JUSTICE STEVENS: Pardon me? Chadha,  yeah. And Ed Levi was also someone  who had an influence on me, even back  there, as a scholar, and of course

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<sup>68</sup> INS v. Chadha, 462 U.S. 919 (1983).

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well before I went on the Court of Appeals. I taught, I

01:18:47 filled in for him for a year, or two years, at the University of Chicago Law School.<sup>69</sup> But he, like Nathanson, thought there was a virtue to postponing constitutional

01:19:02 adjudication, first because you might be more apt to get it right the longer time you take. But also that sometimes there's a virtue in ambiguity in the law. And the Chadha case was an example that I think he cited, or maybe Nat did, as the law might be better off leaving the question undecided --

MS. LEE: [Interposing] Mmm hmm.

01:19:28 JUSTICE STEVENS: -- because the threat of the legislative veto might be better than having Congress actually make the decisions. And sometimes the uncertainty in the law

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<sup>69</sup> Edward H. Levi was professor of law at the University of Chicago from 1945 to 1950, dean of the University of Chicago Law School from 1950 to 1962, president of the University of Chicago from 1968 to 1975, and attorney general of the United States from 1975 to 1976.

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will perform a useful function in government.<sup>70</sup> And I remember their thinking that was an example that maybe it would be better off just leave the issue undecided.

01:19:52 MS. LEE: Okay. Why don't we turn to you next?

MR. MCKENZIE: So Justice, I wanted to ask you a little bit about judicial philosophy or viewpoint of

01:20:03 Justice Rutledge, and whether or not it had any effect on your view of the role of judges. Justice Rutledge has often been described or sometimes been described as a judge who thought about the law in the way in terms of how it affected people. Do you think it's fair to say that he was a justice who thought his job was doing

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<sup>70</sup> Professor Nathanson and former Attorney General Levi had each written that judicial resolution of the constitutionality of the legislative veto might be undesirable, although the two men expressed different opinions on the merits of the constitutional issue. See John Paul Stevens, *Judicial Restraint*, 22 SAN DIEGO L. REV. 437, 442 & n. 12 (1985), citing Nathaniel Nathanson, *Separation of Powers and Administrative Law: Delegation, the Legislative Veto, and the 'Independent' Agencies*, 75 NW. U. L. REV. 1064, 1110 (1981), and Edward H. Levi, *Some Aspects of Separation of Powers*, 76 COLUM. L. REV. 371, 387 (1976).

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justice in particular cases rather

01:20:28

than developing a body of, a

philosophy of the law?

JUSTICE STEVENS: Oh yes, I think

that's really accurate. I think

that's true. I don't know exactly

how to add to that. And he took,

each case was separate. Just to run

an example, I remember we had a case

involving whether or not it was

appropriate to forbid the

01:20:56

circulation of some book. It had

some sexual issues of some kind.<sup>71</sup>

And he didn't just read the briefs.

He took the book home and read

through the book to find out whether,

and I

01:21:09

remember him coming in one morning,

"It's not that big a deal, this

book," or something. But it

obviously, he tried to understand as

much as he could about the actual

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<sup>71</sup> Justice Stevens may have been referring to *Winters v. New York*, 333 U.S. 507 (1948) (book dealer's appeal of conviction for possessing certain magazines alleged to violate state indecency law).

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issue. And he thought there was a  
tempest in a teapot in that  
particular book.

MR. MCKENZIE: One criticism of a  
judicial style that focuses closely  
on facts and circumstances of a case  
01:21:35 is that it has the risk of being  
results-oriented, unpredictable. Do  
you think that would be a fair  
criticism of Rutledge's way of  
deciding cases?

JUSTICE STEVENS: No, I don't. And I  
don't know exactly why I say that,  
but I really don't think that's  
right, 'cause I think he did, he  
deeply believed in

01:22:01 having valid rules of law governing  
procedures, for example. And I  
really don't think that is a valid  
criticism of his approach.

MS. LEE: Speaking of procedures,  
01:22:17 Justice, our next question is about  
Justice Rutledge's strong commitment  
to procedural fairness, to applying  
time-tested procedures, and applying

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them even in national security issues  
where there might be some temptation  
to take shortcuts. And the Yamashita  
case comes to mind. That wasn't in  
your term. It was the term before --

01:22:48      JUSTICE STEVENS: Term ahead, right.

MS. LEE: --but I'm sure you were  
familiar with it. Do you think that  
Justice Rutledge's views on proper  
process had an effect on you when you  
were later a judge?

JUSTICE STEVENS: Oh, I'm sure they  
did. But that was characteristic of  
his requirement that we look at all  
the in formas,

01:23:08      for example. He wanted to be sure  
the procedures were fair.

MS. LEE: That is, when you say all  
in formas, you're referring to the in  
forma pauperis cases?<sup>72</sup>

01:23:19      JUSTICE STEVENS: I'm sorry?

MS. LEE: In formas, you said all in  
formas.

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<sup>72</sup> See *supra* note 51.

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JUSTICE STEVENS: Yeah, right.

MS. LEE: You're referring to the in forma pauperis cert petitions that were before the Court. Did Justice Rutledge have views on the role of the courts in limiting and reining in potential abuses by the political branches, have an effect on your thinking?

01:23:38

JUSTICE STEVENS: I just don't remember any particular instance right now. I should mention that his strength was not merely in procedure. On the Commerce Clause, for example, he had a very profound belief that the national government

01:24:07

had an important role to play, and the Commerce Clause was the justification for that. And in his book, *Declaration of Legal Faith*, he is all about the Commerce Clause and

01:24:20

how the attempt to get rid of the Balkanized economic problems with different separate states was what really got the country formed.

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MS. LEE: I remember that vividly

because I was your clerk during the

term when you wrote a concurrence in

a case called EEOC versus Wyoming,

which was about age discrimination--

01:24:43

JUSTICE STEVENS: Right, right.

MS. LEE: And mandatory retirement

age for fish and game wardens.<sup>73</sup>

JUSTICE STEVENS: We cited the book.

Didn't we cite Rutledge in that?

MS. LEE: Yes, not only that. You

quoted a block, a long block

quotation from *Declaration of Faith*

01:24:56

on the Commerce Clause being the

central reason for the creation of

the new Constitution. And that in

itself was striking. But at the end,

when you put in the citation to

01:25:09

*Declaration of Legal Faith*, you also

say that you had cited it at length

in a Seventh Circuit opinion called

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<sup>73</sup> EEOC v. Wyoming, 460 U.S. 226, 244-51 (1983) (Stevens, J., concurring).



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Staszczuk, S-T-A-S-Z-C-U-K.<sup>74</sup>

JUSTICE STEVENS: Oh, that's right.

I had forgotten.

MS. LEE: So that passage clearly had  
a very strong influence on--

JUSTICE STEVENS: It did.

MS. LEE: --the way you think about  
the

01:25:26

Commerce Clause.

JUSTICE STEVENS: It did. You're  
right. In the Staszczuk case, it was  
an en banc case in which I wrote the  
Seventh Circuit opinion, yeah. It  
involved whether the Commerce Clause  
justified the-- either indictment or  
some kind of discipline against I  
think a real estate broker or  
something like that. And we gave

01:25:50

a very generous interpretation of  
federal power in the case.MS. LEE: So that *Declaration of  
Faith* clearly had an important

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<sup>74</sup> 260 U.S. at 244-45, citing *United States v. Staszczuk*, 517 F.2d 53, 58 (7<sup>th</sup> Cir.) (en banc), cert. denied, 423 U.S. 837 (1975). The correct spelling is S-T-A-S-Z-C-U-K.

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influence on you.

JUSTICE STEVENS: That's right.

MS. LEE: Do you remember

01:26:07      what it was about Justice Rutledge's

views on the Commerce Clause that

was, that you found so compelling?

JUSTICE STEVENS: Well, I just

thought he got it right. And I do

remember in studying American

history, George Washington was

concerned about the federal power to

pay the troops. And I really think

that's an important part of our whole

01:26:30      governmental structure, that I think

it really is important to understand

that the framers felt the same way

too.

MS. LEE: But unfortunately from your

perspective, a number of the current

justices on the Supreme Court don't

find that view of the Commerce Clause

as compelling.

JUSTICE STEVENS: That's

01:26:50      right. And I frankly think Justice

Ginsburg really wrote a magnificent

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separate opinion in the case to which we're referring.<sup>75</sup> And it differed dramatically with the position of the Chief Justice. And I think Ruth got it right.

MR. MCKENZIE: So Justice, we have a few more minutes, but I wanted to ask you about some of the cases that were before the Court during your term as a law clerk. One of them was the Sipuel case.

JUSTICE STEVENS: Oh yes, right.

MR. MCKENZIE: Race discrimination case. Sipuel against Board of Regents.<sup>76</sup>

JUSTICE STEVENS: Of Oklahoma.

MR. MCKENZIE: Of Oklahoma. And you wrote a memo to Justice Rutledge in that case that questioned the

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<sup>75</sup> National Federation of Independent Business v. Sebelius, 132 S. Ct. 2566, 2609 (2012) (Ginsburg, J., concurring in part, concurring in the judgment in part, and dissenting in part). Chief Justice Roberts and four other Justices wrote that the individual mandate in the Affordable Care Act was not authorized by the Commerce Clause, although they did not join in a single opinion on this issue. Justice Ginsburg and three other Justices dissented from this conclusion.

<sup>76</sup> Sipuel v. Board of Regents of University of Oklahoma, 332 U.S. 631 (1948).

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validity of the separate but equal  
doctrine. And of course this was

01:27:39

some years before Brown against  
Board. Did Rutledge's views  
influence what was expressed in the  
memo, or was that your own

independent view that you were

01:27:48

expressing in that memo to the  
Justice?

JUSTICE STEVENS: Well, I don't know  
because we both had the same view. I  
don't know which is the cause, but it  
just, it was not just my views. The  
law clerks as a group at the time  
felt very strongly that the separate  
but equal doctrine was a lot of  
nonsense. And I don't think I was

01:28:13

out of the thinking of the clerks who  
were on the Court at that time. They  
all thought this was an easy case.  
An interesting aside to the Sipuel  
case that I might toss in there is  
that when she went to Oklahoma Law  
School, she was the only black  
student in the class. And many years

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01:28:46 later, I played golf on a fairly regular basis with a graduate of that law school, who recalled when she joined the class. And he said she was entirely welcome to the students, but she upset the faculty. And the interesting thing was that the

01:29:02 faculty rule was not popular with the students at the time. They welcomed her. And he was very genuine about that. But I found that quite interesting as sort of background of the case itself, that the administration was perpetuating the discriminatory practice, but not necessarily what the students would've done.

01:29:29 MR. MCKENZIE: Interesting. Another important case involving race was Shelley against Kraemer, involving the enforcement of racially discriminatory covenants.<sup>77</sup> Justice Rutledge did not sit on that case,

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<sup>77</sup> Shelley v. Kraemer, 334 U.S. 1 (1948).

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and neither did Justices Reed or

Jackson. Did you agree with the

Justice's decision to recuse in that

case?

01:29:55

JUSTICE STEVENS: Well, it wasn't a

matter of agreement. My first

assignment when I got down there,

maybe not the first, but one of the

first things that Rutledge

01:30:05

asked Stan and me to do was to go

down and study the property records

of the District of Columbia to

determine whether there was not some

common law basis for concluding that

his covenant wasn't binding on him.

MR. MCKENZIE: Oh, he wanted to sit.

JUSTICE STEVENS: He wanted to sit.

He definitely wanted to sit. And he

wanted Stan and me to figure

01:30:26

out some theory on which he could

properly sit. But he was also very

sensitive to the ethics restraints on

judicial participating in cases. And

he thought he could not sit on the

case.

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In fact, he was so strict that I  
admire him for it, but I thought it  
went a little too far. The law  
publishers regularly send copies of  
new books to chambers of justices.

01:31:02 And he insisted that any copy, any  
book sent by any publisher to the  
chambers be immediately delivered to  
the library, so he would not even  
accept free books from law  
publishers, which I

01:31:17 think practically every judge in the  
country has certain books that are  
sent to them and they think nothing  
of it. But he was a very, very  
strict enforcer of ethics rules as  
they applied to himself.

MR. MCKENZIE: Another important case  
before the Court was the Everson  
establishment clause case--

JUSTICE STEVENS:

01:31:38 [Interposing] Correct.

MR. MCKENZIE: --Everson against  
Board of Education, in which Justice

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Rutledge dissented.<sup>78</sup> Do you think

that Justice Rutledge's views on the

Establishment Clause affected your

own views in that area?

JUSTICE STEVENS: They probably did.

They probably did. He felt very

strongly, and I think that was

01:31:54      probably, I think he got it right.

MR. MCKENZIE: Everson turned out to

be quite an important case in

establishment clause jurisprudence.

Was it, did it seem like a big case

01:32:06      at the time? Did it feel divisive on

the Court, or significant in other

ways?

JUSTICE STEVENS: I think it was; I

think it was. Did Black write the

majority?

MR. MCKENZIE: I think he did,

actually. I think that's right, yes.

JUSTICE STEVENS: Yeah, I think he

did. You know, that was a really

01:32:28      important case.

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<sup>78</sup> Everson v. Board of Education, 330 U.S. 1 (1947). Justice Black wrote the opinion of the Court.



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MR. MCKENZIE: So I think in the few minutes we have remaining, Justice, some of the questions we wanted to ask are a little bit more tangential, but they are just about what it was like to be in Washington and to work at the Court during that time. I wanted to ask a few questions about the solicitor general's office. Do you

01:32:51 remember whether the office was as well-respected then as it is now? Do you remember the argument style?

JUSTICE STEVENS: I do. Philip Perlman was the solicitor

01:33:02 general at the time.<sup>79</sup> I did not think he was a good advocate. I thought that in response to questioning, he would sometimes give the same answer over and over, but the volume of his voice would go up. But the content of his answer

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<sup>79</sup> Philip B. Perlman (1890-1960), a Maryland lawyer and political leader, was named Solicitor General by President Truman in 1947 and served until 1952.

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wouldn't change. I guess people

generally think he was a pretty good

advocate, but I never regarded him as

a particularly good

01:33:26

advocate. But they had a number of

very fine advocates in the office.

And the office was very well

respected at that time. They wrote

good briefs, and they were very

persuasive. So that the answer is,

my answer is a little bit mixed.

MS. LEE: Turning to the justices on

the Court at the time that you

clerked, what kind of relationships

01:33:52

were there among the justices? Did

some of them get along or not get

along with each other, and were you

aware of that as clerks?

JUSTICE STEVENS: Well, it

01:34:02

was generally assumed that Justice

Jackson and Justice Black were not

the best of friends. But to tell you

the truth, we didn't notice it in

anything that went on within the

Court. But I was aware of the fact

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that Justice Frankfurter did not

really respect the views of Justices

Black and Douglas. And there was

kind of a mutual mistrust of one

01:34:35

another. And so I did not think that

they were as cordial as they appeared

to be on the surface, although I

guess it was publicly known that

Jackson was disappointed at not being

made chief justice, and that was

public knowledge. So there was some

public knowledge of the friction

within the Court.

MR. MCKENZIE: And blamed Black for

01:35:02

the--

JUSTICE STEVENS: Yeah.

MR. MCKENZIE: --for the failure to

be appointed chief.

JUSTICE STEVENS: I'm not

01:35:06

sure that was right.

MS. LEE: How did it become a matter

of public knowledge?

JUSTICE STEVENS: Pardon me?

MS. LEE: How did it become a matter

of public knowledge?

Was it through, were

01:35:16 justices talking to professors or the public, or lawyers that came in?

JUSTICE STEVENS: Not as far as I know. Not as far as I know. I just don't know the answer to that.

MS. LEE: So with the relationship among the justices when you were a law clerk, how would you compare that to the relationship among justices when years later you became a

01:35:39 justice?

JUSTICE STEVENS: Well, I've told this story more than once, I'm sure, but when I was a lawyer practicing in Illinois, Thurgood

01:35:48 Marshall was our circuit justice. And I remember him on one or more occasions mentioning the fact that everybody was good friends on the Court. And I remember thinking well, that's probably what they say in public but it may not be the actual fact, 'cause I had this recollection

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of dissension, some kind of

dissension in the Court when I was a

01:36:10

clerk. But then when I got there, I

found out Thurgood was telling it as

it really was. And the

relationships, the personal

relationships among members of the

Court is excellent. And I think, my

impression is it still is.

MS. LEE: What about the relationship

among law clerks across different

chambers? Did you get to know them

01:36:35

well? Did you share ideas? Did you

try to persuade each other? Could

you talk a little bit about that?

JUSTICE STEVENS: Yes, they were a

smaller group of law clerks when I

01:36:45

was a clerk. There were about 14 or

15; I can't remember. But we were

all friends. And we occasionally had

social events where we all attended

with our wives and so forth. So it

was a congenial group among the

clerks. And that has varied over the

years. There's a period, I can't

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tell you the exact time when the

clerks really divided more or less

01:37:14

socially, as did the ideological

views of their bosses.<sup>80</sup> But when the

members of the Court found that out,

they put an end to it. And they now,

as I understand it, they now get

along very well socially and can help

one another. They talk to one

another about business as well as

political and social events.

MS. LEE: When you were a clerk, did

01:37:41

you and your co-clerk ever feel that

you would try to promote your

Justice's views by persuading the law

clerks of other justices about the

merits of those views?

01:37:53

JUSTICE STEVENS: I didn't, but I

think Stan from time to time, he was

a pretty good friend of Justice

Reed's clerks, and I think he would

sometimes try to influence his clerk

to say, to prevent Reed from doing

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<sup>80</sup> See EDWARD LAZARUS, CLOSED CHAMBERS (1998). The author served as a law clerk to Justice Blackmun in 1988-89.

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something that he shouldn't have done  
or something.

MR. MCKENZIE: Well, Justice, we've  
come to the end of this session.

01:38:17 Once again, we'd like to thank you  
very much for your time and for  
answering our questions.

JUSTICE STEVENS: Well, I've enjoyed  
it.

MS. LEE: Well, we've enjoyed it too.  
Thank you very much.

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MR. MCKENZIE: Justice, when we last  
spoke, we left with your, the end of  
your clerkship. And I wanted to  
01:38:40 start up there and ask you about your  
decision to enter private practice.

Did you consider going into the legal  
academy instead of private practice?

01:38:49 JUSTICE STEVENS: Well,  
there's one brief episode, that  
Justice Rutledge asked the people at  
Yale to come up to Yale and pay a  
visit. And I did visit them, but I  
really was not particularly

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	interested in teaching. I wanted to get into practice.
	MR. MCKENZIE: And why not?
	JUSTICE STEVENS: Well,
01:39:11	actually I had the feeling that even if I were to go into teaching, a little experience in practice would be a good preparation for it. So I really didn't seriously consider teaching at the outset.
	MR. MCKENZIE: Today many law clerks who leave the Court end up going to appellate practices where they specialize in appellate work. You
01:39:37	didn't do that. You quickly turned your focus to more trial litigation. Was that a conscious choice on your part when you left clerking?
	JUSTICE STEVENS: Not
01:39:48	really. I just took whatever was available, I was interested in doing. I first went to work with what's now the Jenner & Block firm, for Edward R. Johnston, who was a leading antitrust lawyer, I think really in



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the country. He was the first

chairman of the ABA section on

antitrust law.<sup>81</sup> And an awfully nice

guy too. So I was very fortunate to

01:40:14

be able to work with him for the

first year or two of my practice.

MR. MCKENZIE: And did you think

about doing mostly transactional work

instead of litigation at some point?

JUSTICE STEVENS: Well, yes.

Actually there are two stages to my,

well, there'll be three stages to my

early years. One, working for the

big firm. And then I came down to

01:40:38

Congress and worked as associate

counsel for the Celler Committee for

about almost two years.<sup>82</sup> And then I

went back, and three of us formed our

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<sup>81</sup> Edward R. Johnston emerged as a nationally known trial attorney as early as the 1920s. He won a landmark Supreme Court case in 1925 on behalf of a trade association client, helping to attract numerous trade associations to the firm. He was perhaps the country's most prominent antitrust lawyer at the time. Jenner & Block, *History by the Decades*, <https://jenner.com/about/history/>

<sup>82</sup> Subcommittee on the Study of Monopoly Power of the House Judiciary Committee, chaired by Rep. Emanuel Celler of New York.

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own law firm.<sup>83</sup> And when you form  
your

01:40:50 own law firm, you pretty much do  
what's available. And it happened  
that I did, I did a fair amount of  
transactional work actually and also  
litigation and whatever came along.

MS. LEE: So Justice, delving into  
your experience as a trial lawyer,  
which was one of the significant  
parts of your practice, as a former  
practicing litigator, what is your

01:41:17 view of the adversary system as a  
method for resolving disputes? What  
are its strengths and weaknesses?  
JUSTICE STEVENS: Well, I've always  
been a believer in the adversary  
system. I think it's the best method  
of ascertaining the truth. But I  
haven't really thought so much about  
the burdens of the system now that  
some of the big cases

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<sup>83</sup> In July 1952, Stevens, Edward Rothschild, and Norman Barry left the Poppenhusen, Johnston firm and started their own law practice, which was called Rothschild, Stevens, and Barry. BARNHART & SCHLICKMAN, *supra* note 2, at 93; STEVENS, FIVE CHIEFS, *supra* note 26, at 86.

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01:41:37      with the great volume of discovery  
  
may be beyond anything I had in my  
  
own experience, although discovery  
  
was fairly burdensome even when I was  
  
in practice.

01:41:51      MS. LEE:    So that is one of the ways  
  
in which you see litigation as  
  
changing since you were yourself in  
  
practice?

JUSTICE STEVENS:    I guess it has.    I  
  
guess it's a matter of degree rather  
  
than anything else.    It's somewhat  
  
more burdensome.    But it was always  
  
potentially pretty burdensome.

01:42:11      MS. LEE:    What was your most  
  
memorable experience as a litigator?

JUSTICE STEVENS:    Well, I've thought.  
  
I knew that question was coming up.  
  
I suppose a case involving a fire in  
  
a printing plant in Kokomo, Indiana,  
  
back there several years ago had a  
  
lot of interesting aspects to it that  
  
I particularly remember.

01:42:41      MS. LEE:    Did you try that case to a  
  
jury?

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JUSTICE STEVENS: Yes, I did. And

the jury came in on our side of it.

But it was interesting

01:42:52

because I represented the Cuneo

Press, which owned the printing

plant. And they were sued by the

Hearst Corporation, which had a large

volume of paper in the plant. And it

was a negligence case where a former

employee had poured a lot of hot

stuff on the roof. They were

replacing the roof and started a fire

which set off the sprinkler system,

which drowned the

01:43:21

paper in a flood of water and caused

a huge amount of damage to Hearst's

paper. And I still remember when the

former employee came in, got on the

witness stand. They asked him his

name, and he stood up and saluted.

He said, "Dewey Pace [phonetic],

sir." And he sort of made out the

point that he was not a particularly

competent employee to be trusted with

01:43:50

repairing the roof.

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And they had experts from the roofing company testify as to how a well-trained roofer would avoid the risk of fire. And whereas Dewey Pace testified that his instructions were to get the tar good and hot, that was the only instruction he got. And so they concocted a case of negligence against our employees. But I remember particularly that what won the case for us was the former fire chief of Kokomo, Indiana, who Mr. Cuneo had hired to supervise fire prevention. And he was a wonderful witness. And what I learned in that, one of the many things I learned during that trial, is that witnesses win trials, not lawyers.

MR. SAMUEL ESTREICHER: Do you have a favorable impression of the jury system?

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: Do you have a favorable impression of our jury system?

Timecode	Quote
	JUSTICE STEVENS: Yes, I do. I think juries generally get it right. And sometimes of course it
01:44:57	misfires, but nothing is really perfect.  MS. LEE: How many cases did you try before a jury in your career?  JUSTICE STEVENS: How many? Not very many. In fact, I think there's a misconception about the amount of jury work that even very busy trial lawyers do, 'cause most cases settle. And I don't know. I
01:45:22	just tried maybe 10 or 12 cases, is all.  MS. LEE: How valuable do you think that experience as a trial lawyer is to the work of an appellate judge?  JUSTICE STEVENS: I think it's extremely valuable. I think you learn a lot during trials that you don't learn in any other forum. It's extremely important, I think. And I
01:45:49	know you ask about whether I think it's unfortunate that there are not

Timecode	Quote
	more trial lawyers on the Court now,  and I do. I think that's an omission  that is unfortunate. But it's partly  the
01:46:04	problem with getting people confirmed  and so forth.  MS. LEE: One final question about  your trial lawyer experience, and a  recent case that the Supreme Court  decided, the Twombly case about  particularity of pleading. <sup>84</sup>  JUSTICE STEVENS: Yes.  MS. LEE: Did you conceive that case  from the perspective of your own  01:46:28 experience as an advocate, a trial  advocate, and what did you think  about the case?  JUSTICE STEVENS: Oh, I thought it  was a most unfortunate case. I  really did. I can't really remember  precisely what the issue was in that  case now.

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<sup>84</sup> Bell Atlantic Corp. v. Twombly, 550 U.S. 544 (2007) (holding that, to survive a motion to dismiss, the complaint must allege sufficient facts to establish a plausible entitlement to relief). Justice Stevens dissented. *Id.* at 570.

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MR. MCKENZIE: It was an antitrust  
Sherman Act, I think, case.

01:46:51 JUSTICE STEVENS: Oh yeah, and they  
did not allow the plaintiff, I forget  
the details of the case.

MS. LEE: It was a plausibility  
requirement that would be a threshold  
01:47:00 that was decided by the judge.

JUSTICE STEVENS: Oh, yeah. In order  
to even go forward with discovery.

MS. LEE: Yes, yes.

JUSTICE STEVENS: You know, I think  
that's quite wrong. Quite wrong.

MS. LEE: Okay.

MR. MCKENZIE: Justice, you mentioned  
01:47:13 your work with the, on Capitol Hill a  
few minutes ago. What was your job  
on the staff?

JUSTICE STEVENS: Well, a little  
background to that. The Celler  
Committee was engaged in a series of  
hearings raising the question whether  
merely being a big company could  
violate section two of the Sherman



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Act,<sup>85</sup> was sort of the underlying

01:47:39 concern. And the Republicans were concerned that Celler was too aggressive and moving too far to the left and might come up with some unfortunate legislation. So I was

01:47:55 working for Edward R. Johnston, who I've already identified as the first head of the antitrust section of the American Bar Association. And the American Bar engineered an effort to get a Republican appointed as associate counsel to watch out for the dangers that Manny Celler was threatening to our economy.<sup>86</sup> And so I was recommended by Johnston to go to 01:48:25 that job. And I came down here more or less as a defense counsel defending big business.

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<sup>85</sup> Section 2 of the Sherman Act prohibits monopolization, attempt to monopolize, or conspiracy to monopolize any part of the trade or commerce among the States or with foreign nations. 15 U.S.C. § 2.

<sup>86</sup> Emanuel Celler (1888-1981), a Democrat from New York, served in the U.S. House of Representatives from 1923 to 1973. He was chairman of the House Judiciary Committee from 1949 to 1973, except for 1953 to 1955, when the Republicans were in the majority. In 1950, Rep. Celler was the lead House sponsor of legislation that strengthened the Clayton Antitrust Act by giving the federal government power to prevent anticompetitive mergers by means of asset acquisition.

Timecode      Quote

But when I got here, I found out that the committee really was much more non-partisan than I had expected.

And as it turned out, we worked on a very non-partisan basis, working with one another on the investigations.

And I just fit in

01:48:53      with the staff very well, and I grew to admire Celler. And he had an assistant who was in charge of the Judiciary Committee named Bess Dick, who was a very good

01:49:08      lawyer and sort of helped a lot with the work on the committee.<sup>87</sup> And we ended up, we finished up an investigation of, I think it was, of the steel industry.

But then we got the idea that we should investigate organized baseball. And organized baseball, the big issue then was whether the

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<sup>87</sup> Bess E. Dick was legislative assistant, House Judiciary Committee, in 1945, when the Democrats were in the minority in the House of Representatives, and staff director from 1955 to 1972, when the Democrats were in the majority. Because the Democrats were in the majority in 1951-52, when John Paul Stevens was on the staff of the Celler Committee, Bess E. Dick was probably staff director.

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Timecode	Quote
01:49:34	reserve clause violated the antitrust laws. And so we had experts in antitrust and baseball such as Ty Cobb and Branch Rickey and Mickey Mantle*and other, Ford Frick, people come and testify. And that occupied a very large part of our time, those hearings. And they were really very interesting, and I think fairly significant hearings. And it was
01:49:59	interesting to see and learn about the economics of the baseball industry at that time, which is vastly different from the economics of sports franchises today.
01:50:10	MR. ESTREICHER: Did your experience on this committee affect how you view the reliability of legislative history? Did your experience affect how you view legislative history?  JUSTICE STEVENS: Yes, that's an experience that influenced my thinking about legislative history, because I remember one particular instance we did get a bill through

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\*Although Mickey Mantle did testify on antitrust and monopoly issues before a Senate Subcommittee, he did so not in 1951 but at a later hearing in 1958.

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Timecode	Quote
01:50:34	enacting a federal statute of  limitations on treble damage actions.  And it's rather complicated because  the tolling of the statute is  postponed during the pendency of a  government action, because a  government victory in particular is  prima facie evidence of guilt in the  subsequent treble damage action. <sup>88</sup> So  we'd have a problem of when the
01:51:02	statute was tolled, when it began to  run again. And I remember discussing  that issue with one member of the  committee and explaining to him some  of the complication. And he ended
01:51:17	our conversation by saying, well, I  think we can let the judges work that  one out. But I think there was a lot  of wisdom in the legislature  recognizing that you can't figure out  everything of every application of a  statute. There does have to be some  coordination between enforcement by

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<sup>88</sup> 15 U.S.C. § 16(i).

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the executive branch and by the  
judges and what the legislators

01:51:41 intend. And if they attempt to  
figure out every possible wrinkle in  
a statute. . .

MR. ESTREICHER: They'll make a lot  
of mistakes.

JUSTICE STEVENS: It'll take a lot of  
time, too.

MR. ESTREICHER: And take a lot of  
time.

JUSTICE STEVENS: Yeah.

01:51:54 MR. MCKENZIE: How did your work with  
the Celler Committee affect your  
subsequent practicing career?

JUSTICE STEVENS: Well, one way it  
helped, oddly enough, is it's  
probably

01:52:06 responsible for my representation of  
Charlie Finley, because I did get to  
know a little bit about baseball at  
that time. And when he wanted to  
move from Kansas City to another  
location, he had first consulted a  
lawyer named Nizer, a New York

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lawyer, who was kind of pretty

adversary sort of --

MR. ESTREICHER: Louis Nizer, Louis.

JUSTICE STEVENS: -- person.<sup>89</sup>

01:52:35

And the people who testified in the hearings somehow or other suggested to him that he ought to hire me. And he did, and that led to a lot of interesting legal work.

MS. LEE: Now, turning to antitrust, did you represent both plaintiffs and defendants--

JUSTICE STEVENS: Yes.

MS. LEE: As an antitrust lawyer?

01:53:00

JUSTICE STEVENS: We did. I did, and I think about 50/50, which was fairly unusual at the time because most of the big firms did defense work only. And there were a

01:53:14

few specialists on the plaintiff side. There was a lawyer named Tom McConnell in Chicago, who was very

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<sup>89</sup> Louis Nizer, a senior partner of the New York law firm Phillips, Nizer, Benjamin, Krim, and Ballon, was a noted trial lawyer who represented parties in high-profile trials. He published a best-selling memoir, *My Life in Court*, in 1962.

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successful. He brought the case

involving the Jackson Park Theatre in

Chicago. Bigelow I think is the name

of the case.<sup>90</sup> And it really made it

possible to compute a fair measure of

damage and so forth. It was a very

influential case, developing private

01:53:41 treble damage. And he was a very  
effective lawyer.

MS. LEE: How did it happen that both

plaintiffs and defendants came to

your firm to do antitrust

representation?

JUSTICE STEVENS: Well, I was already

representing Charlie Finley<sup>91</sup> when we

got in the, it's kind of interesting.

Charlie hired me

01:54:08 really to get him out of Kansas City  
and into a new location without

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<sup>90</sup> Bigelow v. Loew's, Inc., 201 F.2d 25 (7<sup>th</sup> Cir. 1952). Thomas C. McConnell of Chicago represented the plaintiff.

<sup>91</sup> Charles O. Finley (1918-1996), after making a fortune in the insurance business, purchased the Kansas City Athletics baseball team in 1960 and moved the franchise to Oakland in 1968. Finley was a master showman, an innovator, and one of baseball's most flamboyant owners. He often clashed with players, other baseball owners, and the commissioner of baseball.

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	getting involved in litigation, or
	try to make the move as peaceful as
	we could. And we ended up going to
01:54:20	Oakland, California. And the day we
	arrived, the Sportservice
	concessionaire filed a lawsuit
	against him requiring, seeking to be
	named the concessionaire. And
	Oakland Coliseum had its own
	concessionaire. So there was a
	conflict between a rock and a hard
	place because there are two binding
	contracts that created a problem.
01:54:52	And Sportservice sued Charlie, or
	tried to enforce the contract. <sup>92</sup>
	The contract that Charlie had
	inherited when he owned the team had
	been negotiated by Connie Mack maybe
	20 or 30 years earlier, at a time
	when the Athletics were in need of
	money. <sup>93</sup> And one source of financing

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<sup>92</sup> Twin City Sportservice, Inc. v. Charles O. Finley & Co., Inc., 365 F. Supp. 235 (N.D. Cal.), rev'd 512 F.2d 1264 (9<sup>th</sup> Cir. 1975).

<sup>93</sup> Cornelius "Connie Mack" McGillicuddy (1862-1956) was a professional baseball player, manager, and team owner. He



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	in baseball at that time was having
	the concessionaire advance money to
01:55:18	the team in exchange for a long-term
	contract. And he had a contract that
	not only extended for many, many
	years, but required that Sportservice
	have the concession business if the
01:55:35	team moved to another location. And
	not only was Sportservice entitled to
	the concession, but they were
	entitled to do the concession work
	for every person who became a tenant
	of the facility.
	So if their view prevailed, they not
	only did the baseball concessions,
	but the football and anything else.
	So that really presented a situation
01:55:59	that was ripe for litigation. And
	the case went on, and the first part
	of the trial was handled by Tom Clark
	sitting as a trial judge out in San
	Francisco, and that's the first time

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managed the Philadelphia Athletics from 1901 to 1950, and was an owner of the team from 1901 to 1954. The team moved to Kansas City in 1954 under new ownership.

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I had a chance to meet Justice Clark, for whom I became a real admirer of the work he did.<sup>94</sup> But that case went on from the time I got there 'til after I was on the Court of Appeals.

01:56:31 And I think the case was still pending when I came to the Supreme Court.<sup>95</sup>

MS. LEE: Do you think that it was an advantage for your clients that the lawyers they hired did represent both sides?

01:56:43 JUSTICE STEVENS: Well, I think probably. I don't know if they thought of it in that term. See, in that case, Charlie was really a plaintiff 'cause in the counterclaim we challenged the validity of these long-term contracts and ultimately prevailed. But I'm not sure it's that organized.

01:57:10

MR. ESTREICHER: Were you involved at

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<sup>94</sup> After retiring from the Supreme Court in 1967, Tom Clark sat by designation on the lower federal courts around the country.

<sup>95</sup> *Twin City Sportservice, Inc. v. Charles O. Finley & Co., Inc.*, 676 F.2d 1291 (9<sup>th</sup> Cir. 1982).

Timecode      Quote

all as a counsel for class actions on

. . .

JUSTICE STEVENS: Did I ever do. . .

MR. ESTREICHER: Yes, as a lawyer.

JUSTICE STEVENS: I did some defense

work. I represented

01:57:25      some directors in corporate

litigation.

MS. LEE: You mentioned Edward

Johnston, and you mentioned Tom

McConnell. Were there any other

01:57:36      memorable lawyers in the antitrust

bar in Chicago when you were

practicing?

JUSTICE STEVENS: Yes, there were

several. John Chadwell was

particularly effective. He was

really a great lawyer at the time.<sup>96</sup>

I almost went to work for him before

I got the clerkship with Justice

Rutledge. But he didn't have an

01:57:57      opening when I came back, so I went

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<sup>96</sup> John T. Chadwell was a name partner in a firm that was described as the preeminent antitrust firm in Chicago, which received referral business from New York firms. He became well known after litigating several large antitrust cases. CYNTHIA GRANT BOWMAN, DAWN CLARK NETSCH: A POLITICAL LIFE 79 (2010).

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elsewhere. But generally speaking

the best litigators in general were

also the best antitrust lawyers.

MS. LEE: Apart from specific issues

of antitrust law, do you think that

your work in that area had any

broader impact on your approach to

the law generally or to your approach

01:58:26

to other areas of law that might be

analogous to antitrust?

JUSTICE STEVENS: Well, yes, I do,

antitrust is just one kind of big

01:58:45

lawsuit, and I got involved in other

fairly substantial litigation that

was not necessarily antitrust.

Although a lot of the biggest

antitrust litigation that took place

during my private practice was the

electrical equipment cases, in which

Westinghouse and General Electric and

other suppliers were sued by the

utility companies.<sup>97</sup> And

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<sup>97</sup> See Myron R. Watkins, *Electrical Equipment Antitrust Cases: Their Implications for Government and Business*, 29 U. CHI. L. REV. 97-110 (1961).

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01:59:22      that was a time when it became  
  
acceptable for the big firms and the  
  
conservative firms to become  
  
plaintiffs because they were  
  
representing substantial companies  
  
who were suing the suppliers. And so  
  
that's the time when it became a  
  
rather dramatic change in the makeup  
  
of the antitrust bar.

MS. LEE: Did you spend time doing  
01:59:50      economic analysis of antitrust law,  
  
and did that affect the ways in which  
  
you thought about the law more  
  
generally?

JUSTICE STEVENS: Yes, and  
01:59:58      as I think I've mentioned, I taught  
  
the subject both at Northwestern and  
  
the University of Chicago. And  
  
particularly at Chicago, the course  
  
was called, Competition and Monopoly  
  
was the name of the course. And it  
  
was taught by an economist and a  
  
lawyer. And the economist was Aaron  
  
Director, who was a very fine  
  
economist but didn't write much

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02:00:27      stuff, but he was really a very  
  
influential economist.<sup>98</sup> And I  
  
learned a great deal from him when I  
  
was co-teaching the course. And so  
  
that had an impact on my thinking,  
  
and of course that had other  
  
consequences too.<sup>99</sup>

MS. LEE: Turning more generally to  
  
your law practice, please tell us  
  
about the decision that you and your  
  
02:00:51      colleagues made to leave the Jenner  
  
firm and to establish your own  
  
practice.

JUSTICE STEVENS: Why we did it?

02:00:57      MS. LEE: Yeah, why you did it.

JUSTICE STEVENS: Well, the actual  
  
decision was probably made shortly  
  
after we joined the firm. When in  
  
order to get admitted to the bar, we

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<sup>98</sup> Aaron Director, a professor of law at the University of Chicago from 1946 to 1965, profoundly influenced antitrust law through the application of economic analysis. More generally, he was a central figure in the Chicago school of economics.

<sup>99</sup> Stevens was invited to teach the Competition and Monopoly course by Edward Levi, dean of the law school at the University of Chicago, who had previously taught the course. Years later, when he was Attorney General, Levi played an instrumental role in President Ford's decision to nominate Stevens to the Supreme Court.

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all took the bar and were admitted.

Well, they were a year behind me in law school. I was admitted after I served my clerkship with Justice Rutledge. But after we

02:01:25 had been hired at what was then Johnston, Thompson, Raymond & Mayer--no, it was really Poppenhusen, Johnston, Thompson, Raymond & Mayer, we had to take a day off to go down to Springfield to be admitted to the bar. And we did that, and we all learned at our next paycheck we were docked for that day. We didn't do any productive work, and

02:01:52 three of us shared the same office. Mr. Poppenhusen's office was a great big, lovely office. But he was in ill health at the time we started. And so we

02:02:05 shared, and we became good friends. And I think after that experience, we decided that sooner or later we would be working for somebody else.

MS. LEE: How large did the law firm,

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your law firm become, say at the time that you left to go to become a judge? How many partners, how many associates were there?

JUSTICE STEVENS: Well,

02:02:32

when I went to work for what is now Jenner and Block, I think there were 24 lawyers, and the firm jumped to 28 at the time, which was a big firm. Now you'd call it a boutique, I think ...

MS. LEE: Yeah.

JUSTICE STEVENS: But when we left, three of us left together.<sup>100</sup>

MS. LEE: Yeah.

JUSTICE STEVENS: We met

02:02:49

one another when we were all hired at the same time at Jenner. And we left in 1952, after I had served as associate counsel for the Celler Committee. I came back to Chicago.

02:03:03

I went back with the Poppenhusen firm for a short time, and then the three

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<sup>100</sup> John Paul Stevens, Edward Rothschild, and Norman Barry.



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                 of us left.

MS. LEE: Did you grow very much in terms of numbers of lawyers over the years?

JUSTICE STEVENS: Well, not compared to the growth of today's bar, but I think I practiced probably for, I guess in that firm for about

02:03:24      18 years. And I think we had about ten lawyers when I left. I'm not sure the exact number.

MR. MCKENZIE: Justice, are there lessons that you can relate from your time in practice, and in particular, things that you may have learned from representing clients?

JUSTICE STEVENS: Yes, there sure are. I certainly couldn't in a few minutes recount all the lessons, but I think I mentioned in connection with the fire case that I learned that witnesses win lawsuits. Lawyers don't. You need to have the facts

02:04:10      explained in an intelligible way, and in a way that makes a jury

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sympathetic to your position. And I don't know. Everything you do, you learn something. It's amazing. I'm still learning today.

MR. MCKENZIE: For a trial judge, I can see a direct connection between having represented clients in practice and being a trial judge. Do

02:04:36 you think it matters as much for an appellate judge?

JUSTICE STEVENS: Well, I do, but of course it varies from case to case. Every case is different, and every problem is different. But just as a general matter, I had learned things along the way that were helpful to me in thinking through

02:05:00 particular problems. And I have to say I think that that's true of Tony Kennedy, because he had experience in trial work, and I think he has insights that other members of the

02:05:11 Court don't share. And so he's a particularly, he's a particularly fine judge because of his ability to

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size up different situations.

MS. LEE: Again on the topic of clients, you mentioned Charlie Finley, who was evidently a very memorable client. Were there any other memorable clients who you'd like to tell us about?

02:05:40      JUSTICE STEVENS: Oh, I had a lot of memorable clients. I think I may have mentioned in *Five Chiefs*, for some reason I did a fair amount of work for distributors rather than manufacturers or retailers. I did some work for different kind, and one in particular I'd mention is Norm Niemi, who was a distributor of calculating equipment.<sup>101</sup> At that time,

02:06:11      it was an English machine called, I think it was the plus machine. It was comparable to a comptometer, which is fairly primitive compared to

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<sup>101</sup> Norman Niemi, Felt and Tarrant Manufacturing Co., Merchandise Mart, Chicago.

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the stuff that's available now. But

02:06:22

he was a particularly good client, and he took me to England with him on one occasion to renegotiate his arrangement. Another client was Sam Zeoli in the washing machine business, coin-operated washing machines.<sup>102</sup> And I remember one thing that he often bragged about, he was a very fine man, was that he had grown up in a tough neighborhood in New

02:06:54

York. None of his boys had ever spent a day in jail. That was evidence of the kind of person he was.

MS. LEE: One client whom you have spoken about is a prisoner named Arthur La Frana, whom you represented as a pro bono matter in habeas.<sup>103</sup> Could you please tell us a little bit about that case?

02:07:16

JUSTICE STEVENS: Yes, I can. That

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<sup>102</sup> See *Laundry Equipment Sales Cor. v. Borg-Warner Corp.*, 334 F.2d 788 (7<sup>th</sup> Cir. 1964).

<sup>103</sup> *People v. La Frana*, 4 Ill. 2d 261 (1954).

Timecode	Quote
	was a case where La Frana had filed a pro se petition alleging that he had been brutally beaten by the police, and he had confessed as a
02:07:30	result of the torture. And it turned out his story was true. I remember my first visit with La Frana, who was a likeable guy, and who had got into trouble, one kind or another. But I remember asking him about, he had alleged that he had been handcuffed, his arms behind his back and that a rope had been attached to the handcuffs and he been strung with his
02:08:06	feet off the floor, so the weight was on the rope and the handcuffs. And I had thought that it would be terribly painful to his wrists, with the handcuffs. I remember asking him how it felt to be put in that position. And he said the pain was absolutely excruciating, particularly through his shoulders. And it surprised me when he said that, and I thought my
02:08:40	golly, he wouldn't be saying that if

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                 it weren't true.

And I formed the opinion that he was telling me the truth, and that he had in fact been beaten up. And so

02:08:51      anyway, I was appointed to represent him on the trial. I should back up. When he filed his pleading, the Illinois court had dismissed, thrown it out on the ground he hadn't exhausted his state remedies. And he was one of several, his petition one of several that the Supreme Court ordered hearings in. And he had been represented by Nat Nathanson, my

02:09:18      former con law professor, in his petition for review in the Supreme Court.<sup>104</sup> And when the case was sent back for an evidentiary hearing, Nat asked me if I'd be willing to take over the case 'cause he didn't have much experience in trying cases. And

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<sup>104</sup> La Frana v. People of the State of Illinois, 342 U.S. 805 (1951) (appointment of Nathaniel Nathanson as counsel for petitioner); Jennings v. State of Illinois, 342 U.S. 104 (1951) (remand of three cases, including La Frana's case, to Supreme Court of Illinois to determine if the Illinois statute provided an appropriate remedy for petitioners to assert that their constitutional rights had been infringed).

Timecode	Quote
	I was happy to do it, and the judge appointed me as the counsel to represent him. And then I went down
02:09:46	for my first interview with him. And because of the fact that I was convinced he was telling me the truth, I think I may have spent a little more time on the case and been
02:09:58	a little more diligent than I otherwise would have, although I like to think I would have done a thorough job anyway. I don't know. But I made a pretty thorough investigation of the case. And we found in the records, there were records, medical records that confirmed the marks on his arms to show that they'd been held. And we found a picture of him
02:10:21	in a newspaper story that had been taken after he had confessed. He was beaten for several days as it turned out. And the picture showed he had bruises that were evident to the observer. And there was some other piece of evidence we found too that

Timecode	Quote
	confirmed it. In any event, he was
	telling the truth. And we were able
	to establish that fact. And that of
02:10:53	course made me realize that there was
	such a thing as police brutality that
	affected a fair number of arrestees,
	and it influenced me to take cases of
	that kind more seriously.
02:11:11	Eventually we got, we did not
	persuade the trial judge. It's also
	interesting that the trial judge in
	the case was Judge Kluczynski, who
	later became a member of the Illinois
	Supreme Court. <sup>105</sup> And although he
	conducted a very fair hearing and let
	us get in all our evidence, he
	eventually ruled against us. And the
	reason he did is he didn't want to be
	in the position of
02:11:36	reversing a fellow trial judge. And
	so he accepted it, but then we

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<sup>105</sup> Thomas Kluczynski, a judge in Cook County, presided over Family Court and became chief justice of the Criminal Court and later of the Circuit Court. He served on the Illinois Supreme Court from 1966 to 1976 and from 1978 to 1980. *Veteran Justice Thomas E. Kluczynski*, CHI. TRI., May 18, 1994.



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appealed to the Illinois Supreme

Court, and the court unanimously

reversed on the facts that the

evidence definitely established his

allegations.<sup>106</sup>

MS. LEE: Did that quite vivid

experience affect the ways in which

you later viewed police brutality

02:11:58

allegations or generally the

availability of post-conviction

remedies?

JUSTICE STEVENS: Yes, it did

because, and that plus my

02:12:07

experience with Justice Rutledge, I

think we discussed--

MS. LEE: [Interposing] Yes.

JUSTICE STEVENS: --before, that you

can't be sure, there's sort of a

presumption that most of these cases

probably have no merit. But you do

learn when you get involved in one or

more that some of them do have merit.

And you have to look at them

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<sup>106</sup> People v. La Frana, 4 Ill. 2d 261 (1954).

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Timecode Quote  
02:12:28 pretty closely to find out what the facts are.

MS. LEE: Thank you.

MR. MCKENZIE: Justice, as a lawyer in private practice, you argued a case in the Supreme Court, United States against Borden Company.<sup>107</sup>

Could you describe that experience and what that was like?

JUSTICE STEVENS: Well, that was a Robinson-Patman Act case,<sup>108</sup> in which I 02:12:52 represented one of the defendants, Bowman Dairy, and Borden was represented by Stuart Ball of what had formerly been a big retailer company. But in any event, we lost

02:13:11 the case, and I thought that we should've won it. But the most memorable experience is getting up at the lectern and finding out that the members of the Court seemed to be close enough to reach out and touch

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<sup>107</sup> U.S. v. Borden Co., 370 U.S. 460 (1962).

<sup>108</sup> Enacted in 1936 as an amendment to the Clayton Antitrust Act, the Robinson-Patman Act prohibited price discrimination in an effort to protect small retail shops against competition from chain stores.

Timecode      Quote  
'em. And I think it's an experience  
that many others have shared. I  
remember talking to both John Roberts  
and Ruth Ginsburg, who argued a fair  
number of

02:13:37      cases. And they also remembered  
their first experience in the Court  
was one that you're just right in,  
almost within touching distance of  
the people you're addressing.

MR. MCKENZIE: Did that experience  
affect the way you treated lawyers  
once you became a member of the  
Court?

JUSTICE STEVENS: I don't,  
02:13:55      I'm not sure it did. I don't really  
think it did.

MR. MCKENZIE: Have you heard your  
argument? You can actually get  
online an audio of your argument in  
02:14:07      the Borden case.<sup>109</sup>

JUSTICE STEVENS: No, I haven't.  
Have you heard it?

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<sup>109</sup> The Oyez Project, IIT Chicago-Kent School of Law, Cases, 1962, [www.oyez.org](http://www.oyez.org).

Timecode	Quote
	MR. MCKENZIE: I have; I have. You sound the same.
	JUSTICE STEVENS: That's interesting.
	MR. MCKENZIE: Very persuasive, actually.
	JUSTICE STEVENS: I didn't
02:14:18	really persuade. I think John Harlan was the only one who was persuaded to our position. And I think we were really right in that case. It's interesting. But anyway, that's one thing that you learn also when you take on cases. You sometimes are doubtful about the merits of your client's position. But the more you work for the client, the more you
02:14:46	become convinced that justice is really on your side. Which is one lesson that affected my work on the Supreme Court, by the way, that sometimes when you have the
02:15:00	responsibility for assigning opinions, if you have someone who is not all that sure of the outcome, if you assign that person the opinion,

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that person is going to be totally convinced by the time he's written it, whereas if you assign it to somebody else, that person is apt to maybe rethink the case. It's an interesting phenomenon.

02:15:24

MS. LEE: Justice, switching to Chicago, and Illinois politics, or Illinois generally, do you think that coming from Chicago, as opposed to coming from somewhere else in the rest of the country, had an impact on your life?

JUSTICE STEVENS: Yes, oh sure did, for a lot of reasons. But one thing that that question reminds

02:15:50

me of. Bill Rehnquist came from Milwaukee. And Milwaukee was kind of a law and order city. And Chicago was kind of an everything goes city. And I remember thinking that crossing

02:16:06

the middle of the block in the Loop,<sup>110</sup> that's no problem. You have

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<sup>110</sup> The Loop is the central business district of Chicago.

a constitutional right to jaywalk in Chicago. But in Milwaukee, you're apt to spend a couple of days in jail if you're going to do the same thing. And the two of us often compared our experiences, and we agreed there was a difference in Chicago and Wisconsin. And I think that

02:16:30 difference may have contributed to our differences of appraising the importance of giving a presumption of regularity to local government decisions. He gave a strong presumption, and I thought sometimes it was appropriate to take a second look.<sup>111</sup>

MR. ESTREICHER: You had a different experience with local government.

02:16:49 JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: You had a different experience with local government, in

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<sup>111</sup> See *Oklahoma City v. Tuttle*, 471 U.S. 808, 839-44 (1985). Justice Rehnquist, writing for a plurality, stated that a municipality should not be liable for the wrongful acts of a single city employee not authorized to make policy. Justice Stevens dissented, urging that a municipality should be liable for the actions of its employees under the theory of *respondeat superior*.

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Chicago.

02:16:53      JUSTICE STEVENS:    That's exactly  
right.    That's exactly right.

MS. LEE:    During your time in  
Chicago, you saw your share of  
Chicago politics and irregularities,  
to your use your word.    Did that  
background have any effect on your  
views about corruption or money and  
politics?

02:17:13      JUSTICE STEVENS:    I think  
it did.    I think ,just as I mentioned  
with Bill Rehnquist, I thought it was  
more of a real problem than people  
who came from areas where similar  
activity didn't occur as frequently.

MS. LEE:    And since you retired, all  
of the justices on the Supreme Court  
were living and working either on the  
East Coast or in California, at the  
point when they were named to the  
02:17:47      Court.    And there's been a certain  
amount of discussion about that  
unusual lack of geographic diversity.  
Do you think that it makes any

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                 difference?

02:17:57      JUSTICE STEVENS: I do, and maybe it hasn't affected the decision of particular cases. I'm not sure. But I do think that-- and I don't know how one achieves that goal. But I think the Court would be stronger with more diversity, and the public would have more respect for it. But because the Court that I joined really was very diverse, both in

02:18:21      terms of particular backgrounds, geographic area, and the work, and of course there's no former member of the military is a member of the Court either, which I think is unfortunate. But it's a problem. There are only nine seats, so you can't be too representative. But I think also, the confirmation process has become more along the line of trying to find

02:18:54      somebody who's not only qualified but doesn't have any handicaps in his or her background. So it is a problem.

MS. LEE: Do you think that the Court



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would benefit from having a member or

02:19:10

members who have had experience in  
elective politics?

JUSTICE STEVENS: Yes, I do. I do,  
and that of course was true for  
years. Elected politics has provided  
an appropriate background. Hugo  
Black was of course a senator, and  
Sandra Day O'Connor was active in the  
legislature. And I think that does  
provide a valuable perspective.

02:19:42

Of course, Steve Breyer has had the  
experience as general counsel to the  
Senate Judiciary Committee.

MR. MCKENZIE: Justice, you were very  
active in the bar, in Chicago. Did  
you make an affirmative decision to  
become involved in the Chicago Bar  
Association, or did it slowly develop  
over time?

JUSTICE STEVENS: Well, I

02:20:06

think I made an affirmative decision.  
My older brother Jim, who I've always  
admired, was active in the bar. And  
I think he found it useful in getting

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to know other lawyers in a setting

02:20:23

that's not adversary. And also, when

you're involved in bar work, it's one

activity where you can think for

yourself rather than merely

representing a client. And the more

time you spend in activities of that

kind, I think the better perspective

you have generally. And I really

learned a great deal in bar

association work, and I think it's

02:20:50

very important for young lawyers to

get involved in it.

MR. MCKENZIE: And what roles did you

play in the bar association?

JUSTICE STEVENS: Well, several. I

was on different committees,

committee on the development of law,

the antitrust committee, committee on

judicial candidates where we

interviewed judicial candidates and

02:21:12

made recommendations for them. And I

was on the Board of Managers for a

while. I did spend a lot of time in

bar association work.

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MR. MCKENZIE: And how did that

02:21:25 involvement affect your perspective  
on, for example, the selection of  
judges?

JUSTICE STEVENS: Well, I've always,  
it perhaps solidified feelings I had  
all along, but I thought that  
Alexander Hamilton and his colleagues  
got it right in deciding that  
appointment is a better way to get  
qualified candidates. But it's kind  
of hard to

02:21:57 just treat it as two black and white  
divisions, because really in a lot of  
jurisdictions where judges are  
elected, they really are pretty much  
appointed by political leaders who  
have the ability to determine who  
will get on the ballot.

And one thing I'll say for Mayor  
Richard Daley, the senior Daley, when  
I was on the committee on candidates  
of02:22:27 the bar association,  
we had, he made a commitment to us  
that he would not approve putting

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anyone on the ballot who was not  
  
found to be qualified by the bar  
  
association's

02:22:43 review. This was just an informal  
  
arrangement. But partly he wanted to  
  
get good judges. And having the  
  
committee decide that somebody was  
  
not qualified gave him an acceptable  
  
reason for turning down a person who  
  
had the political credentials that  
  
might have warranted being rewarded  
  
with a judicial post. So that by  
  
working with the Democratic

02:23:10 organization, which was really in  
  
control in Chicago, and Mayor Daley,  
  
we had better judges than we would  
  
otherwise have had.

MR. ESTREICHER: Can I just comment  
  
on that? You were a Republican, at  
  
least nominally. Was that because of  
  
your experience in Chicago politics?

JUSTICE STEVENS: Well, I grew up as  
  
a Republican. My parents were both  
  
02:23:37 Republicans. And I don't know that  
  
my brother Jim was. But the family

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was Republican. And then when I got the appointment at the Celler Committee, it was thanks to Mr.

02:23:51 Johnston, who was also a Republican, and Chauncey Reed, who was a Republican representative from West Chicago, Illinois.<sup>112</sup> And he was, that was a very Republican area. And he was one of the very few Congressmen, Republican Congressmen, who stayed through the Roosevelt era where something like 90% of the Congress was Democratic. But that sort of

02:24:22 matter just developed.

MR. MCKENZIE: Justice, did your involvement in the bar affect your views on lawyer advertising, which at the time was very minimal and very strictly limited, but today, in part because of the Supreme Court's First Amendment jurisprudence, is much more

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<sup>112</sup> Chauncey W. Reed was a member of the U.S. House of Representatives from Illinois from January 1935 to February 1956. He was a member of the Judiciary Committee.

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                 widely accepted.

JUSTICE STEVENS: I don't

02:24:45      think it did. I just don't have any  
                 recollection of any connection  
                 between the two. But the rules have  
                 certainly been relaxed, and I think  
                 probably the net result is for the  
02:25:02      good rather than otherwise.

MR. ESTREICHER: Was there any  
                 interaction with Illinois state  
                 judges?

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: Did you have any  
                 interaction with Illinois state  
                 judges?

JUSTICE STEVENS: Not a  
02:25:14      great deal, but to a certain extent I  
                 did. But most of the work that I did  
                 was in federal court, although there  
                 was one pro bono assignment I did  
                 where I argued the constitutionality  
                 of an Illinois statute that imposed a  
                 cooling off period in domestic  
                 litigation, that imposed a delay  
                 between the time of filing and

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permissible granting of a divorce

02:25:49 decree.<sup>113</sup> And I can remember working particularly with Judge Miner in that case.<sup>114</sup> He was sure the statute as drafted was constitutional and just wanted a test case. I remember

02:26:08 explaining that if I took on the assignment, I'd do my best to get the statute held unconstitutional. And he said well, I know there's no problem about that. Bert Jenner<sup>115</sup> has already looked at it and told me it's okay. But anyway, we went through the litigation process. We held the statute unconstitutional. I persuaded, and my colleagues

02:26:33 persuaded, the Illinois Supreme Court that the statute was

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<sup>113</sup> People ex rel. Christiansen v. Connell, 2 Ill. 2d 332 (1954) (holding statute unconstitutional).

<sup>114</sup> Julius Howard Miner served as a judge on the Circuit Court of Cook County from 1940 to 1958, when he became a judge on the U.S. District Court for the Northern District of Illinois. He served as a federal district judge until his death in 1963.

<sup>115</sup> Albert E. Jenner, Jr. (1907-1988), a prominent Chicago attorney, became a partner at the Poppenhusen, Johnston firm in 1939 and a name partner in 1955. In 1947, he became president of the Illinois State Bar Association. The firm is now known as Jenner & Block.

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unconstitutional. And I learned

there that if you're going to take an assignment of that kind, you got to do the best you can as an adversary.

MR. MCKENZIE: Justice, I wanted to ask about your involvement with the Illinois Supreme Court investigation.

You were counsel to an

02:26:58 investigating committee that was tasked with determining whether allegations of corruption against two members of the Illinois Supreme Court had any merit.<sup>116</sup> And apparently many

02:27:12 members of the Chicago bar had been reluctant to take on that role.

JUSTICE STEVENS: I noticed that. I don't think that's true, Troy. I don't know that anybody was asked and turned it down. There was one other person, Milt Shadur, who later became a federal judge,<sup>117</sup> who was seriously

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<sup>116</sup> See generally KENNETH A. MANASTER, ILLINOIS JUSTICE: THE SCANDAL OF 1969 AND THE RISE OF JOHN PAUL STEVENS (2001).

<sup>117</sup> Milton Irving Shadur (born 1924) received his law degree from the University of Chicago in 1949. He practiced law in Chicago from 1949 until 1980, initially at the law firm co-



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considered for the position, but he

02:27:35

was vetoed by a member of the commission. There were five lawyers: president of the state bar, president of the Chicago bar, and three other leading lawyers, one not from Chicago. And they asked Milt Shadur if he wanted to take the job. Or they considered Milt Shadur. And one of the members of the commission was a member of the law firm that

02:28:04

represented the Chicago Cubs. And Milt Shadur had been a lawyer in a class action case against the Wrigley family seeking to have lights installed in Wrigley Field.<sup>118</sup> And that

02:28:18

was considered, you just don't challenge the Wrigleys. No way. And a member of that firm basically said he did not want him to be the counsel

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founded by Arthur Goldberg, who later served on the U.S. Supreme Court. Shadur served as a U.S. District Judge for the Northern District of Illinois from 1980 until his retirement in 2017.

<sup>118</sup> Schlensky v. Wrigley, 95 Ill. App. 2d 173, 237 N.E.2d 776 (1968).

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to the committee. And then they checked, and I was the second choice. And then Frank Green called me up and asked me if I was maybe willing to do it. But I don't think anybody had been approached and turned down.

02:28:41 MR. MCKENZIE: Why did you agree to do it?

JUSTICE STEVENS: Well, I thought it was a job that really had to be done. And I must say we didn't know at the time whether or not there was merit to the charges that were made by a man named Sherman Skolnick, who accused the court of-- it's kind of a complicated story. It grew out

02:29:08 of the case against Ted Isaacs, the revenue director under Governor Kerner, who was indicted for steering printing business to a firm that he controlled. I think that's the

02:29:22 background. And the trial judge had dismissed the indictment, and the Illinois Supreme Court had affirmed the dismissal. And it was alleged by

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Sherman Skolnick, the self-styled  
  
reviewer of the integrity of judicial  
  
behavior generally, that some of the  
  
judges had discussed the Isaacs case  
  
with Isaacs, and that he in fact had  
  
given them an opportunity to purchase  
  
stock

02:30:01      in the Civic Center Bank at a  
  
favorable price. And anyway, that's  
  
that happened. They did buy it, but  
  
they didn't actually get a lower  
  
price than anybody else. But they  
  
were on a list of people who were  
  
enabled to buy the stock when the  
  
general public was not entitled.  
  
Anyway, so purchase of stock in a  
  
company that had been formed by a  
  
litigant that

02:30:34      led to their ultimate resignation.  
  
MR. MCKENZIE: What did you learn  
  
from your involvement in that  
  
investigation, if any lessons came  
  
out of it?

02:30:44      JUSTICE STEVENS: Well, again the  
  
same lesson, the fact that your

Timecode	Quote
	prospective litigant is making what
	seem on their face to be improbable
	charges may not be the fact, because
	when we got into it, we found that
	the charges were true. And I learned
	the value of discovery, and I also
	learned the value of telephone
	records when you're
02:31:12	investigating suspicious behavior.
	And sometimes those records are the
	best evidence that you can find.
	That's one of the things that we had
	to subpoena the telephone company to
	get some records, to get some phone
	calls that led us to discover a
	particular trust that Judge
	Solfisburg had set up. <sup>119</sup>
	MR. MCKENZIE: So Justice, I wanted
02:31:37	to pick up with your appointment to
	the Seventh Circuit. How did you
	come to be nominated to the Court of
	Appeals?

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<sup>119</sup> Roy J. Solfisburg, Jr. was elected by popular vote to the Illinois Supreme Court in 1960. He was Chief Justice from 1962-63 and for a three-year term starting in 1967.

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JUSTICE STEVENS: Well,

02:31:48      I've heard different stories about that. One of them is that as a result of the hearings, on the integrity of the judgement in the Isaacs case, that turned out to be a successful hearing. And when it started, everybody was very suspicious that the lawyers in Chicago would whitewash the Illinois Supreme Court.

02:32:18      And so the favorable impression that those hearings gave apparently planted the seed in Chuck Percy's mind<sup>120</sup> . . .

MR. MCKENZIE: Who's the senator from Illinois.

JUSTICE STEVENS: The senator, Senator Percy was. And Senator Percy actually is entitled to a great deal of credit for making a

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<sup>120</sup> Charles H. Percy (1919-2011), a classmate of John Paul Stevens at the University of Chicago, became president of Bell & Howell, a Chicago-based manufacturer of motion picture equipment. A liberal Republican, he was elected U.S. Senator from Illinois in 1966 and served until the end of his third term in January 1985.

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Timecode	Quote
02:32:40	sincere effort to get better judges  in the Northern District of Illinois,  and federal judges generally. And he  did. The people that he sponsored,  some of whom were Democrats, turned
02:32:54	out to be for the most part very,  very good judges. Well, somewhere  along the line, his office called me  up and asked me if I would meet him  at a hotel in Chicago when he was  back there. And I was glad to say  yes, 'cause I had known Chuck, we  were friends in college, not the same  fraternity, but we had a number of  things that we did together.
02:33:24	And I met with him, and he said he  wanted to talk to me about some  appointments. He had I think two or  three vacancies on the District  Court, and a vacancy on the Court of  Appeals that he wanted to fill. And  he asked me if I had any suggestions  for filling those vacancies. And we  had a nice chat. And then at the end  of the chat, he said well, how about

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Timecode	Quote
02:33:46	you? Will you consider, and it came as a total surprise to me, the first thought that anybody did. And anyway, he asked me if I'd like to be appointed to the Court of Appeals.
02:33:59	And at that time, my practice was just really beginning to become profitable and more substantial. And I was dubious about whether I should get involved in judicial work, even though I knew I would enjoy it. But anyway, after thinking it over, I decided that I would go forward with it. And he did submit my name. And I can tell you that being a
02:34:28	prospective federal judge is not good for generating law business. People are not going to hire you to do something you may not be able to fulfill if you go onto the bench. But anyway, that's the way it started.
	MR. MCKENZIE: Had you been considered for a judicial appointment before that time?

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02:34:48 JUSTICE STEVENS: Not to my  
knowledge.

MR. MCKENZIE: Was there any  
controversy about your nomination  
when it went to the Senate?

02:34:55 JUSTICE STEVENS: Yes. Yes, people  
like President Ford's wife were not  
in favor of a male appointee. And of  
course the National Organization for  
Women were vigorously opposed to my  
appointment.

MR. MCKENZIE: I meant to the Seventh  
Circuit, when you were. . .

JUSTICE STEVENS: Oh, I'm sorry, to  
the Seventh Circuit.

02:35:12 MR. MCKENZIE: To the Seventh  
Circuit.

JUSTICE STEVENS: You're right. I  
don't remember any dispute at the  
time, no.

MS. LEE: Now, turning to the Seventh  
Circuit, perhaps we can start with  
the other judges whom you joined on  
the Seventh Circuit and tell us about  
them. Particularly, were any of them



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02:35:37      you admired especially, or were close  
to?

02:35:52      JUSTICE STEVENS: Well, that was a  
strong court. The judges, I think  
they were all well qualified at  
the time. Luther Swygert was the  
chief judge.<sup>121</sup> Tom Fairchild was  
from Wisconsin, was a fine judge, had  
been formerly chief judge on the  
state supreme court.<sup>122</sup> Wilbur Pell  
was just ahead of me. He was a  
Republican appointee.<sup>123</sup> Walter  
Cummings was on the court, a former  
partner of the Sidley firm.<sup>124</sup> Roger

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<sup>121</sup> Luther Swygert (1905-1988) of Indiana was a state and federal prosecutor and then was appointed in 1943 to the U.S. District Court for the Northern District of Indiana. He served as chief judge from 1954 to 1961. In 1961, he was appointed to the Seventh Circuit. He was chief judge from 1970 to 1975 and took senior status in 1981.

<sup>122</sup> Thomas Fairchild (1912-2007) of Wisconsin was Attorney General of Wisconsin, U.S. Attorney for the Western District of Wisconsin, and a lawyer in private practice in Milwaukee. He served on the Wisconsin Supreme Court from 1956 to 1966, when he became a judge on the Seventh Circuit. He was chief judge from 1975 to 1981, and held senior status from 1981 until his death.

<sup>123</sup> Wilbur F. Pell, Jr. (1915-2000) of Indiana practiced law in his home town, Shelbyville, and was deputy attorney general of Indiana from 1952 to 1955. He became a judge on the Seventh Circuit in 1980, assumed senior status in 1984, and served in that capacity until he died.

<sup>124</sup> Walter J. Cummings, Jr. (1916-1999) of Illinois was a partner at the Chicago law firm now known as Sidley Austin.

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Kiley, a former Democratic machine

02:36:24 politician, you might say, but was a fine judge.<sup>125</sup> He was a really decent

man. I think I've named, and then after I got appointed, Bob Sprecher was put on the court, who was really a brilliant judge, and one of the best judges I think the system had.<sup>126</sup> So it was a strong court.

MR. ESTREICHER: Was it a collegial court?

02:36:44 JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: Was it a collegial court?

JUSTICE STEVENS: Yes, it

02:36:48 was a collegial court. Oh, and I

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He took a leave of absence to be Solicitor General of the United States for several months in late 1952 and early 1953. He joined the Seventh Circuit in 1966, was chief judge from 1981 to 1986, and served on the court until his death.

<sup>125</sup> Roger J. Kiley (1900-1974) of Illinois practiced law in Chicago and was a member of the Chicago Board of Aldermen before serving as a judge on the Superior Court of Cook County, Illinois, in 1940 and a judge on the Appellate Court, First District, from 1941 to 1961. He became a judge on the Seventh Circuit in 1961 and took senior status in 1974, the year he died.

<sup>126</sup> Robert A. Sprecher (1917-1982) of Illinois engaged in the private practice of law in Chicago from 1941 to 1971, practicing corporate law and trial and appellate litigation. In 1971, he was named to the Seventh Circuit. He served until his death in 1982.

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should've named John Hastings, was a senior judge on the court.<sup>127</sup> And John was particularly important to me 'cause like Wiley Rutledge, he wrote out his own first drafts of opinions, and we talked about that on more than one occasion. I can remember him saying that, if you write a careful statement of the facts in any case, the

02:37:13

rest of the opinion will write itself. And he did. He wrote his own opinions. And he was a good judge. Very conservative man, but he was a very good judge.

MS. LEE: It has sometimes been said that the Seventh Circuit that you joined was known as a champion of civil rights and civil liberties. Do

02:37:36

you agree with that perception, and did it affect you, if you perceived it that way?

JUSTICE STEVENS: I don't know

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<sup>127</sup> See *supra* note 60.

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whether, maybe it was. I'm just

02:37:44

not sure. But there were other fairly liberal courts around the country. I think we also were known as the best patent court in the circuit. And I remember when the question of a separate court--have the federal circuit do all the patent work was debated, we were one part of the judiciary that was not in favor of that change. And I still think

02:38:12

it's an incorrect--

MR. ESTREICHER: [Interposing] Diane Wood is still opposed to it.

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: Diane Wood of the Seventh Circuit.<sup>128</sup>

JUSTICE STEVENS: Is she still opposed?

MR. ESTREICHER: Still opposed to the federal circuit.

02:38:18

JUSTICE STEVENS: Yeah, well, I think

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<sup>128</sup> Diane P. Wood, previously a professor at the University of Chicago Law School, became a judge on the Seventh Circuit in 1995. She became chief judge in October 2013.

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it's an incorrect area for

specialization because trial judges

can't be specialized. But I'm

02:38:31      sure there were on some issues that

the court perhaps deserved the

reputation as a liberal court.

MS. LEE: You wrote, according to the

statistics, when you were on the

Seventh Circuit, you wrote a larger

number of concurrences and dissents

than practically all of your

colleagues, practically every year.<sup>129</sup>

Were you aware of that at the time,

02:39:01      and were other judges on the Seventh

Circuit aware of it at the time?

JUSTICE STEVENS: Well, I'm not sure,

but that's one chapter that I might

comment on NYU's seminar for newly

appointed appellate judges.<sup>130</sup> One of

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<sup>129</sup> Stefanie A. Lindquist, *Supreme Court Prequel: Justice Stevens on the Seventh Circuit*, 106 NW. U. L. REV. 15, 721-23 (2012).

<sup>130</sup> When he was a judge on the Seventh Circuit, John Paul Stevens participated in the annual New Appellate Judges Seminar, an intensive summer program on practical matters and substantive law for new federal and state appellate judges. The seminar has been sponsored since 1960 by the Institute of Judicial Administration at NYU School of Law.

Timecode      Quote

the things that was suggested to new judges at the time was, don't write too many dissents because you might generate friction within a

02:39:29

court that's unnecessary. And if you can possibly go along, you're better off just swallowing your opposing views. I didn't agree with that at the time. And the reason I didn't

02:39:41

agree with it I think was a product of our investigation of the Isaacs case, because in our review of the facts, we found that there were two judges on the Illinois Supreme Court who had written dissents from the disposition of the case which excused Ted Isaacs from responding to criminal charges. But they hadn't been published.

02:40:12

And I thought at the time the public is entitled to know what these two judges said, because they might have different impressions of the case if they had a chance to read it. And the two judges who had written

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dissents, one of them was Wally

Schaefer, a former member of the

faculty at Northwestern Law School

and very close to Adlai Stevenson.<sup>131</sup>

02:40:37

And the other was a judge, his name

escapes me, but he was also a

Downstate judge.<sup>132</sup> But that

particular experience made a real

impression on me. It seemed to me

that if a judge

02:40:55

disagrees with the majority of his or

her colleagues, the public ought to

know that. And that's why, when I

went on the bench, I did the exact

opposite of what the NYU seminar

recommended. I thought--

MR. ESTREICHER: [Interposing] So we

had an impact on you.

JUSTICE STEVENS: You did. You had

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<sup>131</sup> Walter V. Schaefer (1904-1986) became a professor of law at Northwestern University in 1940. He took a leave of absence in 1949 to serve as administrative assistant for Governor Adlai Stevenson of Illinois, who appointed him to the Commission to Study State Government. He served on the Illinois Supreme Court from 1951 until his retirement in 1976.

<sup>132</sup> The other dissenting judge was Robert C. Underwood. MANASTER, *supra* note 116, at 45-46. Judge Underwood, who came from McLean county, Illinois, served on the Illinois Supreme Court from 1962 to 1984 and was chief justice from 1969 to 1975.

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an impact. And I found that

02:41:19

it doesn't necessarily generate discord. I may have missed it, but I never felt that a dissent I had written caused me to lose my ability to get along with my colleagues as a friend or a colleague. And I really think that's right. Now, it's not a question that you write. It's the kind of dissent that you write. If you treat your colleagues with

02:41:46

respect in your dissent, they recognize your right to your own views, and it's something that does not impair the work of a collegial court.

02:41:58

MR. ESTREICHER: Have there been times when you've held back on a dissent because you were able to shape the majority opinion, having it narrowed or more to your liking? There's an issue of political capital when you write a dissent, and sometimes you can have more influence by joining or concurring.



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JUSTICE STEVENS: Well, I

02:42:18      never even considered joining an  
opinion I didn't agree with. It just  
seemed to me that that's not part of  
the job. So I never used the  
possibility of a dissent as a  
bargaining wedge or anything of that  
kind. And I don't think other judges  
do either. You do your own job, and  
that's sort of the end of it.

02:42:48      MR. MCKENZIE: Justice, while you  
were a member of the Seventh Circuit,  
another member, Otto Kerner, who had  
been an Illinois governor, was tried  
and convicted on corruption  
charges,<sup>133</sup> and the court was  
therefore short-

02:43:03      staffed during that period of time.  
What do you remember about that  
experience?

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<sup>133</sup> Otto Kerner, Jr. (1908-1976) was governor of Illinois from 1961 to 1968. He resigned as governor in 1968 to become a judge on the Seventh Circuit. After taking the bench, Kerner was charged with having received a bribe in the form of stock options, while he was governor, from a racetrack manager. He was tried in 1973, convicted of mail fraud, conspiracy, perjury, and related charges, and sentenced to three years in federal prison. He resigned from the Seventh Circuit in 1974.

Timecode	Quote
	JUSTICE STEVENS: Well, I remember it very well, of course because Otto Kerner was a very likeable, I think a very decent human being. And I know he was totally convinced of his innocence. And he made what apparently was a trial
02:43:26	lawyer's mistake in thinking he could convince the jury and the prosecutor if he got on the stand that what he did was permissible and within the realm of appropriate conduct. But he was wrong. And he did violate the law. I think the judgment was correct. But he didn't think he was wrong. It's an interesting, it was an interesting case.
	But as a result
02:43:56	of his trial, he stopped sitting. First, he stopped sitting on any government cases. And then I think we persuaded him he should not sit while his trial was still pending.
02:44:12	So that removed one judge from those actively participating in the work of

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the court. And at about the same

time, the Conspiracy Seven case was

tried by Judge Hoffman in Chicago.<sup>134</sup>

And that generated a huge record and

required an awful lot of appellate

work to resolve correctly. And the

court had decided, I think before I

got there, I don't remember

02:44:40

participating in the decision, but

that Walter Cummings, Wilbur Pell,

and Tom Fairchild should not sit on

any other cases until they disposed

of that case.

MR. MCKENZIE: So they were only

doing the Chicago Seven case.

JUSTICE STEVENS: That's right. They

were just doing the Chicago Seven

case, and then of

02:44:57

course Otto was out for another

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<sup>134</sup> The "Chicago Seven" were charged with conspiracy, inciting to riot, and other charges relating to protests that had taken place in Chicago during the 1968 Democratic National Convention. Judge Julius J. Hoffman of the Northern District of Illinois presided over the trial, which lasted from April 1969 to February 1970. Five of the defendants were convicted after a jury trial of crossing state lines with the intent to incite a riot. The Seventh Circuit overturned all of the convictions. *U.S. v. Dellinger*, 472 F.2d 340 (7<sup>th</sup> Cir. 1972). The opinion of the court was 61 pages, with 97 footnotes.

Timecode      Quote

reason. So that reduced our number of working judges to four, I think it was. Bob Sprecher and myself, Roger Kiley, and Luther Swygert were still sitting. And we had the full docket to contend with, so we got in the habit of asking more judges from other circuits to sit with us. And that affected our work both because we had more work to do and also because we got to know judges from around the country. And the judge who was most productive and helpful to us was Tom Clark, who was in Washington.<sup>135</sup> And he came and sat almost as a regular judge for that period. And I had known Clark because of the Finley litigation before, which had gone on a matter of a year or two before. So he and I became quite good friends while he

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<sup>135</sup> Tom C. Clark (1899-1977) was Attorney General of the United States from 1945 to 1949, and Associate Justice of the Supreme Court from 1949 to 1967. He retired from the Supreme Court in 1967 to avoid a conflict of interest when President Lyndon Johnson appointed his son Ramsey Clark as Attorney General. After his retirement, Clark served as a visiting judge on several U.S. Courts of Appeals.

Timecode	Quote
	was sitting with us. And so one of the benefits of this period of time of hard work was the friendship with
02:45:05	Tom Clark.
	MR. MCKENZIE: Justice, I wanted to go back to your experiences at the New Appellate Judges Seminar at NYU. <sup>136</sup> What did you learn about appellate
02:46:17	judging from that session?
	JUSTICE STEVENS: Well, the thing I can remember from that session is that there were a lot of nice guys who were appellate judges. And it was a good series of sessions. And I can't name the people, although I know I made some friendships with them and kept in touch with some of the people later on. But it was more learning
02:46:44	to respect judges in other jurisdictions, through the meeting and through our common discussions,

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<sup>136</sup> See *supra* note 130.

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Timecode      Quote  
                 is what I remember most.

MR. MCKENZIE: Did you rethink any of your practices as a result of those seminars?

JUSTICE STEVENS: I'm not sure I did, except the one about whether to . . .

02:47:06      MR. ESTREICHER: You took the lesson in reverse. You took the lesson in reverse.

JUSTICE STEVENS: That's right.

02:47:15      MS. LEE: You have said, Justice Stevens, that part of being a judge is learning on the job. And that we thought we might be particularly applicable to the very beginning, when you had been a practicing lawyer and then you were putting on robes and sitting on the other side of the bench. What do you recall about the process of learning on the job when  
02:47:39      you became a judge on the Seventh Circuit?

JUSTICE STEVENS: Well, just that if, sitting on cases is an educational experience 'cause you learn all the

Timecode	Quote
	relevant facts about the particular case, and you learn about the issue. And you also tend to form your own thinking about issues as you go along.
02:48:08	MR. ESTREICHER: Did you change your mind on any substantive areas? Having a certain view coming into the job?
	JUSTICE STEVENS: Well, the
02:48:19	most dramatic case that I can remember is the patronage case.
	MR. ESTREICHER: Right.
	JUSTICE STEVENS: Illinois Employees Union against, what's the name, Lewis? Or whatever, I forget the name of the former secretary of state. <sup>137</sup> When I first looked at that case, I thought it was basically frivolous. And there's a long story involved in
02:48:44	the case, but I ended up voting and writing an opinion holding that

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<sup>137</sup> Illinois State Employees' Union v. Lewis, 473 F.2d 561 (1972), cert. denied, 410 U.S. 928 (1973).

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Timecode Quote

patronage hiring and dismissals

didn't fit the American system. And

I think probably that's one of the

most influential opinions that I have

written, because I think that as a

result of that line of cases, which I

think started with our Seventh

Circuit case, I think patronage is

02:49:12

now considered improper use of

political power. And it seemed to me

the same should be true of

gerrymandering but it didn't --

MR. ESTREICHER: Not yet.

02:49:24

JUSTICE STEVENS: Haven't persuaded

many people yet.

MS. LEE: Did the other judges on the

Seventh Circuit, did any of them in

particular give you guidance or have

an influence on you as a new judge?

JUSTICE STEVENS: Well, I mentioned

John Hastings writing his own

opinions, and Walter Cummings made an

impact on me by how efficient

02:49:49

he was in getting his work done. He

turned out his work with amazing



Timecode	Quote
	speed. He was kind of like Justice Douglas on the Supreme Court. <sup>138</sup> He got his work done so well. And Tom Fairchild was a very thoughtful person. I think sometimes he got behind in his work 'cause he was maybe too thoughtful and too careful with his work. And there were
02:50:17	occasions when we had to take over some of the work that he hadn't finished as promptly as he should. But he was a superb judge and a superb person. They were a very fine group of
02:50:32	people.
	MS. LEE: One thought that occurs to me here is also law clerks. How did you decide, how did you find the law clerks whom you selected on the Seventh Circuit? And how did you develop your habits of using law

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<sup>138</sup> William O. Douglas (1898-1980) was an Associate Justice of the Supreme Court from 1939 to 1975. He was known for the speed with which he produced his opinions. See WILLIAM DOMNARSKI, *THE GREAT JUSTICES*, 1941-54: BLACK, DOUGLAS, FRANKFURTER, AND JACKSON 143-44 (2006). Justice Stevens was appointed to fill the seat vacated by Justice Douglas in 1975.

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Timecode      Quote  
                 clerks?

02:51:01      JUSTICE STEVENS: Well, my first law clerk was Gary Senner, who had been out of school for two or three years. He had joined our firm, had been hired by our firm. And I persuaded him to leave the firm and come as my first law clerk. My second law clerk was Sam Clapper from Chicago, who practices in Pennsylvania now. And Sam worked with me on the patronage case. In fact, I remember when he left, our opinion wasn't actually released until after he'd gone, and I remember him asking Florence, my secretary,<sup>139</sup> to send him the newspaper clippings describing the case. And time went by, and we never got, nothing was said about the case in the press at all. We never had occasion to send them to him. It's funny how, and it was really an

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<sup>139</sup> Florence Lundquist served as secretary to John Paul Stevens when he was a judge on the Seventh Circuit.

Timecode      Quote

important case. And the press just totally missed it.

02:52:08

MR. ESTREICHER: Did you develop closer ties with Northwestern and Chicago while you were on the bench?

JUSTICE STEVENS: Gary was from Northwestern, and Sam was from Chicago. But I had a clerk from Michigan. His name escapes me right now, who died last year. Steve

02:52:30

Goldman who was from Michigan, he had gone to Duke college and Michigan Law School. But then he spent a year in Oxford studying with Dworkin, who later...

MR. ESTREICHER: Came to NYU.<sup>140</sup>

02:52:49

JUSTICE STEVENS: He did - - he studied with him, and it was very helpful. And I had a clerk from

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<sup>140</sup> Ronald M. Dworkin (1931-2013) was Professor of Jurisprudence at the University of Oxford and subsequently became a professor of law and philosophy at New York University. Dworkin wrote influential works on the philosophy of law and political philosophy, including *Taking Rights Seriously* and *Law's Empire*.

Stanford, who was a son of Paul Kitch in Wichita.<sup>141</sup> Did I mention him earlier? MS. LEE: Did you pattern the way that you used your law clerks on the

02:53:17 way that Justice Rutledge had used his law clerks when you were a Supreme Court clerk?

JUSTICE STEVENS: Well, yes and no. I mean, I think we had an understanding, totally frank with one another and explain their disagreements with me. And that was the same with Rutledge. You were supposed to tell him exactly

02:53:46 how you felt about a case. And I remember one case that we disagreed with, and we had a long, heated discussion about it. But he won the discussion obviously. But I suppose

02:54:01 there was an overlap, yeah.

MR. ESTREICHER: Were you involved in judicial conference activities while

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<sup>141</sup> James C. Kitch clerked for Justice Stevens in the 1972 Term.

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on the Seventh Circuit?

JUSTICE STEVENS: Well, not at the national level. The chief judge would come to the judicial conference meetings. But of course at the business of the Seventh Circuit, all the members of the court

02:54:27 participated.

MR. ESTREICHER: One reason I ask the question is Harold Leventhal,<sup>142</sup> for whom I clerked, was absolutely delighted you were appointed by President Ford...

JUSTICE STEVENS: I had not known you were a Leventhal clerk.

MR. ESTREICHER: Excuse me? Yes.

JUSTICE STEVENS: Were you,

02:54:43 but you were, had to be with him after Buckley against Valeo.<sup>143</sup>

MR. ESTREICHER: Sort of like, Carol

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<sup>142</sup> Harold Leventhal (1915-1979) was a judge on the D.C. Circuit from 1965 until his death in 1979.

<sup>143</sup> In *Buckley v. Valeo*, 424 U.S. 1 (1976), the Supreme Court ruled on constitutional challenges to numerous provisions of the Federal Election Campaign Act of 1974. Justice Stevens did not participate in the decision of the case, which was argued before he joined the Court.

Timecode      Quote  
is the expert on this 'cause she just  
wrote an article about it,<sup>144</sup> but it  
was

02:54:53      an overlap. It was an overlap  
period.

JUSTICE STEVENS: I'm sorry; I didn't  
hear.

MR. ESTREICHER: It was an overlap  
period, but I think I was there part  
of that year.

JUSTICE STEVENS: Oh, you were?

MR. ESTREICHER: When David Martin  
02:55:01      was there as well. But he was  
delighted. I had just started the  
clerkship, something like July. And  
he was so delighted that you were  
nominated.<sup>145</sup> I'm wondering how you  
two knew each other.

JUSTICE STEVENS: Well, that's nice  
to hear. It really is, because I'm

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<sup>144</sup> Carol F. Lee, *Judge J. Skelly Wright: Politics, Money, and Equality*, 61 LOY. L. REV. 1129-40 (2015).

<sup>145</sup> Samuel Estreicher clerked for Judge Leventhal on the D.C. Circuit from summer 1975 to summer 1976. David Martin was a law clerk for Judge J. Skelly Wright on the D.C. Circuit during the same period. President Gerald Ford announced his nomination of John Paul Stevens to the Supreme Court on November 28, 1975.

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an admirer of his.

MR. ESTREICHER: Super delighted.

02:55:17      JUSTICE STEVENS: And I remember  
having a conversation with him about  
some issue of criminal law in which  
he was very complimentary about an  
opinion I had written. And I

02:55:30      don't remember exactly what it was  
right now. They have a lecture  
series in his name...

MR. ESTREICHER: Yes.

JUSTICE STEVENS: Here in Washington.

MR. ESTREICHER: Yes, I know.

JUSTICE STEVENS: And I gave a  
Leventhal lecture just a couple of  
weeks ago.<sup>146</sup>

02:55:45      MR. ESTREICHER: I'd love to have a  
copy of the text. That'd be great.

JUSTICE STEVENS: I'm sorry?

MR. ESTREICHER: I'd love to get a  
copy of that text.

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<sup>146</sup> John Paul Stevens, 2014 Harold Leventhal Lecture, Administrative Law Section of the D.C. Bar, Washington, D.C., September 12, 2014, posted on the website of the United States Supreme Court, [www.supremecourt.gov](http://www.supremecourt.gov).

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JUSTICE STEVENS: Go tell Janice<sup>147</sup>

and you've got a copy.

02:55:51 MR. ESTREICHERR: Yes, that'd be

great.

MR. MCKENZIE: Justice, I wanted to

ask you about the lifetime

appointments of federal judges. You

02:56:00 mention that you thought that

Hamilton and his colleagues had

gotten it right with respect to the

appointment process. But judges in

our federal system under Article III

serve during good behavior. Do you

think that was a beneficial thing for

you when you joined the Seventh

Circuit? Do you think that it

affected the way you worked? Do you

02:56:24 think that it made you more

independent than you might otherwise

be?

JUSTICE STEVENS: Yes.

MR. MCKENZIE: Had been?

JUSTICE STEVENS: Yes. I do. I'm a

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<sup>147</sup> Janice Harley, Justice Stevens's secretary at the Supreme Court.



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very firm believer in the federal system of appointing judges, and in the life tenure provision too because I do think that many states

02:56:44 have imposed mandatory retirement, and that the conference is --[sound of buzzer]

MR. MCKENZIE: Conference.

JUSTICE STEVENS: --just ending--at an earlier age than they

02:56:56 should. I would have been off the bench. About 20 years of my career would not have been available under a lot of state systems. And I do think most judges, there are always exception, are conscious of the importance of getting off the job when you're not able to do it. And I think the federal experience in this court has demonstrated that it's an appropriate way to do it.

02:57:26 MS. LEE: Justice, before becoming a judge or for that matter, while you were a judge, did you read some of the classic works on judging, such as

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Cardozo or Jerome Frank or others?

And if you did, did they have any  
influence on you?

JUSTICE STEVENS: Well, I remember  
reading some of

02:57:53      Cardozo's writing.<sup>148</sup> I did not read  
Frank's. I did not do a great deal  
of reading. I had not read

Frankfurter's book on statutory  
construction.<sup>149</sup> But the answer is, I

02:58:14      did some reading, but not as thorough  
as I should've been.

MS. LEE: Do you read judicial  
biographies?

JUSTICE STEVENS: Yes.

MS. LEE: If so, which ones, and how  
do you pick them, and why do you read  
them?

JUSTICE STEVENS: Well, if I find  
them interesting, I really do.

02:58:32      Biographies generally are  
  
interesting, I think.

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<sup>148</sup> BENJAMIN CARDOZO, *THE NATURE OF THE JUDICIAL PROCESS* (1921); JEROME N. FRANK, *LAW AND THE MODERN MIND* (1930).

<sup>149</sup> Felix Frankfurter, *Some Reflections on the Reading of Statutes*, 47 COLUM. L. REV. 527 (1947).

Timecode      Quote

MR. ESTREICHER: Did you like *Salt of the Earth*?

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: Did you like *Salt of the Earth*?

MR. MCKENZIE: It's the biography of Justice Rutledge.<sup>150</sup>

02:58:43      MR. ESTREICHER: The biography of Rutledge.

JUSTICE STEVENS: Oh, oh. Yes, I thought he did a good job. That's.

02:58:50      MR. ESTREICHER: John Ferren.

JUSTICE STEVENS: Yeah, yeah, I thought that was an excellent job. There's a lot to be learned there about changing in the profession during his career, too.

MS. LEE: One thing that I noticed is that a number of times over the course of your time as a justice, you included cites to John, the biography

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<sup>150</sup> JOHN M. FERREN, *SALT OF THE EARTH: CONSCIENCE OF THE COURT: THE STORY OF WILEY RUTLEDGE* (2004). The author, John Ferren, was a senior judge of the District of Columbia Court of Appeals at the time the book was published.

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Timecode      Quote  
02:59:12      of John Marshall, by Beveridge.<sup>151</sup>

JUSTICE STEVENS: Well, Marshall is a very interesting person; there's no doubt about that. I don't remember particularly, I mean I've read more than one biography--

MS. LEE: [Interposing] Yeah.

JUSTICE STEVENS: --of Marshall certainly. And there's an interesting comment on Marbury  
02:59:34      against Madison in Burt Neuborne's new book, which really has quite a devastating description of that case.<sup>152</sup>

MS. LEE: And another topic that you  
02:59:47      touch upon from time to time in your, more in your extrajudicial writings, is episodes from English legal history. And as somebody married to a historian of English law, I found that somewhat noteworthy. Do you

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<sup>151</sup> ALFRED J. BEVERIDGE, *THE LIFE OF JOHN MARSHALL*, published in four volumes from 1916 to 1919.

<sup>152</sup> BURT NEUBORNE, *MADISON'S MUSIC: ON READING THE FIRST AMENDMENT* 150-173 (2013). Professor Neuborne calls *Marbury v. Madison* "a farce in three acts."

Timecode	Quote
	read about English legal history, or
	is it simply something you come
	across from time to time and store
	away interesting episodes?
03:00:07	JUSTICE STEVENS: I guess it's really
	more just from time to time. I can't
	really claim to be a scholar well-
	versed in that writing.
	MR. MCKENZIE: Justice, I wanted to
	turn to some of the cases you
	decided, or sat upon, when you were a
	judge on the Seventh Circuit. One of
	them that's most notable is the case
	of Fitzgerald against Porter Memorial
03:00:33	Hospital, which presented the
	question whether a father has a right
	to be present in the delivery room. <sup>153</sup>
	And the case raised questions of
	substantive due process and the right
03:00:47	to privacy. And you had a
	description of the right to privacy
	in that opinion that seems to have

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<sup>153</sup> Fitzgerald v. Porter Memorial Hospital, 523 F.2d 716 (7<sup>th</sup> Cir. 1975), cert. denied, 425 U.S. 916 (1976).

Timecode      Quote

been influential, because you drew on it later when you were on the Court, where you really said that the right to privacy is a bit of a misnomer. It's more about a liberty interest.<sup>154</sup>

JUSTICE STEVENS: Right.

MR. MCKENZIE: Did that case cause

03:01:07

you to really think about those questions, or had you formed views before you got to that case?

JUSTICE STEVENS: No, that case made me give a lot of thought to it because of, for two or three reasons.

My law clerk at the time had a wife who was pregnant. And one of the issues during this pregnancy was whether he'd be able to be in the

03:01:27

delivery room. So that was a subject we discussed at some length. And I thought he really was persuaded he should've been allowed to go there.

And I came out the other way. And my

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<sup>154</sup> See *McDonald v. City of Chicago*, 561 U.S. 742, 864 (2010) (Stevens, J., dissenting) (citing *Fitzgerald v. Porter Memorial Hospital*).

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Timecode      Quote  
03:01:39      very respected colleague Bob Sprecher

dissented in the case and wrote a  
very persuasive opinion that way.<sup>155</sup>

And I thought it was a very close  
case, but it did seem to me that we  
should defer to, not just dissent,  
you always defer to decision makers  
who are better qualified than judges  
to make decisions in their area. And  
I really thought that even though

03:02:08      it was kind of hard to know what the  
pros and cons were, that we should

defer to the decision makers in the  
hospital and on their staffs as to  
whether there was a potential for  
some kind of harm to be involved.

And so I thought, this was kind of a  
predecessor of the Chevron case.<sup>156</sup>

MR. ESTREICHER: I was thinking  
Chevron when you said that.

03:02:27      JUSTICE STEVENS: We should be

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<sup>155</sup> Fitzgerald v. Porter Memorial Hospital, 523 F.2d. 716, 722  
(7<sup>th</sup> Cir. 1975) (Sprecher, J., dissenting).

<sup>156</sup> Chevron U.S.A., Inc. v. Natural Resources Defense Council,  
467 U.S. 837 (1984), a leading case on judicial deference to  
statutory interpretations by government agencies. Justice  
Stevens wrote the opinion of the Court.

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deferring to people who know much

more about childbirth and the hazards

than we do. And so that's the way I

thought about it. But I also was

03:02:39 convinced that the decision really

was an important decision for the

family members who were there, both

for the mother who would be getting

the comfort from her husband, and for

the husband being there at the same

time. So that was a tough case. And

it seemed to me it rather

dramatically emphasized the fact that

constitutional protection for

03:03:02 personal decision involved pretty

important decisions. And of course

that did seem to qualify. It was a

tough case. It's a tough case.

MR. MCKENZIE: Do you remember other

cases involving a claim of a

constitutionally protected liberty

interest that you sat on, on the

circuit?

JUSTICE STEVENS: Yes, I

03:03:26 can't remember exactly what it was,



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but there was a case involving a teacher who didn't want to wear a tie to a class, I think it was, and whether there was a constitutional issue

03:03:39 raised by, sort of like the cases involving the right to shave, or right to grow a beard, or the right to have long hair,<sup>157</sup> the kids' cases that a lot of people, like Justice Black, I think put an end to the long hair cases.<sup>158</sup> But the one thing I do remember discussing at some length with Steve Goldman, whom I just mentioned,<sup>159</sup> and I

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<sup>157</sup> See *Miller v. School District No. 167*, 495 F.2d 658 (7<sup>th</sup> Cir. 1974) (opinion by Judge Stevens for the court upheld termination of an untenured mathematics teacher, allegedly because he wore a beard and sideburns); *Arnold v. Carpenter*, 459 F.2d 939 (7<sup>th</sup> Cir. 1972) (court held that provision of school dress code regulating the length and style of hair of male students was unconstitutional; Judge Stevens dissented).

<sup>158</sup> Justice Stevens may have been referring to *Karr v. Schmidt*, 401 U.S. 1201 (1971) (Black, J., in chambers), in which Justice Black, as Circuit Justice, denied a motion to vacate the Fifth Circuit's stay of the district court's injunction barring enforcement of a public school's restrictions on male students' hair length. Justice Black wrote that he refused to predict that the Supreme Court would hold that federal courts have authority to prohibit public schools from regulating the length of students' hair.

<sup>159</sup> Steve Goldman was the law clerk to Judge Stevens on the Seventh Circuit in 1973-74.

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Timecode	Quote
03:04:06	did come up with a conclusion that  any rule had to have some neutral  reason to support it. That doesn't  necessarily have to be the wisest  rule, but it can't be totally a  partisan rule or a particular  personal gain. There has to be some  public justification for any  governmental rule. And that's  affected a lot of my thinking.
03:04:32	In the gerrymandering example, a  gerrymandered district should not be  permitted to survive if there's no  justification for it other than  partisan advantage for the people in
03:04:46	the state legislature. That can't be  a sufficient ground, although  apparently it is in many examples.  And that's a principle that was  involved in the patronage case.  There can't be simply patronage; it  can't be a sufficient reason for  preferring one person over another.  And that answers an awful lot of  questions, if you just try to focus

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                 on whether

03:05:11      there is a rational basis.

MR. ESTREICHER:    So it's like  
  
rational basis with teeth.    Rational  
  
basis with teeth.

JUSTICE STEVENS:    That's exactly  
  
right.    And as long as you're taking  
  
a rational basis, but one that does  
  
require a neutral, valid  
  
justification for a law or decision  
  
or whatever it is, it helps you

03:05:32      think the problem through.

MR. MCKENZIE:    And did that follow  
  
through on some of the cases you  
  
heard as a justice including the  
  
physician-assisted suicide case,

03:05:44      Glucksberg<sup>160</sup>...

JUSTICE STEVENS:    Yes.    Yeah, yeah.

MR. MCKENZIE:    Bowers against

Hardwick and so forth.<sup>161</sup>

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<sup>160</sup> Washington v. Glucksberg, 521 U.S. 702 (1977).    The Court upheld Washington state's ban on physician-assisted suicide on the ground that it was rationally related to legitimate government interests.    Justice Stevens wrote an opinion concurring in the judgment.    *Id.* at 738.

<sup>161</sup> In Bowers v. Hardwick, 478 U.S. 186 (1986), the Court rejected a constitutional challenge to Georgia's sodomy

Timecode      Quote

03:06:10      JUSTICE STEVENS: Yeah, it definitely does. And I really think it has to be a non-religious reason has to be involved. And that issue of course is going to become more important as time goes on, on the assisted suicide problem.

MS. LEE: Justice, following up on what you had mentioned on gerrymandering, you have written that the same standards ought to apply for racial and political gerrymandering. And your view is that the reason that racial gerrymandering is done is because of an expectation as to how people of that particular group will vote, so that it's really an aspect of political gerrymandering.<sup>162</sup> Is that view, that the standards for racially

03:06:52      based discrimination and politically based discrimination unique to the

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statute. Justice Stevens wrote a dissenting opinion. *Id.* at 214.

<sup>162</sup> *Cousins v. City Council of Chicago*, 466 F.2d 830, 848-53 (7<sup>th</sup> Cir. 1973) (Stevens, J., concurring).

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districting context? Or is it

something that you would apply more

generally as you look at equal

protection cases?

JUSTICE STEVENS: Well, that's

interesting, one clarifying thought I

should express is that in the racial

gerrymandering cases, it

03:07:16

always seemed to me incorrect to

prohibit racial gerrymandering that

was designed to enhance the political

power of the minority, because it

seemed to me that the constitutional

provision is intended to impose

equality. And gerrymandering that is

intended to impose equality should be

judged differently than

gerrymandering that is just designed

03:07:43

to entrench the power of the people

in power in the political area.<sup>163</sup> So

although they now apply the rule both

for and against racial

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<sup>163</sup> Shaw v. Reno, 509 U.S. 630, 676 (1993) (Stevens, J., dissenting) (duty to govern impartially is not violated when the majority acts to facilitate the election of a member of an underrepresented group).

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gerrymandering, I accept that as part

03:08:02

of the law now. But having accepted that, there's no basis for treating racial gerrymandering differently than political gerrymandering.

MR. ESTREICHER: Can we talk a little bit about tiers of review? It seems to fit, I mean the Court, the Supreme Court, over the years, something you were covering, they assert they've got this sort of three tiers

03:08:23

of review, rational basis, intermediate scrutiny, strict scrutiny. And the lawyers spend a great deal of time arguing whether or not their case fits into one of those tiers, is that a good description of how you approach an equal protection case?

JUSTICE STEVENS: I think there's only one equal protection

03:08:45

clause. I think that's a manufactured decision-making tool that doesn't really advance the inquiry properly, because I remember

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in the case involving the right of

03:09:05

children of non-citizens to get an

education in Texas, I think that's

when they really manufactured the

intermediate scrutiny notion.<sup>164</sup> And

they could've just decided whether it

makes a lot of sense or not.

MR. ESTREICHER: Could be two tiers,

maybe.

JUSTICE STEVENS: Yeah.

MR. ESTREICHER: Rational basis with

03:09:23

teeth, and then cases where we are

very suspicious of the government.

JUSTICE STEVENS: Well, I think even

the cases where you apply strict

scrutiny, there really is no need for

strict scrutiny because there's

really no rational basis for giving

someone a job because he's white or

black. The rational basis test will

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<sup>164</sup> Plyler v. Doe, 457 U.S. 202 (1982). The opinion of the Court by Justice Brennan stated that, although education was not a fundamental constitutional right and undocumented aliens were not a suspect class, the state had not shown that it was rational to bar undocumented aliens from public schools because it failed to show that this discrimination furthered "some substantial goal of the state." *Id.* at 224.

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                 take care...

03:09:44      MR. ESTREICHER: Will do it all.

JUSTICE STEVENS: . . . of the cases  
that they developed strict scrutiny  
to answer.

03:09:55      MS. LEE: One remaining question on  
political gerrymandering. You never  
quite got there. You didn't quite  
get to the point where a majority of  
the Court agreed with you, although  
briefly the majority of the Court  
said that it might be justiciable.<sup>165</sup>  
Why do you think that this view which  
you have held for so long and felt  
was so persuasive didn't persuade  
enough of the other members of the  
Court?

03:10:17      JUSTICE STEVENS: I just don't  
understand it. I don't know.

MR. ESTREICHER: That was a slow  
pitch down the middle of the plate.

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<sup>165</sup> Davis v. Bandemer, 478 U.S. 109 (1986). Six Justices rejected the defendant's argument that the gerrymandering claims were not justiciable, but seven Justices agreed with the defendants that the plaintiffs had failed to prove invidious discrimination. See JOHN PAUL STEVENS, SIX AMENDMENTS: HOW AND WHY WE SHOULD CHANGE THE CONSTITUTION 44-47 (2014).



JUSTICE STEVENS: Yeah. It really is distressing to me that I've been so unpersuasive on such an important subject.

MS. LEE: Do you think that there is a concern that if judges were deciding these cases, they would be seen as being themselves political actors?

JUSTICE STEVENS: That could be it. That certainly could be it, but I don't know why it's any more so when they're describing unequal populations. But it seems the two are very similar.

MR. MCKENZIE: Justice, I also wanted to ask you about an area in which you expressed a view on the Court of Appeals, and you seem to have been consistent in that view after you joined the Supreme Court. When you were a circuit judge, you were part of a three-judge, special three-judge district court, in Hartke against Roudebush, which was a recount

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dispute. And the district court

enjoined a recount of an Indiana...

JUSTICE STEVENS: Correct.

MR. MCKENZIE: . . . US Senate race.

You dissented vigorously. And the

Supreme Court ultimately reversed,

03:11:36 and you were vindicated.<sup>166</sup> I wanted

you to talk about that case and

whether it shaped your views on the

role of federal courts in contested

elections such as Bush against

Gore.<sup>167</sup>

03:11:47 JUSTICE STEVENS: It had a profound

impact on me. In fact, when Bush

against Gore came up, I thought,

well, I've already thought this one

through. And the very simple issue

was whether a recount is apt to be

more accurate than the first count.

And it seemed to me the Court in

Roudebush against Hartke decided that

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<sup>166</sup> Hartke v. Roudebush, 321 F. Supp. 1370 (S.D. Ind.), rev'd, 405 U.S. 15 (1972).

<sup>167</sup> Bush v. Gore, 531 U.S. 98 (2000) (Court reversed decision of Florida Supreme Court requiring a recount of contested results in presidential election in Florida).

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the recount is a more reliable event

03:12:20

than the first count. There can be mistakes made. And it seemed to me that Bush against Gore was really a replay of that case.

MS. LEE: Another case that falls in the political category was, on the Seventh Circuit, was Hoellen versus Annunzio, in which you wrote the majority opinion. It was about Congressional franking.<sup>168</sup>

03:12:47

JUSTICE STEVENS: Yes.

MS. LEE: And you expressed some keen awareness of the possibility that incumbents would use government resources to entrench themselves. Do

03:12:59

you recall that case?

JUSTICE STEVENS: Yes, I do. It's a case in which my good friend Phil Tone had been the trial judge.<sup>169</sup> And

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<sup>168</sup> Hoellen v. Annunzio, 468 F.2d 522 (7<sup>th</sup> Cir. 1972), cert. denied, 412 U.S. 953 (1973). Judge Stevens wrote the opinion of the court, joined by Judge Sprecher. Judge Swygert dissented.

<sup>169</sup> Philip W. Tone (1923-2001), succeeded John Paul Stevens as law clerk to Justice Wiley Rutledge. Stevens helped recruit Tone to join him at the law firm later known as Jenner &

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Phil had enjoined the Congressman

from sending this circular

questionnaire, whatever, to all the

voters in the district in which he

was about to compete.<sup>170</sup> A larger

number were in the

03:13:26 new district than in his own

district. And the only justification

for sending them to the new district

was to advance his election hopes in

that district. And it did seem to me

that that was a perfect example of

using governmental power for a non-

governmental reason, for a partisan

reason. It's exactly the same

reasoning that should condemn

03:13:53 gerrymandering. There was not a

neutral, independent reason

justifying what he did. But a good

judge dissented in that case. I

think Judge Swygert decided, voted

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Block. Tone was a partner at Jenner & Block when he became a federal district judge, Northern District of Illinois, in 1972. Two years later, he became a judge on the Seventh Circuit, where he served until 1980. He left the bench to return to private practice at Jenner & Block.

<sup>170</sup> Hoellen v. Annunzio, 348 F. Supp. 305 (N.D. Ill. 1972).

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03:14:08      the other way in that case. Bob

Sprecher was my partner.

MS. LEE: You had already also  
briefly mentioned that in the  
political patronage cases, that you  
changed your mind. Can you elaborate  
on --

JUSTICE STEVENS: The political  
patronage cases?

MS. LEE: The political patronage  
03:14:25 cases --that your original view was  
this is the way it's always been  
done. And you came to think that it  
was a violation of the  
Constitution.<sup>171</sup>

[Crosstalk]

MS. LEE: So what was your thought  
process?

JUSTICE STEVENS: Between the time--

MS. LEE: [Interposing] Yeah.

03:14:37 JUSTICE STEVENS: I read the briefs--

MS. LEE: Yes, yes.

JUSTICE STEVENS: And I had to vote,

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<sup>171</sup> *Illinois State Employees' Union v. Lewis*, 473 F.2d 561  
(1972), cert. denied, 410 U.S. 928 (1973).

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                 during the case itself?

03:14:41      MS. LEE:    Yes.

JUSTICE STEVENS:    Yeah, when I first  
looked at the issue, it did seem to  
me if it's something that's as well-  
established as patronage, it's  
probably something you've got to  
accept as part of our political life.  
But the more I thought about it, that  
didn't seem to me a correct view.  
It's very similar to the  
gerrymandering--

03:15:04      MR. ESTREICHER:    [Interposing] Feel  
the same way about patronage hiring  
as well as dismissal?

JUSTICE STEVENS:    Yes.    Both hiring  
and dismissal, and. . .

MR. ESTREICHER:    Presumably within a  
category of officers, you do pick  
your political...

JUSTICE STEVENS:    A

03:15:17      category?

MR. STREICHER:    Well, a category of  
executives or people that are going  
to be your confidential aides, the

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policy heads.

03:15:25      JUSTICE STEVENS: Oh, in the patronage area, then the affiliation of the employee is not the sole reason for making the decision. There's a category of employees that develop certain skills in their work that can, the skills can be linked to their political affiliation. And for them, the rule doesn't apply. And I think that was correct.

03:15:58      MS. LEE: Was it the briefs that, in the case that persuaded you? Do you remember who wrote them?

JUSTICE STEVENS: No, I think it was just reading the cases in that area of the law that I thought it through. I don't know, it's hard to know...

MS. LEE: Yeah.

03:16:19      JUSTICE STEVENS: . . . right now what made the difference.

MR. MCKENZIE: Justice, I wanted to ask about a few other things from your time on the Seventh Circuit. You dissented in a case involving a

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03:16:31      man named Father Groppi who had  
  
protested on the floor of I think the  
  
Illinois legislature, and he was  
  
summarily held for contempt.<sup>172</sup> And  
  
what were your thoughts about that  
  
case, and. . .

JUSTICE STEVENS: Well, that's a case  
  
in which the legislature imposed a  
  
punishment without giving him any  
  
hearing

03:16:54      whatsoever. And it seemed to me  
  
pretty clear that that's not due  
  
process however you decide it. But  
  
that was a period during our history  
  
when Nixon was the president, and  
  
when the law and order side of the  
  
most debates was the popular side.

And I thought it was an easy case on  
  
the merits, but I also thought that  
  
it would be an unpopular case. And I

03:17:25      do remember thinking, and in fact I  
  
can remember talking to John Hastings

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<sup>172</sup> Groppi v. Leslie, 436 F.2d 326 (7<sup>th</sup> Cir. 1970); 436 F.2d 331 (7<sup>th</sup> Cir. 1971) (en banc); *id.* at 332 (Stevens, J., dissenting); rev'd, 404 U.S. 496 (1972) (contempt citation without hearing by Wisconsin legislature for protest on the floor of the legislature).



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about the case, whom I've described.

And he had sat on the panel decision,  
which had upheld the judgment

03:17:41 against Groppi, the judgment of the  
Wisconsin legislature.

And I remember him telling me, this  
is when we were just getting  
acquainted, that he had assigned the  
opinion to Wilbur Pell because he  
thought it was the kind of high-  
visibility case that might increase  
his eligibility for consideration of  
a vacancy on the Supreme Court. And

03:18:07 I thought well, I guess that's right.  
That would, in today's climate, his  
vote would push him in the right  
direction. So, I thought the merits  
were quite clearly the other way  
around. So when I remember writing  
my dissent, I thought to myself,  
well, I can kiss goodbye to any  
notion of ever being on the Supreme  
Court, writing this position,

03:18:30 which was a healthy thing to happen  
to you because I did totally-- I mean

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I can't say I thought I'd be

appointed to the Court in any event,

except that Chuck Percy, when I was

debating whether or not to

03:18:46

accept his invitation to go on the

Court of Appeals, he suggested that I

ought to get on the Court of Appeals

if I ever want to be on the Supreme

Court.<sup>173</sup> So I had that possibility

as part of my consideration of

judicial work. And I remember

thinking that, with the Groppi case,

well, I can forget about that

particularly. But as you know, when

it reached the United

03:19:11

States Supreme Court, they reversed

unanimously, which I thought was

quite clearly correct.

MR. MCKENZIE: There are also quite a

few, in going back over the cases on

which you sat as a Seventh Circuit

judge, there seem to have been an

unusual number of cases involving

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<sup>173</sup> Senator Charles Percy of Illinois. See *supra* note 120.

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                 prisoners, prison conditions,  
                 prisoner due process.<sup>174</sup> Did you  
                 think

03:19:36      before that point about due process  
                 rights of prisoners? Did those cases  
                 change your views about due process  
                 rights of prisoners?

JUSTICE STEVENS: Well, I

03:19:44      think they did. I don't think I had  
                 thought about due process rights of  
                 prisoners at all before I had been on  
                 the Court of Appeals. And some of  
                 the rules that were accepted as part  
                 of the basic law in that area were  
                 really pretty old-fashioned, let me  
                 put it that way. And I was impressed  
                 by the need for a fresh look at some  
                 of that stuff.

03:20:08      MR. MCKENZIE: And some of the prison  
                 reform litigation of that era  
                 required federal courts to take a  
                 very active role in superintending

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<sup>174</sup> See, e.g., *Harris v. Pate*, 440 F.2d 315 (7<sup>th</sup> Cir. 1971);  
*United States ex rel. Miller v. Twomey*, 479 F.2d 701 (7<sup>th</sup> Cir.  
1973), cert. denied 414 U.S. 1146 (1974).

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what was happening in state prison

systems, or sometimes local jail

systems. Did you form a view about

the appropriate role of a federal

court under those circumstances in

what you could call structural reform

03:20:32 litigation?

JUSTICE STEVENS: I'm not sure, Troy,

whether I did or not. I think that

my concern about the structural

reform cases probably was

03:20:42 after I got on the Supreme Court.

I'm not 100% sure, but the issues

that I do remember confronting on the

Seventh Circuit related to the

fairness or unfairness of particular

decisions involving prisoners and

revocation of parole and things like

that, rather than structural reform.

MS. LEE: You said that the rules

that were in place needed rethinking.

03:21:11 Had they just grown up over time

without a focus on the idea that

prisoners are really people who have

due process rights at all?

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JUSTICE STEVENS: Well, the basic, the underlying proposition in those cases was that the prisoner was a slave. That he was treated, in terms of his rights, he had no more rights than a slave. And that was

03:21:33 the analogy that was used in a number of the early cases. And I think the case that really broke the ground there was Warren Burger's opinion in *Morrissey* against *Brewer*, I think

03:21:50 it was, involving the right to a hearing before your parole is revoked.<sup>175</sup> That was a tremendously important case that he wrote. And I think that may have been partly been inspired by some of his reading or examination of prison practices in the Scandinavian countries. I'm not sure. But that was a very important case.

03:22:22 MR. MCKENZIE: Justice, we have a few minutes remaining. I just wanted to

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<sup>175</sup> *Morrissey v. Brewer*, 408 U.S. 71 (1972).

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ask if there were other memorable

cases or events from your time on the

Seventh Circuit that you thought were

particularly influential for when you

later got on the Supreme Court in

shaping your views about the law.

JUSTICE STEVENS: Well, there

probably were. The one that

03:22:45

comes most to mind I guess is the

Cousins case, which we talked about

already, gerrymandering in the city

of Chicago, and the patronage case

was very important.

But I think also I

03:23:03

learned a lot from Tom Fairchild, for

example, about judging. I remember

that affected me later when I got on

the Supreme Court. I had written a

couple of opinions in which I in

substance said that I'm not too happy

with the rule, but it's the law of

the circuit, so I'm going to go along

with it or something like that.

And I remember Tom suggesting that

03:23:33

there's no point in doing that. It

Timecode	Quote
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	doesn't help the court particularly.
--	--------------------------------------

	If you're accepting the law, you
--	----------------------------------

	ought to just go ahead and accept it.
--	---------------------------------------

	It affected my thinking about whether
--	---------------------------------------

	a judge should use the word "we" in
--	-------------------------------------

	an opinion when the "we" is really
--	------------------------------------

	prior judges, not the judge involved.
--	---------------------------------------

	And Tom persuaded me it's appropriate
--	---------------------------------------

	to say "we" when you're talking about
--	---------------------------------------

	your
--	------

03:24:02	
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	court, even though you may not agree
--	--------------------------------------

	with it. And I remember discussing
--	------------------------------------

	that very issue with Warren Burger
--	------------------------------------

	later on when he thought it was
--	---------------------------------

	inappropriate for me to use the word
--	--------------------------------------

03:24:14	
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	"we" when I was describing something
--	--------------------------------------

	that the Court had decided before,
--	------------------------------------

	and I, relying on Tom Fairchild's
--	-----------------------------------

	approach. But I am part of the "we"
--	-------------------------------------

	once I'm on the Court. And that, so
--	-------------------------------------

	that was a little incident.
--	-----------------------------

	MR. ESTREICHER: Did the Seventh
--	---------------------------------

	Circuit sit en banc during your
--	---------------------------------

	period?
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	JUSTICE STEVENS: We had
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03:24:33      probably five or six en banc cases.

And one of them was the Groppi case that Clay has mentioned.<sup>176</sup> And there were two or three others, but not very many.

MR. ESTREICHER: That would suggest a high level of collegiality, in terms of panel doing a good job of anticipating where the court is.

JUSTICE STEVENS: I think  
03:24:55      that's right, yeah. Everybody on the Second Circuit respected one

another.<sup>177</sup> And there was a fair range of views within the court.

MR. MCKENZIE: Well, Justice, once  
03:25:10      again, thank you for your time.

MR. ESTREICHER: Thank you very much.

JUSTICE STEVENS: Well, I enjoy it.

MS. LEE: Thank you very much.

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MR. ESTREICHER: Mr. Justice, this is the third installment of our oral

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<sup>176</sup> Justice Stevens was referring to interviewer Troy McKenzie.

<sup>177</sup> In context, Justice Stevens was referring to the Seventh Circuit.



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03:25:35      history project on great American judges, and we're so pleased that you've taken the time to be part of this with us. This is a project of the Institute of Judicial Administration, at which you had been a summer attendee one year, and we're just pleased to have that continued link with you.

JUSTICE STEVENS: Well, thank you. I am enjoying it, so I look forward to what we do today.

03:25:53      MR. MCKENZIE: Justice, I wanted to ask you about your transition from the Court of Appeals to the Supreme Court, and frankly how you learned to be a justice, learning on the job.

03:26:07      What influence did other justices have on your early approach to being a justice on the Court?

JUSTICE STEVENS: Well, I really think the judge that had the most influence on my transition was Judge Tom Fairchild of the Seventh Circuit, who came from a family of judges, and

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he, I admired him as a fine judge.

And he gave me some advice that I

followed as I came

03:26:37

here.

MR. MCKENZIE: What was that advice?

JUSTICE STEVENS: Well, one thing

that when I started out on the

Seventh Circuit, when I wrote

opinions, I often differentiated

between saying what I thought the law

was in opinion and what the court had

decided. And he convinced me that it

would be in the better interest of

03:27:00

the institution just to use the word

"we" because when you join the court,

you accept its prior decisions. And

that's part of learning on the job.

You are not an individual actor in a

03:27:16

multi-judge court. You're part of

that court. And that actually

provoked some disagreement between me

and Chief Justice Burger later on

when he suggested that it was

inappropriate for a brand new justice

to use the word "we" on speaking on

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the Court. And I responded that I thought Tom Fairchild had the right view of the matter.

03:27:38      MR. MCKENZIE: Were any of your colleagues here at the Court, your new colleagues, particularly helpful? Did any of them offer guidance to you?

JUSTICE STEVENS: Well, they were all very helpful. It was interesting to me that I did learn that it was a collegial group, and I really got along well with everybody

03:27:58      on the Court. They were all helpful to me. I remember one particular visit from Potter Stewart, who came down and visited me in these chambers 'cause I'm in the retired chief justice's

03:28:11      chambers. And he confessed some inability to locate it, because his work was entirely on the west half of the building. He seemed to have difficulty finding out where this was. But he was very, he had a

Timecode	Quote
	number of observations about the work
	at the Court, and he was very
	friendly all the time.
	MR. MCKENZIE: Do you remember some
03:28:35	of those observations?
	JUSTICE STEVENS: Yes, but I'm not
	sure I should repeat a couple of
	them.
	MR. MCKENZIE: Okay.
	JUSTICE STEVENS: He did comment on
	some of his colleagues as who would,
	whose votes would be most likely to
	be the same at conference at the end
	and after the opinions
03:28:54	came around, and he did identify some
	whose votes tended to be less
	reliable. Some were more apt to
	reflect about cases and change their
	minds before the decisions were
03:29:06	handed down. And so he suggested you
	always have to write with the
	possibility that your opinion may not
	end up as an opinion of the Court
	when you're assigned, which of course
	I always did. And I lost a certain

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amount of, some cases to dissents

when I started.

MR. MCKENZIE: Did you try to model

yourself on any particular justice,

03:29:28

either one of your contemporaries or

a justice from the past?

JUSTICE STEVENS: I don't really feel

that I did. I think I've said to you

I learned a lot from Justice

Rutledge, and I followed some of his

practices, I'm sure. But I didn't

have a single person that I tried to

emulate.

MR. MCKENZIE: And did you look

03:29:49

outside to writings on the Court,

books, articles, when you were trying

to get yourself up to speed?

JUSTICE STEVENS: No, I really don't

recall doing that.

03:30:01

MS. LEE: Justice, this is the

question of comparisons between being

a judge on the Court of Appeals and a

justice of the Supreme Court. Did

you find that there was a difference

in how you approached deciding cases

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because you were now on a court with nine decision makers compared with a court of three?

JUSTICE STEVENS: No, I

03:30:27

don't recall that. Of course, there was always the problem you had to persuade more people to join the opinion than when you're on a three-judge court. But the work was essentially the same, I think.

MS. LEE: Oral argument, do you find a contrast between oral argument at the Court and the Seventh Circuit?

JUSTICE STEVENS: Well,

03:30:48

again as I'm sure you know, it varies from case to case. I think there were probably more arguments in the Supreme Court in which the arguments were better than on the Court of

03:31:00

Appeals, but by no means uniform. We had a fair number of very excellent arguments on the Court of Appeals. And we had a fair number of dismal arguments up here. So that it's a question of how much rather than a

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simple answer.

03:31:24      MR. ESTREICHER: On the arguments up here, do you recall any arguments that changed your mind from your initial take on the case?

03:31:51      JUSTICE STEVENS: I can't identify any as I sit here, but I'm sure there were some. And I think I have said this in other contexts. Bob Bork was the solicitor general when I came here. And I still regard him as the best solicitor general during my period on the Court. And I'm sure he persuaded me on some cases, or maybe some of the others did too. But even if you may not have changed your bottom line, you often get new insights into the arguments that are persuasive or not persuasive, and either belong in or don't belong in an opinion.

03:32:03      MS. LEE: And the final question about contrasts between the Court of Appeals and the Supreme Court is, whether there was any difference in

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your judicial method. One obvious

difference is that the Supreme Court

is the final decision maker, not

subject to appeal. Do you think that

03:32:27

that affected in any way the way that

you approached any given question?

JUSTICE STEVENS: Yes, I suppose it

did, although I don't have any

examples in mind. But of course when

you're on the Court of Appeals, part

of your audience is the Supreme Court

'cause if it's a case of an important

issue, it's apt to be reviewed. And

so part of your

03:32:57

drafting of opinion is to make your

position clear on review, which is

not a problem when you're writing up

here. But essentially the work is

much more similar than different.

03:33:12

MS. LEE: Thank you.

MR. ESTREICHER: Mr. Justice, we're

going to turn to questions on

judicial method in general. The

first question is on judicial

philosophy. Some commentators



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believe that a justice on the Supreme Court has developed over time a philosophy on how to tackle various categories of cases. Do you think that's a good

03:33:33

description of the way justices proceed in doing their work?

JUSTICE STEVENS: Well, it's not a description of how I proceeded. I took the cases one at a time, and I just figured I'd probably decide them according to the same basic principles. But there are other justices I know who have tried to have a consistent judicial

03:33:56

philosophy. And sometimes it seems to me they'd be more concerned about whether their vote in a particular case fits into their past philosophy, rather than whether it just fits

03:34:07

into the law in general. And I never asked my clerks to do a study of what I voted in the past because I didn't think that should be as important to me as what I thought the law

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required. So I know I've been

criticized for not espousing a

particular judicial philosophy, but

that really was more or less

deliberate on my part. I think I

03:34:32

took the cases one at a time, and I

followed a number of guidelines, such

as not trying to decide more than one

had to. But at times you have to

make a very categorical decision, but

different rules guiding judges apply

in different fashion in different

cases.

MR. ESTREICHER: Taking my last

point, some academics have

03:34:53

characterized your work on the Court,

or your influence on the Court as

being the common lawyer, the common

law lawyer on the Court. Would you

think that's an apt description of

03:35:03

your approach?

JUSTICE STEVENS: Well, I never have

thought of it that way. But in

response to the question, I guess it

is relatively accurate because common

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law judges made law. And of course, during my confirmation hearings and other times, I was asked whether judges make law or not. And the answer of course is,

03:35:25 combine the one fact that legislatures are the primary source of law, but there always questions that are left open for judges to decide, and they then continue to make law. So the law, the federal law that we have, is a mixture of statutory law and judge-made law. But judges do play a role in fleshing out unanswered questions and

03:35:52 necessarily must be recognized as lawmakers and therefore continuing the common law tradition.

MS. LEE: Justice, in our previous interviews, we discussed your

03:36:08 distrust of glittering generalities, a term that was used by Professor Nathanson.

JUSTICE STEVENS: Right.

MS. LEE: Your opinions, in contrast,

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reflect a preference for multi-factor balancing tests rather than bright line rules. Could you talk to us a little bit more about what you see as the advantages and disadvantages of the two approaches?

03:36:26

JUSTICE STEVENS: Well, actually again, it's sometimes one approach is appropriate in one type of case, and other times it isn't. I think in every case you have to carefully understand the facts as best you can and avoid deciding much more than necessary, avoid unnecessary glittering generalities.

03:36:47

But there are also times when it's necessary to announce more or less a general, a very broad rule. In that case, you have to be more general than specific. So it's not a question of which approach you

03:37:04

apply across the board as much as it is which approach is appropriate in particular cases.

MS. LEE: So that in itself is an

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avoidance of having a bright line

rule on whether or not you should

have a bright line rule.

JUSTICE STEVENS: I think that's

right; that's right. But certainly

you should be careful

03:37:22 whenever you announce a bright line

rule. And sometimes bright line

rules are the consequence of a very

narrow decision. One that comes to

mind, we talked a little bit about

same sex marriage on an earlier

occasion. The first year I was on

the Court, we had an appeal from a

decision from Virginia upholding

Virginia's sodomy statute. And the

03:37:51 court voted six to three to affirm

without considering the argument.<sup>178</sup>

Well, that established a bright line

rule. It did. It covered, and I

think the rule was later proven to be

03:38:11 incorrect. I was one of the three,

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<sup>178</sup> Doe v. Commonwealth's Attorney for the City of Richmond, 403 F. Supp. 1199 (E.D. Va. 1975) (three-judge district court), aff'd, 425 U.S. 901 (1976). Justices Brennan, Marshall, and Stevens wrote that they would note probable jurisdiction and set the case for oral argument.

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by the way, who voted to note  
probable jurisdiction.

MS. LEE: Thank you.

MR. MCKENZIE: Justice, I wanted to  
ask you some questions about  
constitutional interpretation, and in  
particular about the role of original  
meaning or original intent, because  
during your time on the Court,  
originalism as a theory of

03:38:34      constitutional interpretation has  
become much more significant,  
certainly in the legal academy, but  
also on the Court. And you did speak  
a little bit about this in prior  
sessions, but what are your views on  
the role that a search for the  
original meaning of the Constitution  
should play?

JUSTICE STEVENS: Well, I

03:38:55      really think the Constitution, like a  
statute or any other, or even a  
common law rule, is assisted by  
studying, learning the best you can  
what the authors of that rule had in

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03:39:11      mind. That includes the original  
  
intent of the framers and the  
  
original intent of statutory  
draftsmen. You always want to know  
as much about the intent as you can.  
But that role is primarily designed,  
as I've thought about it more  
recently, to find out the minimum  
coverage of the statute rather than  
the ceiling. You often know that  
03:39:36      they want to accomplish certain  
things. But it doesn't mean they  
wanted to forbid other things that  
they didn't mention explicitly, or  
that were inevitably covered in the  
law.

MR. MCKENZIE: In one of your  
opinions on the Court, the Granholm  
case, which involved a test of the  
meaning of the 21<sup>st</sup> Amendment--

03:40:02      JUSTICE STEVENS: [Interposing]  
  
Right.

MR. MCKENZIE: --which had repealed  
Prohibition, you wrote in dissent,  
"The views of judges who lived

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Timecode	Quote
03:40:08	through debates that led to the  ratification of those amendments,"  meaning the 21 <sup>st</sup> and the 18 <sup>th</sup>  Amendments, "are entitled to special deference." And you then went on to discuss Justice Brandeis's views on those amendments in a contemporary case. <sup>179</sup> Did you consider your  Granholm dissent to be an originalist opinion?  JUSTICE STEVENS: I've  03:40:29 never classified my opinions as  originalist or not. It sounds like it must've been in a sense because I did think it quite relevant and quite important to figure out what the people who worked on that particular provision had in mind, and the contemporary situation did seem to me quite relevant. And of course it was particularly so because of the appeal of  03:40:54 Justice Brandeis.

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<sup>179</sup> Granholm v. Heald, 544 U.S. 460, 495 (2005) (Stevens, J., dissenting).



Timecode      Quote

MS. LEE:    Justice, one area that you

have been quite interested in over

the years, as have I, is state

sovereign immunity. That is an area

03:41:09      where you seem to have decided that

the original purpose of the amendment

was perhaps the most important factor

in interpreting what it ought to be

now. And in some ways, you could say

that your view of the 11<sup>th</sup> Amendment

suggests that you thought that they

did not intend to expand it beyond

what was mentioned.<sup>180</sup> Could you

comment on why you thought that the

03:41:41      original intent was really important,

was perhaps the dispositive factor

for the 11<sup>th</sup> Amendment?

JUSTICE STEVENS: Well, as I think

I've said earlier, it's always

important to look at the original

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<sup>180</sup> See, e.g., *Seminole Tribe v. Florida*, 517 U.S. 44, 76, 81-83 (1996) (Stevens, J., dissenting) (Congress should have power to abrogate state sovereign immunity when it legislates pursuant to its Article I powers); *Pennhurst State School & Hospital v. Halderman*, 465 U.S. 89, 126, 151-52 (1984) (Stevens, J., dissenting) (Eleventh Amendment should not prohibit federal courts from ordering state officials to conform their conduct to state law).

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Line#        Timecode    Quote

                         intent, whether of a statute or

                         constitutional provision. But there

                         of course the original intent goes

                         back behind the 11<sup>th</sup> Amendment, to

03:42:03        Chisholm against Georgia.<sup>181</sup>

                         MS. LEE: Yeah.

                         JUSTICE STEVENS: And I really think

                         that the most important element of a

                         discussion of that

03:42:10        particular part of our law should

                         keep in mind that four of the five

                         justices who decided that case were

                         intimately involved in the adoption

                         of the Constitution.<sup>182</sup> And if they

                         didn't think that the framers just

                         wanted to bring sovereign immunity as

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<sup>181</sup> In *Chisholm v. Georgia*, 2 U.S. 419 (1793), the Supreme Court rejected the state of Georgia's claim that sovereign immunity protected it from suit in federal court by a South Carolina creditor to collect a debt. The Eleventh Amendment, ratified in 1795, overturned the *Chisholm* decision.

<sup>182</sup> James Wilson of Pennsylvania played a leading role at the Constitutional Convention and then at the Pennsylvania ratifying convention. John Blair of Virginia was a delegate to the Constitutional Convention. John Jay of New York did not attend the Constitutional Convention, but he contributed five essays to the *Federalist Papers* and was an influential supporter of the Constitution at the New York ratifying convention. William Cushing of Massachusetts was vice president of the Massachusetts ratifying convention. (James Iredell of North Carolina was a supporter of the Constitution and unsuccessfully urged its ratification at the first North Carolina ratifying convention.)

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a common law defense to the United States, it seems to me that we should never forget that. And the fact that

03:42:37 the states did enact a limited bar on sovereign immunity should not go farther than what the framers of the 11<sup>th</sup> Amendment intended, which was pretty well-analyzed by John Marshall in later opinions and so forth, basically wanting to protect them from having to pay their debts.<sup>183</sup> And it never really envisioned the exceptional expansion of the whole

03:43:08 doctrine that this Court is responsible for.

MR. ESTREICHER: We're going to turn, still on the theme of original intent, we're going to turn to the

03:43:17 Second Amendment cases, the right to carry and bear arms in Heller and McDonald.<sup>184</sup> Do you believe that the,

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<sup>183</sup> See *Cohens v. Virginia*, 19 U.S. 264 (1821) (opinion for the Court by Chief Justice Marshall).

<sup>184</sup> *District of Columbia v. Heller*, 554 U.S. 570 (2008) (holding that the Second Amendment protects an individual's right to keep and bear arms unconnected with service in the militia,

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do you think that the Court adopted  
an original intent approach in those  
cases or a--what some would call--a  
living constitution approach in those  
cases?

JUSTICE STEVENS: Well, sort of in  
between, because there are

03:43:42      two versions of the original intent  
approach. There's what I understand  
Attorney General Meese's approach was  
to try and figure out what the  
draftsmen of the constitutional  
provision had in mind, whereas the  
more modern version of original  
intent looks primarily at what  
audiences look at, what the ordinary  
reader reads into the intent. And in

03:44:09      the debate in the Heller case,  
Justice Scalia relied very heavily on  
contemporary commentary on the,  
rather than the views of Madison and

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and striking down certain D.C. restrictions on firearms as unconstitutional); *McDonald v. City of Chicago*, 561 U.S. 742 (2010) (holding that the Fourteenth Amendment incorporates the right to keep and bear arms, and striking down Chicago gun control ordinance).

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the people who submitted drafts to

03:44:23

Madison to adopt as part of the  
Second Amendment.<sup>185</sup> And I thought it  
more important to look at what  
Madison had in mind and look at the  
original version of the original  
intent.

MR. ESTREICHER: The drafters versus  
the audience.

JUSTICE STEVENS: The drafters versus  
the audience. And I think on that  
score, although others

03:44:43

obviously disagree, there are all  
sorts of points of view, I really  
think I had by far the better of the  
argument. It was quite clear that  
the expansive interpretation of the  
amendment was not what, certainly not  
what Madison primarily had in mind.  
He wanted to protect the states from  
the federal government.

03:45:05

MR. ESTREICHER: So on that point,  
would there have been an original

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<sup>185</sup> 554 U.S. 570, 581-95 (2008).

Timecode      Quote  
                 intent argument for not incorporating  
                 the Second Amendment against the  
                 states?

03:45:15      JUSTICE STEVENS: Oh, certainly.  
                 That to me, you're talking about the  
                 McDonald case now rather than the  
                 Heller case.

MR. ESTREICHER: Yes.

JUSTICE STEVENS: It seems to me that  
                 that really is a fascinating case  
                 because from Attorney General Meese's  
                 position, he basically opposed the  
                 incorporation

03:45:32      doctrine entirely.<sup>186</sup> He thought one  
                 of the worst decisions the Court made  
                 was incorporating provisions of the  
                 Bill of Rights and making them  
                 applicable to the states. And under  
                 that approach, there would be no

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<sup>186</sup> Under the incorporation doctrine, certain provisions of the Bill of Rights, which were originally adopted to restrain the U.S. Congress, also apply against the states through the Fourteenth Amendment. Attorney General Edwin Meese declared in 1985, "nothing can be done to shore up the intellectually shaky foundation on which the doctrine rests. And nowhere else has the principle of federalism been dealt so politically violent and constitutionally suspect a blow as by the theory of incorporation." Attorney General Edwin Meese III, Address before the American Bar Association, Washington, D.C. (July 9, 1985).

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justification for treating the due process clause as a basis for incorporation. And so it's really quite interesting that in the majority in the City of Chicago

03:45:59

case. . .

MR. ESTREICHERR: McDonald, yes.

JUSTICE STEVENS: Relied on substantive due process --

MR. ESTREICHER: Unbelievable.

03:46:05

JUSTICE STEVENS: Rather than the privileges and immunities clause, except for Justice Thomas.<sup>187</sup>

MR. ESTREICHER: And rather than the original intent of the framers, which was a fear of a federal standing army.

JUSTICE STEVENS: I'm sorry?

MR. ESTREICHER: Rather than the

03:46:15

original intent of the framers as well.

JUSTICE STEVENS: Yes.

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<sup>187</sup> 561 U.S. 742, 805 (2010) (Thomas, J., concurring) (right to keep and bear arms is a privilege of American citizenship that applies to the states through the Fourteenth Amendment's Privileges and Immunities Clause).

Timecode      Quote

MR. ESTREICHER: Which was premised

on a fear of a federal standing army.

JUSTICE STEVENS: Right, that's

exactly right.

MR. ESTREICHER: With a militia by

the states an important check.

JUSTICE STEVENS: The

03:46:29 McDonald case is a very, very

interesting case because the people

who joined that opinion<sup>188</sup> are more

likely to be in the camp that thinks

that prohibition, that the

substantive due

03:46:45 process clause is not a justification

for incorporating a protection to

abortion and the like. And having

endorsed substantive due process as a

way of incorporating the Second

Amendment, it seems to me that really

forecloses them from arguing that it

should not enforce any other

provisions of the Bill of Rights.

MR. ESTREICHER: Interesting.

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<sup>188</sup> Justice Alito, Chief Justice Roberts, Justice Scalia, Justice Kennedy, and Justice Thomas.



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Timecode	Quote
03:47:09	Turning, still on original intent, but turning to the religion clauses of the First Amendment, are those cases where focus on the framers' intent is appropriate, useful?
	JUSTICE STEVENS: It depends on what you, if you look at the original intent as a floor or as a ceiling. Looking at it as a floor, the original intent certainly
03:47:36	supports a liberal interpretation of the, well, I don't know how to put it this way. But in fact, the draftsmen of the religion clauses did not think it extended protection
03:47:51	to non-Christian faiths. It did not, and there's writing to that effect by some of the early justices. <sup>189</sup> And so

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<sup>189</sup> Justice Joseph Story wrote that the religion clauses did not mean "to countenance, much less to advance Mahometanism, or Judaism, or infidelity . . . but to exclude all rivalry among Christian sects." 3 JOSEPH STORY, COMMENTARIES ON THE CONSTITUTION OF THE UNITED STATES 728 (1833). Chief Justice John Marshall wrote, in a letter to Jasper Adams on May 9, 1833, that the American population "is entirely Christian, and with us Christianity and Religion are identified. It would be strange indeed, if with such a people, our institutions did not presuppose Christianity." RELIGION AND POLITICS IN THE EARLY REPUBLIC: JASPER ADAMS AND THE CHURCH-STATE DEBATE 113 (Daniel L. Driesbach ed. 2015).

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it clearly did not establish the ceiling of the principle that there should be freedom to choose one's faith.

03:48:22

But what is really important to me is not so much what the draftsmen of the First Amendment thought, but of the whole history of our country. What brought people from England to this country is to escape religious persecution. And it justifies a broader interpretation of the protection, that you want to protect them from the kind of harm that drove them to leave Europe and come here.

03:48:48

So it's a mixture, how you describe original intent. But certainly the fact that draftsmen of the provision thought it had a ceiling, applied only in a limited area, certainly cannot determine our interpretation of the provision.

03:49:01

MR. ESTREICHER: Especially when they did not express that ceiling in the text of the First Amendment.

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JUSTICE STEVENS: It doesn't express it in the text, and nothing about the real reasons for it that justify that -- so again it's important to look at whether it's the floor or the ceiling that you're talking about.

03:49:21      MR. ESTREICHER: We're going to turn a little bit away from original intent issues to constitutional interpretation in general. And one question we want to ask you is about Justice Brandeis and the principle of attempting to avoid constitutional questions when there is another way of reading the statute, a reasonable way of reading the statute available. Do

03:49:46      you regard yourself as a follower of Justice Brandeis in this regard?

JUSTICE STEVENS: Very definitely, yes. I think I've cited his opinion several times.<sup>190</sup> I think

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<sup>190</sup> Justice Stevens is referring to Justice Brandeis's opinion in *Ashwander v. Tennessee Valley Authority*, 297 U.S. 288, 341 (1936) (Brandeis, J., concurring).

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Timecode      Quote  
03:49:54      it's dead right. Sometimes when  
  
you're compelled to address the  
  
issue, you have to address it  
  
broadly. But if you can avoid it,  
  
you certainly should. And that  
  
certainly was the view I expressed in  
  
Citizens United.<sup>191</sup>

MR. MCKENZIE: Justice, do you think  
  
that it is legitimate for a justice  
  
on this court to weigh potential  
  
03:50:18      practical consequences of a decision  
  
for one side or the other? For  
  
example, serious economic  
  
consequences or consequences to the  
  
judicial system.

JUSTICE STEVENS: Yes, I do. I think  
  
you think about a problem. You think  
  
about all of its dimensions.

Consequences of doing one thing

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<sup>191</sup> Citizens United v. Federal Election Commission, 558 U.S. 310 (2010), struck down the federal statute prohibiting independent expenditures by corporations and labor unions in support of or in opposition to political candidates. As Justice Stevens wrote in his dissent, the Court could have avoided the constitutional issue by deciding the case on statutory grounds. *Id.* at 393, 395, 405-09 (Stevens, J., dissenting, citing Justice Brandeis's Ashwander concurrence and discussing narrower grounds for decision).

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rather than another have to

03:50:41 be part of what you're trying to accomplish. And this is a view that Justice Breyer I think has expressed more often --

MR. MCKENZIE: Yes.

03:50:50 JUSTICE STEVENS: --than any of the rest of us.<sup>192</sup> But I certainly, in general I agree with him.

MR. MCKENZIE: And is that the kind of thing that a justice would then be duty-bound to state clearly in an opinion? I've weighed the following consequences and that has formed my decision in the case?

JUSTICE STEVENS: I don't

03:51:08 know that I've ever done that. I just can't remember it, but certainly whenever you're making a decision,

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<sup>192</sup> See, e.g., STEPHEN BREYER, *ACTIVE LIBERTY: INTERPRETING OUR DEMOCRATIC CONSTITUTION* 18 (2005) ("Since law is connected to life, judges, in applying a text in light of its purpose, should look to *consequences*, including 'contemporary conditions, social, industrial, and political, of the community to be affected.'"); STEPHEN BREYER, *MAKING OUR DEMOCRACY WORK: A JUDGE'S VIEW* 81 (2010) (observing that "when faced with open-ended language and a difficult interpretive question, [judges] rely heavily on purposes and related consequences").

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you have to think about what might happen with one decision rather than another. But it certainly should not be controlling. For example, in the snail darter case,<sup>193</sup> the fact that that might have had a serious consequence to the federal budget might have prevented

03:51:34 the completion of the dam--that's something the law requires, and you have to acknowledge it.

MR. ESTREICHER: If I can return to Ashwander and Justice Brandeis<sup>194</sup> for a

03:51:43 moment . . .

JUSTICE STEVENS: Sure.

MR. ESTREICHER: I think you said that in general, you're a follower of the avoidance principle. If there is a reasonable statutory interpretation available, it enables you to avoid

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<sup>193</sup> Tennessee Valley Authority v. Hill, 437 U.S. 153 (1978). Justice Stevens joined the opinion of the Court, which held that the Endangered Species Act prohibited the completion of a dam.

<sup>194</sup> Ashwander v. Tennessee Valley Authority, 297 U.S. 288, 346-48 (1936) (Brandeis, J., concurring).

Timecode Quote

deciding a constitutional question,

that's the way to go. Are there

exceptions to that approach? Are

03:52:01 there circumstances where you would

reach the constitutional ground?

JUSTICE STEVENS: I suppose there

are. Any rule must have its

exceptions, I'm sure. That sometime

the issue is, the exceptions are

pretty slim and pretty rare. . .

MR. ESTREICHER: Rare.

JUSTICE STEVENS: I would think. But

they may well be--

03:52:28 [Crosstalk]

MR. ESTREICHER: And of course there

has to be a reasonable statutory

interpretation available as well.

JUSTICE STEVENS: That's

03:52:34 right. And there has to be a

permissible statutory interpretation  
available.MS. LEE: Justice, turning now to a  
very interesting concept that you  
have referred to from time to time  
over the course of your time on the

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Timecode	Quote
	bench, which sometimes you've
	referred to as "due process of
	lawmaking," it seems to be the idea
03:52:59	that in considering an action based
	on a legislative rule, it is
	appropriate to look at the process by
	which the legislature came to that
	rule. And if it was sloppy or
	unreasoned or didn't take proper
	account of the factors, the merits of
	the rule, it seemed that you
	sometimes thought that the rule
	should be given less deference,
03:53:29	should be given less respect. <sup>195</sup>
	Most recently, you mentioned this in
	Baze versus Rees, even though you
	didn't use the term due process of
	lawmaking. You said that the
03:53:39	decision to retain the death penalty
	may be the product of habit and

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<sup>195</sup> Bowsher v. Synar, 478 U.S. 714, 757 n.23 (1986) (Stevens, J., concurring in the judgment); Fullilove v. Klutznick, 448 U.S. 448, 549 & n. 24 (1980) (Stevens, J., dissenting); Illinois State Board of Elections v. Socialist Workers Party, 440 U.S. 173, 189-90 (1979) (Stevens, J., concurring in part and concurring in the judgment); Delaware Tribal Business Committee v. Weeks, 430 U.S. 73, 98 (1977) (Stevens, J., dissenting).



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inattention, rather than an

acceptable deliberative process that

weighs the costs and risks of

administering the penalty against its

identifiable benefits.<sup>196</sup> And you

mentioned a similar concept in

Fullilove versus Klutznick, and in an

Indian case way back at the beginning

03:53:59

when you were a justice.<sup>197</sup> Could you

please tell us a little bit more

about this very interesting idea that

does not seem to have been taken up

by many other members of the Court?

JUSTICE STEVENS: It's really not

relevant too often, you're dead

right. But I think it was the

product of some of Hans Linde's

writing, the Justice of the

Washington Supreme Court

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<sup>196</sup> *Baze v. Rees*, 553 U.S. 35, 78 (2008) (Stevens, J., concurring in the judgment).

<sup>197</sup> *Fullilove v. Klutznick*, 448 U.S. 448, 549 & n. 24 (1980) (Stevens, J., dissenting); *Delaware Tribal Business Committee v. Weeks*, 430 U.S. 73, 98 (1977) (Stevens, J., dissenting).

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03:54:24      or Oregon Supreme Court?<sup>198</sup>

[Crosstalk]

MS. LEE:    Oregon.

JUSTICE STEVENS:    Oregon?

MR. ESTREICHER:    Oregon.

03:54:29      JUSTICE STEVENS:    Yeah, he wrote  
  
about it, and I found in the Indian  
  
case you described, looking at the  
  
legislative history of the statute,  
  
you would sense that it's clear as a  
  
bell that they had made a mistake in  
  
excluding a certain category of  
  
beneficiaries or including, I don't  
  
remember the details now.    And I  
  
thought the deficiency in attention  
  
03:54:53      to the issues by the legislative body  
  
really should be given some  
  
consideration.    And yes, I also had  
  
the same reaction to the, in the  
  
Fullilove case because it seemed to  
  
me there was a profoundly difficult  
  
and controversial question that  
  
should have preceded the decision of

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<sup>198</sup> Hans Linde, *Due Process of Lawmaking*, 55 NEB. L. REV. 197 (1976).

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Congress on

03:55:27      whether to grant the preference that  
the statute granted.

And I thought again there was a wide  
divergence between what they should  
have done and what they did do

03:55:36      during their actual deliberations.

And that did have an impact on my  
interpretation in the case. And that  
again came back to mind when I wrote  
Baze. I think again, and the states  
of course, the rules are in place a  
long, long time. And I think that's  
why they're there. They've been  
there so long right now. There was a  
reconsideration of the validity of

03:55:08      the death penalty shortly after I  
came on the Court, during the period  
when most states took the time to  
study the question and reenacted the  
provision.<sup>199</sup> So there was a fresh

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<sup>199</sup> See *Gregg v. Georgia*, 428 U.S. 153 (1976); *Proffitt v. Florida*, 428 U.S. 242 (1976); *Jurek v. Texas*, 428 U.S. 262 (1976). In these cases, the Court upheld the constitutionality of death penalty statutes enacted after predecessor statutes had been struck down in *Furman v. Georgia*, 408 U.S. 238 (1972).

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study then.

But there wasn't over the succeeding years. And I think it's something that should've been thought about more carefully by individual

03:56:31      legislatures to see whether or not their systems made sense, or whether you're giving too much discretion to individual prosecutors in individual counties, things like that. And

03:56:42      whether they should have rules that enable the prosecutor to have express latitude in challenging jurors that might have reservations about the death penalty and so forth. I think it would have been better if there had been more constant attention to the problem than there was.

MS. LEE: So is there a way that you could articulate in general terms

03:57:06      what you expect of a legislature in standing up to your scrutiny? What are the standards that you think legislatures should meet, or does it all depend on the circumstances and

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the context?

JUSTICE STEVENS: You should have a feeling they've really thought about the problem and enacted, and based on reasons rather

03:57:29      than just a particular political climate at the time that made decision.

MR. ESTREICHER: Wouldn't it be hard for judges to evaluate that sort of a record? Or it could be manufactured to satisfy the judges?

03:57:43      JUSTICE STEVENS: No, I think that's true. And I certainly don't mean to suggest that's a standard part of your looking at cases, but sometimes when it does just jump out at you that there's been no particular consideration of the merits of an issue, it seems to me there's less deference due to the

03:58:05      decision that you're looking at.

MS. LEE: Thank you very much. Now the next topic, another general theme that appears from time to time in

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your opinions on constitutional

issues, is that you state what you

think the law requires, the

Constitution requires. And then you

state, I don't think this is a good

idea as a policy matter. And I

03:58:37 remember that from EEOC versus

Wyoming during my term, when you said

that you thought that as a policy

matter, mandatory retirement age was

probably a good idea.<sup>200</sup> And you've

03:58:49 said something similar in Kelo and in

Gonzales versus Raich, the medical

marijuana case.<sup>201</sup> Can you tell us

what considerations led you to decide

when you would express a personal

view about the policy substance in a

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<sup>200</sup> Equal Employment Opportunity Commission v. Wyoming, 460 U.S. 226, 250 (1983) (Stevens, J., concurring) ("I also believe, contrary to the popular view, that the burdens imposed on the national economy by legislative prohibitions against mandatory retirement on account of age exceed the potential benefits. My personal views on such matters are, however, totally irrelevant to the judicial task I am obligated to perform.")

<sup>201</sup> Kelo v. City of New London, Connecticut, 545 U.S. 469, 489-90 (2005); Gonzales v. Raich, 545 U.S. 1, 9 (2005) ("The question before us, however, is not whether it is wise to enforce the statute in these circumstances; rather, it is whether Congress' power to regulate interstate markets for medicinal substances encompasses the portions of those markets that are supplied with drugs produced and consumed locally.")

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case, in an opinion?

JUSTICE STEVENS: Well, I think that they're especially in opinions we thought were probably going to be the target

03:59:14      of very serious criticism. And the purpose of putting it in my opinion was to say, well, we're not arguing about whether it's a good idea. There's a narrower issue. And if you want to criticize the opinion, you should criticize its discussion of the law, rather than the particular merits of the policy decision that may or may not be upheld.

03:59:41      MS. LEE: So thank you very much for that. And onto Troy.

MR. MCKENZIE: And Justice, another question on constitutional interpretation. We've discussed this

03:59:54      a little bit in earlier sessions. You famously said that there is only one equal protection clause. Your colleagues on the Court, however, tended to prefer tiers of scrutiny

Timecode	Quote
	and subdividing the equal protection clause. Do you think the course of the law would have been different if a majority of your colleagues had adopted your view of the equal protection clause? And if so, how do you think the law in this area would have changed?
04:00:17	JUSTICE STEVENS: Well, I'm not sure how to answer that. I think I was explaining what I understood to be the actual process of decision, that you put it in the tier of scrutiny after you've decided how the case is set. And that was just my impression of
04:00:40	what they were really doing. And I think that that struck me most forcefully in an intermediate scrutiny case, well, the one there was whether same access to alcoholic
04:00:55	beverages by females and males of the same age. <sup>202</sup> And that seemed to me

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<sup>202</sup> Craig v. Boren, 429 U.S. 190 (1976).



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not really what was going on. And

there was another case involving a

right of the children of immigrants

to access to education. And I think

they put that in intermediate

scrutiny.<sup>203</sup> And it seems to me what

they're really basically doing is

whether they thought the benefits

outweighed the

04:01:19 costs.

MR. ESTREICHER: We're going to turn

to another area, reading statutes.

JUSTICE STEVENS: Reading statutes.

MR. ESTREICHER: Reading statutes.

There is at least one member of this

Court who's made himself very

prominent in academic circles for

taking the view that the text of the

04:01:35 statute is pretty much the only thing

that counts, with an occasional

resort to a dictionary or some other

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<sup>203</sup> Plyler v. Doe, 457 U.S. 202 (1982).

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source like that.<sup>204</sup> Is that your

view? Are you a textualist of that

sort?

04:01:48

JUSTICE STEVENS: Well, we wouldn't

be here if I were.[Laughter.] No,

I'm certainly not. And it's a

principled position that Nino takes,

but I really think it's quite

incorrect. As I've said in some of

my other answers, I really think a

judge should look for as much help as

he can find in other sources to

decide what's involved. And I just

think

04:02:16

it's unwise and incorrect to place an

arbitrary limit on the materials that

you look at in trying to figure out

what the legislature meant. Of

course, he doesn't think it's

important to know what the

legislature meant. He thinks it's

only important to know what the

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<sup>204</sup> Justice Antonin Scalia ("Nino"). See Antonin Scalia, *Common-Law Courts in a Civil-Law System*, in *A MATTER OF INTERPRETATION: FEDERAL COURTS AND THE LAW* 3 (Amy Guttmann ed. 1997); See generally ANTONIN SCALIA & BRYAN A. GARNER, *READING LAW: THE INTERPRETATION OF LEGAL TEXTS* (2012).

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statute means. And I think that is,  
as I've expressed in the book reviews  
we talked about earlier,

04:02:41 I think that may be influenced by his  
very strict approach to the issues of  
delegations of legislative power. He  
thinks that only Congress makes the  
law, and Congress has no right to

04:02:56 delegate any legislative power to any  
other branch, rather than as I would  
view it, whether the delegation was  
adequately limited by . . .

MR. ESTREICHER: - - limited, right.

JUSTICE STEVENS: Provisions in the  
statute.

MS. LEE: I might add for purposes of  
the record that the book review you  
were referring to was a book review  
published in October of 2014 of Judge  
Robert Katzmann's book on reading  
statutes.<sup>205</sup>

04:03:15

JUSTICE STEVENS: That's right;  
that's exactly right.

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<sup>205</sup> John Paul Stevens, *Law Without History?*, N.Y. REV. OF BOOKS, Oct. 23, 2014 (review of JUDGING STATUTES by Robert A. Katzmann).

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MR. MCKENZIE: Published in *The New York Review of Books*.

JUSTICE STEVENS: Pardon me?

MR. MCKENZIE: Published in *The New York Review of Books*.

04:03:26

JUSTICE STEVENS: Yes.

MR. ESTREICHER: We're giving you credit for that book review, which was a very fine book review. We were

04:03:32

speaking about it off record. Now Judge Katzmman believes it's very important to take into account the views of committees and the sponsors of bills, because the other legislators that will vote on the ultimate bill are paying special respect and deference to those folk.<sup>206</sup> Is that your view as well?

JUSTICE STEVENS: Very

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<sup>206</sup> ROBERT A. KATZMANN, JUDGING STATUTES 19-22 (2014). Before attending Yale Law School, Robert Katzmman received a Ph.D. in Government from Harvard University. He was a scholar at the Brookings Institution from 1981 to 1999. He also taught government, law and public policy at Georgetown. One of the topics of his research and writing was the relationship between the courts and Congress. He became a judge on the U.S. Court of Appeals for the Second Circuit in 1999 and chief judge in 2013.

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04:03:53	definitely, and I must say, and it's  an interesting sidelight, my views  are influenced by my work in the  subcommittee of the House Judiciary  Committee, where I got a feel for the  legislative process firsthand. And  it's not only the views of other  members of the legislature that are  affected by the history, but it's  also the views of the agencies that
04:04:22	are affected. . .  MR. ESTREICHER: Absolutely.  JUSTICE STEVENS: . . . by the  legislation. And Bob Katzmann makes  a very important point that no matter
04:04:30	whether you follow Scalia's views or  not, legislative history has a very  significant impact on the law as it's  administered by the agencies who are  involved in the legislation. <sup>207</sup> And  we are under a duty under Chevron to

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<sup>207</sup> *Id.* at 23-28.

Timecode      Quote

pay deference to what they do.<sup>208</sup> So  
there really is no way you can  
completely exclude examinations of--  
[Crosstalk]

04:04:55

JUSTICE STEVENS: --legislative

history from your understanding of  
what a statute should, how a statute  
should be read.

MR. ESTREICHER: I'll turn to Chevron  
for one moment, which has been a very  
influential opinion of yours.

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: We're going to turn  
04:05:03 to Chevron in one moment.

JUSTICE STEVENS: Yes.

MR. ESTREICHER: Which has been a  
very influential opinion of yours.  
Are there cases where it's

04:05:10

inappropriate to use legislative  
history? Are there situations where  
we're giving undue weight to minority  
views in the legislature when we do  
that?

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<sup>208</sup> Chevron U.S.A., Inc. v. Natural Resources Defense Council,  
467 U.S. 837 (1984).

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JUSTICE STEVENS: I suppose there

might be, but I don't quite see the

connection between Chevron. . .

MR. ESTREICHER: No. This was just a

follow-up to an earlier question. It

04:05:32 was not related to Chevron.

MS. LEE: I think to follow up,

clarify the last question, are there

pieces of, types of legislative

history that you consider unreliable

enough that you wouldn't rely on

04:05:46 them?

JUSTICE STEVENS: Oh, sure. I mean

Chief Justice Roberts put it well.

All legislative history is not equal.

Committee reports and

04:05:55 conference reports are clearly more

important than isolated comment.<sup>209</sup>

And I think to rely on legislative

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<sup>209</sup> Statement by Judge John G. Roberts at his confirmation hearing, quoted in KATZMANN, *supra* note 206, at 54 ("All legislative history is not created equal. There's a difference between the weight that you give a conference report and the weight you give a statement of one legislator on the floor. You have to, I think, have some degree of sensitivity in understanding exactly what you're looking at . . . .")

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history, you have to assume that judges can sort it out and look at what's important, unimportant, and I think the risk that they will adopt some statement that was inspired by a lobbyist trying to sell a certain point of view is really very

04:06:21 minimal. We have intelligent people looking at this. They can evaluate what the significance is of the different kinds of legislative history.

MR. ESTREICHER: We're going to turn to Chevron, which has been a very influential opinion of yours. It is the common feature of administrative law casebooks, legislation casebooks throughout the country. How broad is

04:06:47 the principle of deference to agencies in your view, in the area of statutory interpretation? When is it appropriate for a, when is it required for a judge to defer to an agency's statutory interpretation?

JUSTICE STEVENS: Well, there's a



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preliminary question that I have to--  
  
what do you mean by defer? You  
  
always defer in the sense that  
  
04:07:11      you take into consideration what the  
  
agency's done. But that does not  
  
necessarily mean you always reach the  
  
same conclusion.

MR. ESTREICHER: Right.

JUSTICE STEVENS: The agency's view  
  
is required to be followed if it's a  
  
reasonable interpretation. I think  
  
that's in Chevron itself.

MR. ESTREICHER: Right.

JUSTICE STEVENS:

So it's not a  
  
04:07:31      totally black and white distinction.

MR. ESTREICHER: Absolutely. So  
  
there's always respect for the  
  
agency's view--

JUSTICE STEVENS:

04:07:38      [Interposing] Right.

MR. ESTREICHER: Especially if it's  
  
being considered.

JUSTICE STEVENS: And in some cases,  
  
much higher respect than others. If

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the kind of issue is a very complicated one, requires knowledge of engineering questions the judges may not have much knowledge about, or things like that,

04:07:56

but it varies. And there are some areas where there's really not much point of giving any extra deference to what the agency does.

MR. ESTREICHER: There are some invocations of Chevron in which the deference is a much stronger respect for the agency's view. And in fact, if Chevron step two, as they say, applies, you must defer, you must

04:08:18

accept the agency's view if it's reasonable.

JUSTICE STEVENS: Right.

MR. ESTREICHER: And when are we in that step two? When do we know

04:08:28

whether a statute is sufficiently ambiguous that we have to, that the Court has to strongly defer to the agency's view?

JUSTICE STEVENS: Well, I think the

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test is when we think it's

reasonable. But you don't always

defer, but you certainly, there's a

strong presumption that you should

defer, if you think the agency is

04:08:46 better informed about the issue than

we are. And they usually are.

MR. ESTREICHER: A couple, Mr.

Justice, a couple of questions

following up on Chevron, which as I

said is a very important decision in

American public law. Getting back to

the Chevron case itself, what was

your process of decision-making in

that case? At what point did you

04:09:11 come to the view that the statute was

sufficiently ambiguous, that

deference to the agency was required?

JUSTICE STEVENS: Of course, the

memory that goes along goes back a

04:09:22 number of years, but I do have my

draft of--my original draft of the

opinion. And it impresses me how

detailed I was in discussing the

facts. And I remember in reviewing

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the facts, I did see that there was a legitimate argument for both views of the statute. Is it applied to a plant-wide test or a particular equipment applied test? And I

04:09:51      thought that it is ambiguous in the sense that you cannot find in the legislative history that Congress had chosen one meaning over another. And that qualified for a question on which we should defer.

MR. ESTREICHER: Thank you.

MR. MCKENZIE: Justice, I wanted to ask you a question about sources of authority that a judge or justice on

04:10:20      this court should look to. Did you rely much on academic writing? Did you make a practice of keeping up with the law reviews, with academic books on a regular basis? And did

04:10:34      you think to turn to academic authors as sources of authorities in your opinions?

JUSTICE STEVENS: Well, again, it varies from case to case, as I'm sure

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you know. And the thing that really impressed me the most on sources of authority is that how often cases depended on an interpretation of a prior Supreme

04:10:57 Court case, just one or two cases might require a really detailed study to figure out what would be the right next step. And so as a general matter, I don't think I did look at too much extrajudicial writing. And I always asked my law clerks to do that sort of survey and call my attention to anything they thought was particularly relevant. So as a

04:11:24 general matter, I look mainly at our prior precedents. And I think most cases, only two or three precedents really matter. But on the other hand, I always felt it entirely

04:11:39 permissible to look at anything that would shed light on the issue. I would look at law review articles. I would look at opinions of Canadian judges or Australian judges or any

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other source that might shed light on  
  
how you should think about the  
  
problem. So again, as you suggested,  
  
it depends on the case.

MS. LEE: That, Justice, almost

04:12:04

steals my lines for the next question  
  
that I'm about to ask, which is about  
  
citing foreign sources. And we know  
  
that you did occasionally cite  
  
foreign sources such as the views of  
  
the European Union in your opinion  
  
for the Court in Atkins versus  
  
Virginia,<sup>210</sup> and you quoted Chief  
  
Justice Aharon Barak of the Supreme  
  
Court of Israel in a dissent in

04:12:27

Circuit City Stores versus Adams.<sup>211</sup>  
  
There is actually some debate about  
  
whether or not it is appropriate for  
  
a Supreme Court opinion to cite a

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<sup>210</sup> Atkins v. Virginia, 536 U.S. 304, 316 n.21 (2002) (opinion of the Court by Stevens J.) (citing Brief of European Union as Amicus Curiae to support statement that world community overwhelmingly disapproves of execution of mentally retarded offenders).

<sup>211</sup> Circuit City Stores v. Adams, 532 U.S. 105, 133 (2001) (Stevens, J., dissenting) (citing Justice Barak of the Supreme Court of Israel on methods of statutory interpretation).

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foreign source. And the topic was

04:12:41

the subject of great interest at recent confirmation hearings of justices, Chief Justice Roberts and Justice Alito. There have been occasional outliers who suggested that if a justice cites a foreign court opinion, that justice should be impeached.<sup>212</sup>

[Laughter] Could you comment a little bit more on the pros and cons of this debate?

04:13:10

JUSTICE STEVENS: Yes, it seems to me that there are two different questions. One is, are you citing the foreign authority as authoritative or merely as shedding light on the issue? And it seems to me that foreign opinions, like law review articles or briefs or anything else that shed light on the issue, are appropriate materials to refer to.

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<sup>212</sup> David J. Seipp, *Our Law, Their Law, History, and the Citation of Foreign Law*, 86 B.U. L. REV. 1417, 1424 (2006).

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04:13:36	But if you cite it on as having  decided the issue, and that it's  binding on an American judge, of  course that's quite wrong. But I  don't think any American judge does
04:13:48	that 'cause it's so obviously  improper. And I think that the  debate is based on a misunderstanding  by people who criticize the process,  who apparently assume that the judge  writing an opinion in our court or in  a federal court is following the  foreign courts as though it were  authoritative law, which of course is  very seldom the case. But why one
04:14:16	should not consider some intelligent  statement that's relevant to an issue  because its author was not a United  States citizen doesn't seem to me to  make any sense.
	MS. LEE: I recall that the Chief  Justice, when he was sitting before  the Judiciary Committee, said that  citing foreign law was like picking  your friends out of a crowd, that



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04:14:41	you're inevitably being selective,  and that you're trying to stack the  deck. <sup>213</sup> And others have said that if  you cite foreign law, even if you're  not claiming that it's dispositive,
04:14:52	you wouldn't be citing it unless you  thought that it would tip the scales  in some way, that it would have some  weight perhaps in a closely divided  case. <sup>214</sup>
	JUSTICE STEVENS: Well, what has  weight is the reason given in the  document you cite. If your thinking  makes sense, it seems to me it's  appropriate to cite it and to
04:15:12	indicate it has influenced your  thinking. I don't see anything wrong  with being influenced by a thinking

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<sup>213</sup> Confirmation Hearing on the Nomination of John G. Roberts to be Chief Justice of the United States before the Senate Committee on the Judiciary, 109<sup>th</sup> Congress 200, 200-01 (2005).

<sup>214</sup> See Sanford Levinson, *Looking Abroad When Interpreting the U.S. Constitution: Some Reflections*, 39 TEX. INT'L L.J. 353, 360-61 (2003-2004) (discussing possible grounds for Justice Scalia's objections to U.S. courts' references to foreign law when interpreting the U.S. Constitution); *Lawrence v. Texas*, 539 U.S. 554, 598 (2003) (Scalia, J., dissenting) (stating that Court's discussion of foreign views is "meaningless dicta" and "dangerous dicta," since the Court "'should not impose foreign moods, fads, or fashions on Americans'" (citation omitted)).

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of people who were not born in the United States. And so I just, I thought part of John's testimony there was, I wouldn't entirely agree with. I didn't -- that's I think one of the very few things in his congressional hearing that I wouldn't have

04:15:38

subscribed to 100%.

MR. ESTREICHER: There have been a few cases where you have expressed the view that even in the

interpretation of federal statutes,

04:15:48

the Supreme Court should give special respect to the uniform views of the lower courts.

JUSTICE STEVENS: Oh, that's definitely true. And particularly that's true in statutory cases. I have the view, it was relevant in a fair number of cases when I first came on the Court, that if the law has been settled by courts

04:16:09

of appeals decisions in which there have been no conflicts for a period

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of time, that the bar and the

commercial segments of our society

rely on that rule as a rule of law.

And I think that fact should be given

consideration when an issue is

reached by this court that had been

previously decided in a very uniform

way in the courts of appeals. So I

04:16:34

really think that a general consensus

in the circuits should be given stare

decisis effect on statutory issues.<sup>215</sup>

Now on constitutional questions, I

have a different view. I think the

04:16:51

Court has the obligation to address

it as a de novo proposition when it

first gets here.

MR. ESTREICHER: Turning to amicus

briefs, there's been quite an

industry in the production of amicus

briefs in the last 20, 30 years at

the Supreme Court. Are there

particular cases where the filing of

an amicus brief has been especially

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<sup>215</sup> See, e.g., *Morrison v. National Australia Bank*, 561 U.S. 247, 274 (2010) (Stevens, J., concurring in the judgment).

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04:17:13      helpful to the Court?

JUSTICE STEVENS: Well, there are cases, I suppose. My practice with amicus briefs had been that I would generally read an amicus brief filed by the solicitor general because he often would have insights about federal law that I should be taking into consideration. But I would ask my law clerks to read all

04:17:36      the other amicus briefs and call my attention to anything they found in them they thought merited my attention. So it depends on the case. And that was true regardless of how many amicus briefs were filed.

04:17:48      And I think you're correct, that there seem to be more now than there were.

MR. ESTREICHER: It's a cottage industry.

JUSTICE STEVENS: It is a cottage industry.

MR. ESTREICHER: If I can turn your attention to the University of

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04:18:00 Michigan case, where there was an  
amicus brief, and this is something  
you discuss in your book *Six Chiefs*.

JUSTICE STEVENS: *Six Amendments*.

MR. ESTREICHER: *Five cases*.

JUSTICE STEVENS: *Five Chiefs*.

MS. LEE: *Five Chiefs*.

MR. MCKENZIE: *Five Chiefs*.

04:18:11 MR. ESTREICHER: *Five Chiefs*, sorry.  
I apologize. *Five Chiefs*. The  
amicus brief written on behalf of  
military, retired and active  
military--

04:18:21 JUSTICE STEVENS: [Interposing]  
Right.

MR. ESTREICHER: --officials, in  
support of affirmative action,<sup>216</sup> I  
believe you said that was an  
especially influential brief.<sup>217</sup>

JUSTICE STEVENS: It was. In fact, I  
talked about that brief just a week  
or so ago in Michigan at an affair

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<sup>216</sup> Consolidated Brief of Lt. Gen. Julius W. Becton, Jr. et al.,  
*Grutter v. Bollinger*, Nos. 02-041, 02-516, Feb. 21, 2003.

<sup>217</sup> STEVENS, *FIVE CHIEFS*, *supra* note 26, at 240-43.

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for President Ford.<sup>218</sup> And that

04:18:43 was a particularly important brief for several reasons. One, it made an awful lot of sense. But in determining whether affirmative action is in the public interest in an educational institution, it was important to realize that the Military Academy and the Naval Academy had engaged in affirmative action in order to cure the problems

04:19:08 that resulted from a largely African-American enlisted corps and an all-white, or virtually all-white, officer corps. And the experience of very well-respected military leaders showed

04:19:22 what a benefit that could provide the country looking to the future. And it was an interesting contrast, and I had mentioned an opinion I was particularly happy with, Wygant

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<sup>218</sup> John Paul Stevens, Michigan and the Supreme Court, Address to The Economic Club of Southwestern Michigan (Sept. 23, 2014) (transcript available on the website of the Supreme Court of the United States, [www.supremecourt.gov](http://www.supremecourt.gov)).

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against the city of Jackson,

Michigan,<sup>219</sup> in which the city had

tried to increase the number of

minority teachers up to an

appropriate level. And the issues

seemed to boil down to

04:19:56

whether or not it was an appropriate

remedy for past discrimination, which

had been the primary test of whether

affirmative action was permissible or

not. And I wrote in a separate

opinion that nobody joined, that it's

far more important to look at the

future benefits from any program than

it is to just decide whether or not

it's an appropriate remedy for past

04:20:19

sins, and whether the number of black

teachers would provide better

education in the future for the

children of Jackson.

And I really think that's right, and

04:20:30

I think that in the brief, although

they didn't cite my opinion, which

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<sup>219</sup> *Wygant v. Jackson Board of Education*, 476 U.S. 267, 313 (1986) (Stevens, J., dissenting).

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would not be good advocacy to be  
citing a dissenting opinion, they in  
effect argued that same position,  
that this would be good for the  
country. And that's the tact that  
Justice O'Connor took in her opinion  
for the Court, which I thought was  
particularly good. She relied

04:20:54 heavily on that amicus brief.<sup>220</sup> And  
it was an unusual amicus brief  
because it was such a good one, and  
supported by such distinguished  
personnel.

MR. ESTREICHER: If I can just stay  
on the issue of affirmative action  
for a moment, how have your views  
evolved with respect to that issue  
over the years?

04:21:17 JUSTICE STEVENS: Well, I think that  
case had an important part on it.  
It's quite interesting. I may have  
mentioned this someplace else, but  
during the week before that case

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<sup>220</sup> Grutter v. Bollinger, 539 U.S. 306, 331 (2003).



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04:21:27 was argued, Justice Powell and I had  
a meeting on some other--

MR. ESTREICHER: [Interposing]

Referring to Wygant now.

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: You're referring to  
Wygant now.

JUSTICE STEVENS: I'm referring to  
Wygant.

04:21:35 MR. ESTREICHER: Yes.

JUSTICE STEVENS: And I remember  
saying to him as I left his office,  
"Oh at least we have an easy  
affirmative action case on the docket  
for next week." And he said, "That's  
right." And we left both thinking we  
had an easy case, but we had  
different views about how it should  
be decided.

[Laughter] And I really thought that  
04:21:55 was a particularly easy case 'cause  
it really dramatically drew the  
distinction between trying to correct  
the past and what's good for the  
future.

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04:22:10	MR. MCKENZIE: Justice, I wanted to ask you a couple questions about writing separately as a justice. And as we discussed earlier, your view as a judge on the Seventh Circuit was that it was important to write separately if you wanted to express disagreement or a different view of a case from the majority. When you came here, did you feel more or less
04:22:36	able to express disagreement?  JUSTICE STEVENS: Same view.  MR. MCKENZIE: Same view.  JUSTICE STEVENS: Yeah. I think it's the correct view.  MR. MCKENZIE: As you became more senior on the Court, you were also able to take on the responsibility of assigning majority or dissenting
04:22:54	opinions. Did that role cause you to pull back a little bit from writing separately?  JUSTICE STEVENS: No.  MR. MCKENZIE: Why not?
04:23:05	JUSTICE STEVENS: Why should it? I

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mean the same reasons applied. If I  
  
didn't agree with the views of the  
majority, I had the same obligation  
to make those views known.  
  
MR. MCKENZIE: One of the interesting  
features of being a justice for the  
length of tenure that you were on the  
Court, is that you were able to see a  
number of your dissenting or separate  
04:23:32      opinions eventually become the views  
embraced by the entire Court. And  
I'm thinking of cases such as *Ring*  
against *Arizona*, which overruled the  
*Walton* case,<sup>221</sup> *Lawrence* against  
*Texas*, which overruled *Bowers*,<sup>222</sup>  
*Arizona* against *Gant*, which limited  
*New York* against *Belton*,<sup>223</sup> and *Garcia*  
against *San Antonio*, which overruled  
*National League of Cities* against

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<sup>221</sup> *Ring v. Arizona*, 536 U.S. 584 (2002); *Walton v. Arizona*, 497 U.S. 639 (1990).

<sup>222</sup> *Lawrence v. Texas*, 539 U.S. 558 (2003); *Bowers v. Hardwick*, 478 U.S. 186 (1986).

<sup>223</sup> *Arizona v. Gant*, 556 U.S. 332 (2009); *New York v. Belton*, 453 U.S. 454 (1981).

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Usery.<sup>224</sup> When

04:23:59      you were writing the dissents in those earlier cases, was it your hope that the Court would one day adopt your views? Were you writing to the future, or were you thinking, this is

04:24:11      the kind of thing where I'm trying to formulate a coherent view that will one day become the law?

JUSTICE STEVENS: Well, I suppose whenever you write a dissent, you hope that someone will agree with it, including future lawmakers. So I was always writing both for the present and for the future.

MR. MCKENZIE: And when is it, in

04:24:37      your view, when is it appropriate for a dissenting justice to stop dissenting? If you've dissented in a case, and later a subsequent case raising a similar issue or the next step of the same issue comes along,

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<sup>224</sup> Garcia v. San Antonio Metropolitan Transit Authority, 469 U.S. 528 (1985); National League of Cities v. Usery, 426 U.S. 833 (1976).

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when is it time for a justice who

dissented in the earlier case to

accept the prior decision as stare

decisis and move on? And when is it

04:25:02 on the other hand important for that

justice to stand his ground?

JUSTICE STEVENS: That's a very

interesting question. I'm not sure I

know the answer. I think on

04:25:16 constitutional questions, you pretty

much have an obligation to-- Well,

that's a very interesting question.

And I think I decided on a case-by-

case basis, but there are not too

many constitutional issues that the

same issue comes back over and over

again. So it's one I can't really

say I focused specifically on. But I

do know I have reacted unfavorably to

04:25:54 some of my colleagues' adherence year

after year after year to positions

that were both wrong and firmly

rejected by the Court repeatedly.

I think of, for example, in Harmelin

against Michigan, Justice Scalia

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advanced the view that the Eighth

Amendment doesn't have any

proportionality requirements,<sup>225</sup> that

black and white thing. And scholars

04:26:18 have discredited that view. Case law  
has discredited it. It's been firmly  
rejected over and over again. But  
both he and Justice Thomas still rely  
on it. And I must say I react

04:26:33 somewhat adversely to their stubborn  
adherence to an incorrect view of the  
law, but maybe that's just motivated  
by my reaction to the particular law.  
And I should also say that in that  
very case, even the plurality written  
by Justice Kennedy got it wrong  
terribly.<sup>226</sup> They held that a  
particular transmission of drugs by a  
courier justified a

04:27:07 sentence of life without the  
possibility of parole was permissible  
under the Eighth Amendment. I think

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<sup>225</sup> Harmelin v. Michigan, 501 U.S. 957 (1991).

<sup>226</sup> *Id.* at 996 (Kennedy, J., concurring in part and concurring in the judgment). Justice Kennedy was joined by Justices O'Connor and Souter.

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today, I can't believe they would still follow that view today. It seems so outrageously wrong. And so how does that tie into your question? I'm not sure, except that some positions should be reexamined more readily than others, I guess.

04:27:35 MS. LEE: Justice, following up on a point that we were talking about earlier, which is the 11<sup>th</sup> Amendment, it seemed that you and three other justices never gave up on your view

04:27:49 of this, the 11<sup>th</sup> Amendment, and of why *Hans versus Louisiana*<sup>227</sup> was not proper constitutional law. And it might be that each successive case had a slight wrinkle, and it was copyright this time, and it was some other context next time. But the underlying basis for the dissent of all of those five-fours throughout the eighties and the nineties was

04:28:12 that the 11<sup>th</sup> Amendment didn't mean

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<sup>227</sup> *Hans v. Louisiana*, 134 U.S. 1 (1890).

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what five members of the Court said  
it meant. How would you explain that  
decision to not give up, and not to  
accept that as stare decisis?

JUSTICE STEVENS: Well, I think in  
the profession generally, it's  
accepted that the 11<sup>th</sup> Amendment  
jurisprudence of the Court is

absolutely indefensible. Even the

04:28:40 most recent writing doesn't even rely

on the 11<sup>th</sup> Amendment any more. They  
now rely on the original intent as  
expressed in the Tenth Amendment.

And they've abandoned the tissue of

04:28:54 decisions that never really made any

sense. I have to confess though, I  
think there was one opinion early in  
the sequence in which I criticized  
one of my colleagues in the four for  
not accepting stare decisis and

moving on.<sup>228</sup> And that's, whatever I

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<sup>228</sup> Florida Dept. of Health v. Florida Nursing Home Ass'n, 450 U.S. 147, 151 (1981) (Stevens, J., concurring) (stare decisis required that Edelman v. Jordan, 415 U.S. 651 (1974), be followed, although he believed that it had been incorrectly decided; declined to join Justices Brennan, Marshall, and Blackmun in dissenting from summary reversal). In Atascadero



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said in that opinion, I disavow.

[Laughter]

MR. ESTREICHER:    Turning to a general  
matter of changes at the Court, the

04:29:23

Court you departed in 2010 I assume  
is very different from the Court that  
you entered in 1975.    What are some  
of the most important differences?

JUSTICE STEVENS:    Well, the most  
important difference, I don't want  
you to misunderstand this, my good  
friend Clarence Thomas, and he is a  
good friend, I'm very fond of him,  
but the most important

04:29:44

difference in terms of jurisprudence  
was replacement of Thurgood Marshall  
by Clarence Thomas, because they had  
vastly different approaches to  
constitutional law.    Both are

04:29:55

principled approaches, but they're  
different.

MR. ESTREICHER:    Has there been a

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State Hospital v. Scanlon, 473 U.S. 234, 304 (1985) (Stevens, J., dissenting), Justice Stevens disavowed his opinion in Florida Dept. of Health and wrote that he was now persuaded that he should no longer be constrained by the doctrine of stare decisis with regard to the Eleventh Amendment).

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change in the collegiality of the  
Court during that period of time?

JUSTICE STEVENS: I don't think so.

I really don't. They're a fine bunch  
of people. I like all of them, and I  
respect all of them.

MR. ESTREICHER: Have your views

04:30:14 changed in any particular areas in  
significant ways during that time?

JUSTICE STEVENS: I'm sure they have.

I can't just tell you which, but. . .

MR. ESTREICHER: Too many to list.

JUSTICE STEVENS: Pardon me?

MR. ESTREICHER: I said too numerous  
to list.

04:30:24 JUSTICE STEVENS: Too numerous to  
list, probably.

MR. ESTREICHER: Thank you.

MR. MCKENZIE: One other area of  
change at the Court during your time,

04:30:32 Justice, was the rapidly shrinking  
docket. . .

JUSTICE STEVENS: Yes.

MR. MCKENZIE: . . . of the Supreme  
Court. At one time in the late

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	seventies through the early eighties,  the Court was resolving 150, 160  cases per term after argument on the  merits. And now the Court is barely  able to decide 70 cases after  argument on the
04:30:56	merits. Is there an optimal number  of cases the Court should take? Do  you think it's a problem? You said  before you thought it was a problem  when the Court was taking too many  cases. <sup>229</sup> Do you think it's a problem  when the Court takes too few?  JUSTICE STEVENS: I do. I think the  right number is around 100, maybe 90  to 100. I think they could
04:31:14	take more. I really do, and I think  they should. But they took too many  before. And there are explanations  for it, but times have changed a  little bit.
04:31:26	MR. MCKENZIE: And what's the reason  for thinking that more than the

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<sup>229</sup> John Paul Stevens, *The Life Span of a Judge-Made Rule*, 58 NYU L. REV. 1, 16-21 (1983).

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                 current level is better?

04:31:48      JUSTICE STEVENS: Well, I just base it on my experience during my last few years on the Court. During the first few years, I was a "deny" person. I thought we took many cases, and I was very often the only justice who voted to deny. On my last years on the Court, I was very often the only justice who voted to grant. And I know that during my term I thought the Court should be granting more cases than it did. And I attributed part of the refusal to do so as to the negative impact of the cert pool.<sup>230</sup> I think the cert pool has a depressant effect on the number of cases. It's a good effect in the

04:32:12      avoidance of 130 [cases], but it also I think causes the Court to refuse to

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<sup>230</sup> STEVENS, FIVE CHIEFS, *supra* note 26, at 139-40 (discussing cert pool). Almost all of the justices now participate in the cert pool, in which each cert petition is assigned for review to a law clerk to a participating justice. That law clerk drafts a memorandum on the petition that will be relied upon by all the justices in the cert pool.

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take some cases that it should.

MS. LEE: A follow up on that

04:32:22 question, the cert pool didn't exist to the eight against one extent that it does now. But there was a cert pool back in my time when the Court took 160 cases.

JUSTICE STEVENS: Right.

MS. LEE: Do you think that the incremental difference between having not only yourself but Justice Brennan not being in the cert pool made that  
04:32:44 big a difference in the suppressing effect of the cert pool?

JUSTICE STEVENS: No, I'm not sure that it did. And because, and of course there are exceptions to everything I say. For example, Justice White was a "grant" person all along. He thought we didn't take enough cases, and he was also a member, an organizer to the cert pool. He was

04:33:06 one of those who helped organize it. So it's pretty hard to generalize

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everything. But I'm sure I've lost a  
thread of your question.

04:33:14      MS. LEE: The question was you said  
the cert pool had a suppressing  
effect now on the Court taking so few  
cases. But there was a cert pool  
that had I think seven justices in it  
even when they were taking 160. Do  
you think that there is a change in  
the way the cert pool operated  
between 30 years ago and the time  
when you left the Court?

04:33:33      JUSTICE STEVENS: I'm  
really not sure, 'cause I think it  
always would've had some depressant  
effect. It took more time for it to  
get the institutional power that it  
since acquired.

04:33:59      MR. MCKENZIE: Justice, I wanted to  
ask you another question about change  
at the Court with respect to oral  
argument. During your time at the  
Court, oral argument became somewhat  
more. . .

MR. ESTREICHER: Active.

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MR. MCKENZIE: Active, that's the right word, or energetic. The bench became hotter, I think most observers would say. Do you think oral argument today at the Court is better than it was when you joined the Court? Do you think it better contributes to the decisional process of the justices?

JUSTICE STEVENS: I really don't know, 'cause I think you're right that it does seem to be more active, but there are justices whom I admire on both sides of the participation that are responsible for it. I mean Justice Scalia has always been an active questioner. But Justice Sotomayor I think may be outpacing him now. She's active too.

And I think I'd say to both of them, I think there are times when their questions have prevented, have interfered with the ability of the advocate to get their points out.

But they're certainly better judges

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of what will work right now than I  
am.

04:35:02 MR. ESTREICHER: Justice Scalia, any,  
Justice Scalia, I apologize. I was  
thinking of Justice Scalia.

JUSTICE STEVENS: Don't apologize.

MR. ESTREICHER: Justice Stevens, are  
there procedures you think the Court  
should change that might improve  
decision making? Does anything come  
to mind?

04:35:18 JUSTICE STEVENS: Well, the  
one that I mentioned, I think in one  
my books is that they shouldn't have  
moved the conference table.

[Laughter] I think, 'cause I'm  
conscious now my hearing is not what  
it used to be, that there are times  
when you're sitting at the end of the  
table from the Chief Justice that you  
may not hear everybody else as well  
as you should. I don't know if  
that's still a

04:35:41 problem or not. But I have a hunch  
it still may be.



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MR. ESTREICHER: Given the recent news about Justice Ginsburg's changing a mistake in her opinion, I don't know if you saw that, she had made some assertion about the consequences of a case, and then she's had to retract it,<sup>231</sup> would it make sense for the opinions of the Court in most cases to be released on a provisional basis, to sort of elicit commentary and then two or three weeks later they would be final, if they were not changed?

04:35:50

JUSTICE STEVENS: I don't really think that's necessary. Maybe there's an occasional glitch that has to be corrected, but I think the present practice is probably okay.

04:36:15

MR. ESTREICHER: Should we reduce the number of clerks allotted to justices or increase the number?

JUSTICE STEVENS: Well, they're better judges of that than

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<sup>231</sup> Adam Liptak, *A Rare Admission About a Correction*, N.Y. TIMES, Oct 23, 2014, at A21.

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04:36:31	I am. I, as you know, I started out with less than the full complement, but by the time I retired. . .
	MR. ESTREICHER: Went back to four.
	JUSTICE STEVENS: I had the
04:36:40	full number. And I can't say that either system is better than the other. The reason I think I particularly like just having two clerks was that you do have, two clerks are more thoroughly involved in everything that goes in in the chambers than when they're four.
	MR. ESTREICHER: During your time on the Court, were you the only justice
04:37:02	who wrote his own first drafts?
	JUSTICE STEVENS: I don't know, and I'm not sure there's a blanket rule. I assume that sometimes other justices have written a number of first drafts.
	MR. MCKENZIE: Justice, I wanted to ask a follow-up question on clerks. This is a purely parochial and personal question. I was among the

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first group of four clerks that you  
hired in one cohort. Earlier you had  
been hiring three clerks of your own  
and then borrowing a clerk from a  
retired justice. And what made you  
04:37:59      decide to finally go to four clerks  
as a, on a standing basis?

JUSTICE STEVENS: I'm sure it must've  
been there was such an outstanding  
candidate available that I couldn't  
turn him down.

MR. MCKENZIE: Perfect answer.

Perfect answer.

MR. ESTREICHER: That's what he was  
looking for.

04:38:14      JUSTICE STEVENS: And he by the way  
was the only clerk who ever won the  
trivia contest in the clerks' annual  
party at the end of the year. So  
you're unique in many respects.

04:38:27      MR. MCKENZIE: Thank you.

MR. ESTREICHER: Were there justices  
you've been closer to than others? I  
mean who are the-- let me rephrase  
that. Who were the justices during

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the period you were on the Court that  
you were most close to?

JUSTICE STEVENS: When I was a law  
clerk myself?

04:38:40 MR. ESTREICHER: No, when you were a  
justice.

JUSTICE STEVENS: I'm not sure I  
understand.

MR. ESTREICHER: Of your colleagues  
on the Court, were there some that  
stand out as colleagues that you were  
most close to, or most influenced by?

JUSTICE STEVENS: You're not talking  
about clerks; you're talking about  
colleagues.

04:38:58 MR. MCKENZIE: Colleagues.

MR. ESTREICHER: Colleagues, yes.

JUSTICE STEVENS: Well, I listened to  
all of them, to tell you the truth,  
and I really

04:39:15 don't think so.

MR. MCKENZIE: Justice, I wanted to  
ask you some retrospective questions,  
to look back over your time on the  
Court. And the first one was about

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	areas of the law in which you think  you have had particular influence.  Do you think there is any particular  area of the law that you would stake  out as one in which you've had the  greatest influence, or a great deal  of influence as a member of the  Court?
04:39:38	JUSTICE STEVENS: Well, I knew that  question was coming, and I've given  it some thought. And I don't really  have a very good answer, but I do  think there are several areas of the  Court that I can be proud of my  contribution. And two of
04:39:59	them actually go back to my service  on the Seventh Circuit. I think that  probably the most significant was in  the area of patronage. I wrote an  opinion in the Seventh Circuit
04:40:13	holding unconstitutional a large  patronage discharge in the state of

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                 Illinois.<sup>232</sup> And that was the subject of debate for many years in the Court. And I really, the Court has generally come to agree with the position I took then.<sup>233</sup>

                 A second area was the difference between political factors that affect elections and racial factors. And in

04:40:43      a case called Cousins on the Seventh Circuit, I thought that racial decisions in drawing district lines and the like should be judged the same standards as political decisions.<sup>234</sup> And I still feel that way. And I really think that is the basis for the change that's got to come sooner or later, where they get rid of gerrymandering, because if they

04:41:07      can do it in racial gerrymandering,

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<sup>232</sup> *Illinois State Employees' Union v. Lewis*, 473 F.2d 561 (1972), cert. denied, 410 U.S. 928 (1973).

<sup>233</sup> *Elrod v. Burns*, 427 U.S. 347 (1976); *Branti v. Finkel*, 445 U.S. 507 (1980).

<sup>234</sup> *Cousins v. City Council of Chicago*, 466 F.2d 830, 848-53 (7<sup>th</sup> Cir. 1973) (Stevens, J., concurring).

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it's simply absurd to say they

couldn't do it with regard to

political gerrymandering. And that

goes way back to my thinking on the

04:41:18

Seventh Circuit. And I think I've

had some influence on a number of

patent decisions too, and I think

I've been constructive, although I

was very disappointed on the last

decision day in my tenure on the

Court that they didn't categorically

hold that business patents were not

patentable subject matter, but I do--

MR. MCKENZIE: [Interposing] Business

04:41:42

method patents, you mean?<sup>235</sup>

JUSTICE STEVENS: Pardon me?

MR. MCKENZIE: Business method.

JUSTICE STEVENS: That, right, they

didn't exclude business patents. But

in any event, I think the law is

moving in the

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<sup>235</sup> *Bilski v. Kappos*, 561 U.S. 593 (2010). Justice Stevens wrote an opinion concurring in the judgment. *Id.* at 613.

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04:41:56	correct direction there with later decisions. And I also, I can't claim credit, you mentioned the overruling in Bowers against Hardwick. But that also goes back to my first year
04:42:13	on the Court, we refused to, we affirmed summarily a sodomy case which clearly was a sufficient issue that should've justified review back there. <sup>236</sup> And I think I've had some favorable impact on the law in my opinion in Bowers. <sup>237</sup> I really, and I mentioned in affirmative action, I mentioned the Wygant opinion. I really think that was a quite
04:42:40	significant opinion. <sup>238</sup> And the other area that I think I've had more impact than may have been understood is in sentencing. I

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<sup>236</sup> Doe v. Commonwealth's Attorney for the City of Richmond, 425 U.S. 901 (1976). Justices Brennan, Marshall, and Stevens would have noted probable jurisdiction and set the case for argument.

<sup>237</sup> Bowers v. Hardwick, 478 U.S. 186, 214 (1986) (Stevens, J., dissenting).

<sup>238</sup> Wygant v. Jackson Board of Education, 476 U.S. 267, 313 (1986) (Stevens, J., dissenting).



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couldn't remember the name of the

case this morning, and Travis got it

for me,<sup>239</sup> but there was a dissent I

wrote, let's see -- back in

04:43:17

1997, in a per curiam case called

United States against Watts, in which

the Court held that it was

permissible for a judge to rely on

acquitted. . .

04:43:29

MR. MCKENZIE: Acquitted conduct.

JUSTICE STEVENS: . . . conduct in

sentencing. I thought that was quite

wrong. And I dissented from the per

curiam opinion of upholding the

practice.<sup>240</sup> And at an occasion at

Georgetown Law School shortly after I

retired, there was a panel discussion

of some of my work. And Clement, who

had been a solicitor general during--

04:43:58

MR. MCKENZIE: [Interposing] Paul

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<sup>239</sup> Travis Crum, law clerk to Justice Stevens in the 2014 Term.

<sup>240</sup> United States v. Watts, 519 U.S. 148 (1997) (Stevens, J., dissenting).

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Timecode      Quote  
                 Clement<sup>241</sup>.

04:44:12      JUSTICE STEVENS: Yeah, Paul,  
  
identified that as a case that he  
  
thought may well have led to the  
  
decisions invalidating the sentencing  
  
04:44:12      guidelines.<sup>242</sup> And I do think that  
  
some of my writing in the sentencing  
  
area has had a positive effect on the  
  
law that I'm really proud of,  
  
although I still think that sentences  
  
are more  
  
04:44:24      harsh than they need to be as a  
  
general matter.

MR. MCKENZIE: So you would include  
  
Appendi?

JUSTICE STEVENS: That's right. So  
  
that goes to Appendi, in which, it's

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<sup>241</sup> Paul D. Clement, Esq. served as the 43<sup>rd</sup> Solicitor General of the United States from June 2003 to June 2008. Earlier in his career, Clement clerked for Judge Laurence H. Silberman of the U.S. Court of Appeals for the D.C. Circuit and for Associate Justice Antonin Scalia of the U.S. Supreme Court.

<sup>242</sup> The Finest Legal Mind: A Symposium in Celebration of Justice John Paul Stevens, Georgetown Law Center, October 8, 2010. The remarks of Paul Clement were not published in the symposium issue of the Georgetown Law Journal, but a video of the panel in which he participated, The Legacy of John Paul Stevens, is posted on the C-SPAN website, <http://www.c-span.org/video/?295896-2/legacy-justice-john-paul-stevens>.

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quite controversial. And I think

Apprendi was clearly correct.<sup>243</sup> And

the Court more recently has finally

buried Pennsylvania against

04:44:47

McMillan, which Chief Justice

Rehnquist wrote, and I dissented in

that case, where the case held that a

sentencing factor could mandate an

increase in a sentence by a judge who

didn't think it should.<sup>244</sup>

And the other thing I wanted to

mention was, I don't know where I've

got it now. Oh, I think the cases

involving Guantanamo detainees are

not

04:45:30

currently particular subjects of

discussion, but I do think they were

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<sup>243</sup> Apprendi v. New Jersey, 530 U.S. 466 (2000). Justice Stevens wrote the opinion of the Court, holding that, apart from the fact of a prior conviction, any fact that increased the penalty for a crime beyond the statutory maximum must be submitted to the jury and proven beyond a reasonable doubt.

<sup>244</sup> Alleyne v. United States, 133 S. Ct. 2151 (2013); McMillan v. Pennsylvania, 477 U.S. 79, 96 (1986) (Stevens, J., dissenting). Justice Rehnquist, then an associate justice, wrote the opinion of the Court in McMillan.

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constructive cases.<sup>245</sup> And even

though the outcome of everything down

there had not gone the way I thought

it

04:45:44

should, I do think those were

important cases.

And the case in my last term on the

Court on the rights of, adequate

representation for immigrants who are

on trial for cases that can have the

consequence of making a deportation

necessary, I think that was a

significant opinion.<sup>246</sup>

But as I reflect on it, there are

04:46:21

probably others I should mention, but

I think the most important opinion I

wrote was my dissent in Bush against

Gore.<sup>247</sup> And I think that I have

often thought that I made a mistake

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<sup>245</sup> Justice Stevens wrote the opinions for the Court in *Rasul v. Bush*, 542 U.S. 466 (2004), and *Hamdan v. Rumsfeld*, 548 U.S. 557 (2006).

<sup>246</sup> *Padilla v. Kentucky*, 559 U.S. 356 (2010). Justice Stevens wrote the opinion of the Court.

<sup>247</sup> *Bush v. Gore*, 531 U.S. 98, 123 (2000) (Stevens, J., dissenting). The majority of the Court stopped the state of Florida's recount of presidential election ballots, resulting in the election of George W. Bush.

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in that case in not explaining why

the distinction between what kind of

chads they have--hanging chads and

dimpled chads--it was an absolutely

frivolous basis for an equal

04:46:54 protection argument, that I should've

discussed that in the opinion,

because there's limited time to do

it.

But on reflection, I think I was

04:47:04 correct to concentrate on the one

really terrible error that the Court

committed, in making a decision on

the stay application that really did

impact on the respect that the public

has for the work of judges. And I

think that case created very serious

damage to the rule of law. I think

our present cynicism about the

judicial process may actually go back

04:47:44 to that particular case, because it

was such a dramatic contrast between

the performance of the Court in a

political area, and the magnificent

decision in Nixon against the United

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States, in which four justices

appointed by the president joined in

opinion that led to his impeachment,

a magnificent chapter in our

history.<sup>248</sup>

MR. MCKENZIE: Thank you, Justice.

04:48:14

JUSTICE STEVENS: And Bush against

Gore just destroyed so much of that.

MS. LEE: Justice, one follow up on

your previous answer. One area that

04:48:24

you didn't mention as an area in

which you had influence was

antitrust. I wonder whether you've

deliberately excluded it, whether you

had any thoughts on the effect that

you might have had on the Court's

jurisprudence in an area as to which

you are an expert.

JUSTICE STEVENS: Well, it's

interesting. I did write some

04:48:47

opinions shortly after I came on the

Court. There's one called Fortner

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<sup>248</sup> United States v. Nixon, 418 U.S. 683 (1974) (holding that President Nixon must produce tape recordings and documents in responses to a grand jury subpoena).

Timecode	Quote
	against the steel company, in which
	we reexamined the basis for the
	prohibition on tying clause, and
	which basically reversed, it didn't
	do it in so many words, but took an
	entirely different approach to
	antitrust jurisprudence that had been
	taken in the very same
04:49:14	case several years earlier. And I
	thought that was a very constructive
	opinion in antitrust law. <sup>249</sup>
	But in later years, the Court took
	some steps that I thought went in the
04:49:28	other direction, and I ended up along
	with Justice Breyer in dissent, for
	example, in the case involving
	whether resale price maintenance, I
	forget the name of the case now,
	should be overruled. <sup>250</sup>

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<sup>249</sup> United States Steel Corp. v. Fortner Enterprises, Inc., 429 U.S. 610 (1977); Fortner Enterprises, Inc. v. United States Steel Corp., 394 U.S. 495 (1969).

<sup>250</sup> Leegin Creative Leather Products, Inc. v. PSKS, Inc., 551 U.S. 877, 908 (2007) (Breyer, J., dissenting, joined by Justices Stevens, Souter, and Ginsburg).

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MR. MCKENZIE: Dr. Miles.<sup>251</sup>

JUSTICE STEVENS: Dr. Miles. And so

I can't claim that I was a great

victor, but although for a

04:49:53 time my views did seem to have some  
impact.

MR. ESTREICHER: You left off

employment law, labor law. Any

opinions in those areas that you are  
fond of?

JUSTICE STEVENS: Well, again, for me

that was sort of a subcategory of

Chevron, that pretty much I felt we  
pretty much should do

04:50:15 what the agency commanded. But there  
is one that folds into arbitration.

MR. ESTREICHER: Yes.

JUSTICE STEVENS: I do think the

Court got arbitration quite

04:50:31 wrong in interpreting what Congress  
really intended with the Federal  
Arbitration Act, and that arbitration

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<sup>251</sup> Dr. Miles Medical Co. v. John D. Park & Sons Co., 220 U.S.  
373 (1911).



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kind of has grown like Topsy<sup>252</sup> in

ways that I don't think the statute

was intended, and which I don't think

that has served the public very

well.<sup>253</sup> I mean when they find that

the fine language on a ticket can

have the decisive effect on the

passengers

04:50:57

that it does, it seems to me that

something has gone wrong.<sup>254</sup>

MS. LEE: A couple of other, looking

back questions, which you may or may

not have responses to. One is, do

you have any opinions you would

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<sup>252</sup> Topsy is the name of a young slave girl in the book *Uncle Tom's Cabin* by Harriet Beecher Stowe. The word 'Topsy' is used allusively to refer to something that seems to have grown of itself without anyone's intention or direction. OXFORD ENGLISH DICTIONARY ONLINE 2017

<http://www.oed.com/view/Entry/203471?redirectedFrom=Topsy&>

<sup>253</sup> See *Rent-A-Center, West, Inc. v. Jackson*, 561 U.S. 63, 76 (2010) (Stevens, J., dissenting). Justice Stevens dissented from the Court's holding that the Federal Arbitration Act permitted delegation to an arbitrator of exclusive authority to resolve any dispute relating to the enforceability of an employment agreement.

<sup>254</sup> See, e.g., *Carnival Cruise Lines, Inc. v. Shute*, 499 U.S. 585, 604 (1991) (Stevens, J., dissenting) (attaching a copy of the cruise ship passenger's ticket containing in fine print a forum selection clause unfavorable to the passenger); *Chan v. Korean Air Lines, Ltd.*, 490 U.S. 122 (1989) (upholding damages limitation in Warsaw Convention even though it was disclosed only in 8-point type).

Timecode	Quote
	consider your favorites? And if you do, why?
	JUSTICE STEVENS: Well, Carol, I still like Karcher against Daggett. <sup>255</sup>
04:51:25	MS. LEE: Uh-huh. So do I.
	JUSTICE STEVENS: I thought you might . . .
	MS. LEE: To remind. . .
	MR. ESTREICHER: A case you worked on.
04:51:29	MS. LEE: For the record, that was a case in which the Justice wrote a concurrence in a challenge to the constitutionality of the congressional redistricting map for New Jersey.
	JUSTICE STEVENS: Correct.
	MS. LEE: Which was put in place in a strictly party line vote behind closed doors in the New Jersey state legislature.
04:51:47	JUSTICE STEVENS: That's right, and

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<sup>255</sup> Karcher v. Daggett, 462 U.S. 725, 744 (1983) (Stevens, J., concurring) (asserting that New Jersey congressional district lines drawn on a partisan basis should be subject to challenge as political gerrymandering in violation of the Equal Protection Clause).

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which has had a significant, and

which as you know I include the map

there to indicate that I do think you

can tell gerrymandering when you see

it. This is some of the wisdom of

Potter Stewart.

[Laughter] Applies to

gerrymandering.

MR. MCKENZIE: It's the Jacobellis

04:52:07 rule. You know it when you see it.<sup>256</sup>

JUSTICE STEVENS: You know it when

you see it; that's true. And of

course that reflects the fact that

the issues today are not the same as

04:52:19 they were back then. For years,

obscenity was a major issue in

deciding what was obscene and what

wasn't. And that's kind of not part

of the law any more, or not debated

very often.

MS. LEE: And finally, on this

category of questions, looking back,

are there any votes or any opinions

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<sup>256</sup> Jacobellis v. State of Ohio, 378 U.S. 184 (1964) (Stewart, J., concurring).

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Timecode      Quote  
                 that you regret?

04:52:47      JUSTICE STEVENS: Well, there are different things. I mean, regretting a decision doesn't necessarily mean you were incorrect in your vote. For example, I've cited the Texas death penalty statute. I think I was incorrect in my vote.<sup>257</sup> I've thought about it. But there are other cases that I regret more or less as though, because I

04:53:11      felt I was compelled by the law to go along with the decision. And I guess the one that's of most current interest is the question on voter ID business, where I wrote the opinion upholding

04:53:25      the Indiana statute which required voters to have photo IDs to vote.<sup>258</sup> And I'm still persuaded that the factual record developed at great

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<sup>257</sup> Jurek v. Texas, 428 U.S. 262 (1976) (plurality opinion of Justices Stewart, Powell, and Stevens).

<sup>258</sup> Crawford v. Marion County Election Board, 553 U.S. 181 (2008).

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length by Judge Barker in the

district court and reviewed carefully

by Judge Posner and another judge in

the Seventh Circuit, although there

was a very persuasive dissent in the

Seventh Circuit,<sup>259</sup> did lead to the

04:53:50

conclusion that the statute was a

permissible statute, even though it

had partisan effects that were not,

that were intended but not

necessarily invalidating.

I was under the impression at the

time that free photo IDs would be

available to the voters, which I

think was true in Indiana, although

the number of places where they could

04:54:24

be obtained was much smaller than the

number of voting booths, and so that

as times developed, they apparently

were not as generally available as

the record indicated they would be.

04:54:38

But in any event, I think that that's

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<sup>259</sup> Crawford v. Marion County Election Board, 472 F.3d 949 (7<sup>th</sup> Cir. 2007); 472 F.3d 949, 954 (7<sup>th</sup> Cir. 2007) (Evans, J., dissenting).

Timecode	Quote
	a decision I can say I regretted, but
	I don't think at the time I made it
	that it was an incorrect
	interpretation of the record. And
	there were three different opinions
	in the case, and different views.
	Justice Souter wrote a really
	magnificent dissent. <sup>260</sup> I've always
	admired it. But I
04:55:01	thought at the time, and I still do,
	that some of the material in the
	dissent was based not on testimony in
	the record, but rather he took
	judicial notice of a lot of material
	on the Internet and elsewhere that I
	didn't think could be a proper part
	of the analysis. I'm not criticizing
	him for it because he did write a
	beautiful dissent.
	MS. LEE: You mentioned, you put
04:55:25	quite a bit of emphasis on the record
	and the findings of the trial court
	in that case. And as I understand

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<sup>260</sup> Crawford v. Marion County Election Board, 553 U.S. 181, 209 (2008) (Souter, J., dissenting).

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04:55:37 it, at the time that that decision was issued, people who were looking to challenge voter ID laws in other states took some comfort that your opinion emphasized the record and set out to build better records for the challengers' case in those other states. What happened was that, the circuit in Georgia is the one I'm thinking of in particular, did, or the trial judge and then the appeal, is to totally ignore the fact that the

04:56:04 Indiana case depended a lot on the record and just looked at the bottom line and said the Supreme Court has ruled that voter ID laws are permissible.<sup>261</sup> So there was a great deal of disappointment that the record-bound aspect of what undoubtedly you intended was not paid attention to. Do you think there's any way that you could've written the

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<sup>261</sup> Common Cause/Georgia v. Billups, 554 F.3d 1340 (11<sup>th</sup> Cir. 2009).

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04:56:23 opinion differently that would have  
made it more clear that this was a  
case that depended on the facts?

JUSTICE STEVENS: Well, I think I  
made it--

04:56:32 MS. LEE: [Interposing] Yes.

JUSTICE STEVENS: --pretty clear in  
the opinion itself. Actually you  
should bear in mind too that Justice  
Scalia did not join the opinion. He  
wrote a separate opinion.<sup>262</sup> And my  
opinion was announced the judgement  
of the court, but it was just a  
plurality. And I think more  
attention should be paid

04:56:51 to the fact that Justice Scalia's  
view of the law was rejected by the  
Chief Justice and Justice Kennedy and  
me.

MS. LEE: Thank you.

MR. MCKENZIE: Justice, we've come to  
the end of our questions. But we

7195 wanted to give you the opportunity to

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<sup>262</sup> Crawford v. Marion County Election Board, 553 U.S. 181, 204  
(2008) (Scalia, J., concurring in the judgment).



04:57:12 make any other comments, if there's something that we haven't covered that you think is pertinent and important, we wanted to give you a chance to speak on it.

04:57:24 JUSTICE STEVENS: Well, that's very nice. I did a little thinking as I've indicated on your answer to your question about whether I made any contributions to the law. But I haven't really thought about a final address. I think perhaps I should repeat the wisdom that the president expressed when he said that his speech would be little known nor long remembered. And it's been pretty well known and pretty well remembered.<sup>263</sup> And I know there are many, many things that I should've thought about, but I've just kind of done my best on a kind of a casual basis. But I still have great confidence in the Court as a very

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<sup>263</sup> Justice Stevens was referring to Lincoln's Gettysburg Address.

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important institution in our society  
and have great confidence it will be  
in the future too.

MR. MCKENZIE: Justice Stevens, thank

04:58:25

you very much.

MR. ESTREICHER: Thank you very much.

MS. LEE: Thank you.

JUSTICE STEVENS: Thank you.

0:4:58:42

[END RECORDING]