



Legal Issues in Social Entrepreneurship and Impact Investing— in the US and Beyond

New York University School of Law
June 8-9, 2021

Conference Speakers

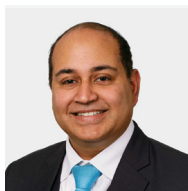
Conference Organizers:



Grunin Center
for Law and Social
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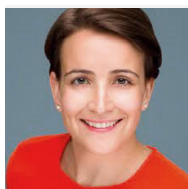
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Ranajoy Basu

Partner, McDermott Will & Emery UK LLP

Ranajoy Basu is a partner of McDermott Will & Emery UK in the Structured Finance practice in London. He is also the head of the firm's India practice and social impact finance practice, focusing his work on structured finance, with key experience in debt capital markets transactions. Basu has a particular focus on emerging markets transactions and is recognized as an industry leader by Legal 500 and Chambers. He is further recognized as one of the world's leading lawyers in cross-border social impact finance structures, including social and development impact bonds, renewable energy, sustainable finance, and green structured finance transactions. He has worked on some of the largest cross-border capital markets and structured finance restructurings.



Amélie Baudot

Chief Strategy and Legal Officer at the Global Innovation Fund

Amélie Baudot is chief strategy and legal officer at the Global Innovation Fund (GIF). She has been with GIF since 2016, overseeing the implementation of its strategy and with overall responsibility for operations, legal, ESG, and governance matters. She also manages the delivery of GIF's Innovating for Gender Equality Fund. Before joining GIF, she worked with AgDevCo Limited, advising on general corporate matters and structuring a range of investments. Before going in-house, Baudot spent

five years as a restructuring lawyer at Allen & Overy LLP in New York and London. She is admitted to practice in New York state and is a solicitor in England and Wales. She has a JD from NYU Law and an MA and BA in international relations.



Amy Bergstraesser

Senior Legal Counsel, Symbiotics

Amy Bergstraesser is a senior legal counsel for Symbiotics, a leading Swiss-based asset management company specialized in microfinance and impact investing in emerging and frontier markets, with eight offices throughout the world. In that role, Bergstraesser provides legal support for Symbiotics' cross-border impact investments across the value chain. This includes implementing European regulations, managing local counsel, setting up new offices, and supporting each department on matters of corporate, commercial, employment, IP, and data protection law. She also partners with the restructuring team to design creative legal solutions to restructuring the debt of microfinance institutions/small banks.

For the past three years, Symbiotics has been a client of NYU School of Law's International Transactions Clinic, benefiting from excellent pro bono legal services provided by the students and their attorney supervisors. This is a tradition that Bergstraesser particularly enjoys, as an alumna of the clinic herself.

Bergstraesser graduated from Skidmore College and University of Michigan Law School. After passing the bar, she pursued international law, working first as an associate for Ogletree Deakins and then as an attorney in the Office of the Vice President and General Counsel of Indiana University, forming their global gateway offices.

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Catherine Bertini

Board Chair of the Global Alliance for Improved Nutrition (GAIN); Board Member of the Global Food Banking Network (GFBN); Global Affairs and Professor Emeritus, Syracuse University

Catherine Bertini has devoted a distinguished career to improving the efficiency and operations of organizations serving poor and hungry people globally and in the United States. She has highlighted and supported the roles of women and girls in influencing change and has written and lectured on leadership.

She was named the 2003 World Food Prize Laureate for her transformational leadership at the World Food Programme (WFP), which she led for 10 years, and for the positive impact she has had on the lives of women. Under her leadership, WFP led efforts to end the famine in North Korea, to end starvation in the Horn of Africa, and to provide life-saving food to millions throughout the world.

She led UN humanitarian missions to the Horn of Africa and to Gaza, the West Bank, and Israel, and she chaired the UN System Standing Committee on Nutrition. After her WFP service, she was the UN under-secretary-general for Management.

With her World Food Prize, she created the Catherine Bertini Trust Fund for Girls' Education to support programs to increase opportunities for girls and women to attend school.

While in the US government, Bertini expanded the electronic benefit transfer options for food stamp beneficiaries, created a food package program for breastfeeding mothers, presented the first effort to picture healthy diets, and expanded education and training opportunities for poor women.

More recently, she co-chaired a successful effort to impact American policy supporting poor farmers in the global South, which became the "Feed the Future" initiative.

At the Maxwell School of Citizenship and Public Affairs at Syracuse University, for 13 years she taught graduate courses in humanitarian action, post-conflict reconstruction, girls' education, UN management, food security, international organizations, and leadership. She served as a Senior Fellow at the Bill & Melinda Gates Foundation early in its new agricultural development program, on the jury of the Hilton Foundation Humanitarian Prize, and as a Rockefeller Foundation Fellow.

She has been honored by 12 universities in four countries with honorary degrees and by the Republics of Italy and Ireland. She was appointed to senior positions by three UN secretaries-general and five US presidents.

Bertini now is a Distinguished Fellow at the Chicago Council on Global Affairs and a professor emeritus at Syracuse University. She is board chair of the Global Alliance for Improved Nutrition (GAIN) and a board member of the Global Food Banking Network (GFBN). She is an affiliated expert at the Lugar Center, an honorary advisor to the Global Child Nutrition Foundation, an advisory board member of the Scowcroft Institute of International Affairs at the Bush School of Public Service at Texas A&M University, a member of the Leadership Council of Compact 2025 of the International Food Policy Research Institute, and a senior advisor at the Center for Strategic and International Studies (CSIS). This year she serves as a Champion of the UN Systems Summit. She is also a Fellow in the American Academy of Arts and Sciences, the National Academy of Public Administration, and the International Union of Food Science and Technology.

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Leila Bham

**Senior Special Counsel, Office of Legal Policy,
Office of General Counsel, US Securities and
Exchange Commission**

Leila Bham is a senior special counsel at the US Securities and Exchange Commission, in the Office of General Counsel's Office of Legal Policy. She advises the Commission on regulatory initiatives and is a two-time recipient of the SEC's Law and Policy Award, as well as the Chairman's Award for Excellence. Previously, she advised the World Bank's Financial Market Integrity Unit and practiced at the law firm Freshfields Bruckhaus Deringer in London, advising on global capital raisings by issuers based in Europe, the Middle East, Latin America, and Asia.

Bham serves as elected chair of the District of Columbia Bar's Corporation, Finance and Securities Law Community, awarded the Bar's 2021 Community of the Year Award. For the American Bar Association, she has co-chaired the International Law Section's Annual Meeting. She is a past co-chair of the conference Legal Issues in Social Entrepreneurship and Impact Investing at NYU School of Law, and co-launched the DC chapter of the Impact Investing Legal Working Group.

She is qualified to practice in New York, the District of Columbia, England and Wales, and before the US Supreme Court. She holds an AB from Harvard University and a JD from Georgetown University. She speaks Burmese, French, and Spanish.



Lauren Boccardi

**Senior Attorney, The Nature Conservancy |
NatureVest**

Lauren Boccardi is a senior attorney responsible for advising The Nature Conservancy on its impact investment portfolio. Before joining the Conservancy, she spent seven years at the US Agency for International Development (USAID), where she most recently served as the deputy assistant general counsel for USAID's Bureau for Food Security and for the Bureau for Democracy, Conflict and Humanitarian Assistance. Her work at USAID covered private sector engagement, impact investing, legislation and policy, and Middle East issues.

Prior to USAID, Boccardi worked on a wide range of corporate transactions as a corporate lawyer at Debevoise & Plimpton, focusing in particular on energy and environmental work. She has worked with various non-profits, including a women's group in Oaxaca, Mexico, and was the manager of Communications and Research for an internet software company in New York. She is a graduate of Harvard Law School and earned her master's degree from the Fletcher School of Law and Diplomacy at Tufts University. She received her undergraduate degree from Georgetown University.

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Aaron Bourke

Counsel, Global Corporate Group, Reed Smith

Aaron Bourke is a counsel in Reed Smith's Global Corporate Group, practicing primarily in the area of private fund formation and counseling. In this role, he represents private equity and hedge fund sponsors in a wide array of transactions, including fund formations, portfolio company transactions, and financings. He also regularly advises fund sponsors and other clients on regulatory matters, such as adviser registration and private placement issues. Bourke also engages in broader transactional work, including company formations and drafting of related governing documents, as well as venture capital investments. He is a founding member of Reed Smith's Social Impact Finance Group and a leading practitioner in the area of forming pooled investment vehicles for impact asset managers.

Bourke is also a co-founder of the Impact Investing Legal Working Group, a professional network of lawyers who work in the field of impact investing for a diverse array of organizations, including impact investors, social enterprises, law firms, nonprofit organizations, foundations, and academic institutions. He regularly lectures at NYU School of Law on the subjects of aggregating capital for impact investments and career resilience. He received his BA degree from the College of William & Mary and his JD degree from NYU Law.



Mary Rose Brusewitz

Member, Clark Hill PLC

Mary Rose Brusewitz is a member of the law firm of Clark Hill PLC. She concentrates her legal practice on international transactional matters and advises clients on impact investing, sustainability, accountability, corporate governance, ESG compliance, and climate change. She supports impact investors in structuring, implementing, administering, and exiting impact debt and equity investments globally, including in Latin America, Africa, Asia, and Eastern Europe. Sectors in which Brusewitz' clients are active include financial services, encompassing microfinancing, renewable energy, retail, sanitation, housing, and currency hedging. She has substantial experience in emerging markets development and project and structured financing, private equity, cross-border investments and joint ventures, restructurings, workouts, insolvencies, dispute resolution, mediation, and other areas. She is a pro bono supervising attorney with the International Transactions Clinic at NYU Law and was a panel member and panel chair of the compliance panel of the independent accountability mechanism at the Inter-American Development Bank. Brusewitz earned her JD from UCLA School of Law and her BA in anthropology from UCLA. She speaks and works in English, Spanish, and Portuguese.

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Deborah K. Burand

**Faculty Co-Director, Grunin Center
for Law and Social Entrepreneurship,
Professor of Clinical Law, NYU Law**

Deborah Burand is a professor of Clinical Law at NYU Law, where she directs the International Transactions Clinic and is faculty co-director of the Grunin Center for Law and Social Entrepreneurship. She writes and lectures on issues related to international finance, microfinance and microfranchise, impact investing, and social finance innovations, such as social impact bonds, social entrepreneurship, and developing sustainable businesses at the base of the economic pyramid.

During 2010-2011, Burand served as general counsel to the Overseas Private Investment Corporation, the development finance institution of the United States. Earlier in her career, she worked in the environmental sector (Conservation International), microfinance sector (FINCA International and Grameen Foundation), and US government (Federal Reserve Board and Department of the Treasury). She also has worked in private practice at a global law firm, where, among other things, she supported, on a pro bono basis, the development of the world's first debt-for-nature swap.

Burand is a member of the board and Investment Committee of the MicroBuild Fund, an impact investment fund sponsored by Habitat for Humanity International. She is an advisor to the Linked Foundation and Social Sector Franchise Initiative. She co-founded the Impact Investing Legal Working Group (IILWG) and Women Advancing Microfinance (WAM) International.

Burand received her BA from DePauw University cum laude, and a JD/MSFS joint degree, with honors, from Georgetown University.



Catherine Colyer

Chief Compliance Officer, WaterEquity

Catherine Colyer is the Chief Compliance Officer for WaterEquity, an investment fund manager dedicated to solving the global water and sanitation crisis. She provides compliance oversight of WaterEquity's business and the funds it manages and serves on the Executive Committee. She is responsible for domestic and international regulatory compliance and fund management and governance and works with outside legal counsel internationally. Colyer has experience structuring and launching blended finance funds and has designed and executed innovative transactions to increase access of low-income communities to safe water and sanitation. She holds a JD from the University of Virginia School of Law and a BA with honors in political science and English from the University of Wisconsin-Madison.



Constanza Connolly

Co-Founder, Keidos Legal Impact

Constanza Connolly is co-founder of Keidos Legal Impact, a consultancy focused on generating, facilitating, and/or escalating the impact of organizations seeking to make sustainability central to their DNA.

She specializes in corporate law, corporate governance, and mergers and acquisitions. She has vast experience in businesses seeking social and environmental positive impact. She advises on the design and development of impact funds aimed at boosting sustainable finance and focusing on ESG. She focuses on sustainable value chains and

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complex structures for the creation of solutions for non-traditional figures, including organizations with non-profit and for-profit “hybrid-structures.”

Connolly co-founded the Latin American Impact Lawyers Network to boost impact businesses in the region and is a member of its board. She has been appointed board member of esela, the legal network for social impact, which promotes impact business internationally. She is also a member of the GSG Impact Investment Task Force created between Argentina and Uruguay to promote impact investment in Argentina. Connolly actively participates in the Latin America Payment by Results Network.



Krysta Copeland

**Associate General Counsel,
The Rockefeller Foundation**

Krysta Copeland joined The Rockefeller Foundation in 2019 and currently serves as associate general counsel. She is the primary lawyer for the foundation’s Innovative Finance team, which structures program-related investments (PRIs) worldwide, including debt, equity, recoverable and convertible grants, and guarantees. She also strengthens the foundation’s programmatic work in energy, food, health, equity and economic opportunity, and climate and resilience by leading the implementation of PRIs. She is the primary lawyer for Rockefeller Foundation Impact Investment Management, the foundation’s investment advisory arm. She also supports the foundation’s endowment office and serves as secretary of the Investment and PRI Committees.

Prior to joining the foundation, Copeland was a corporate attorney at Latham & Watkins in both London and Washington, DC. She primarily represented fund sponsors and institutional investors, leading every legal aspect of fund formation, fundraising, governance, and compliance. She also represented issuers and investment banks in international debt and equity offerings.

Copeland is admitted in New York and DC and is a member of the National Bar Association and American Bar Association. She earned her JD from Howard University School of Law and her BA in political science and Africana studies from the University of Pennsylvania.



Leslie Cornell

**Director and Deputy General Counsel,
Social Finance, Inc.**

Leslie Cornell is a director and deputy general counsel at Social Finance, Inc., a national non-profit organization dedicated to mobilizing capital to drive social progress. At Social Finance, she advises on sourcing and structuring innovative, first-of-their-kind impact finance transactions to support results-focused social programs across various issue areas, including homelessness, workforce development, and criminal justice. In this role, Cornell leads the negotiation and drafting of

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pay-for-success project documentation with state and local governments, institutional and philanthropic investors, and nonprofit service providers.

Cornell is the lead attorney for four launched Career Impact Bonds, deploying over \$24.6M via an impact-first \$50M private equity fund toward initiatives focused on increasing economic mobility for low-opportunity individuals.

Prior to social finance, Cornell practiced public finance at Chapman and Cutler LLP in Chicago. She is half of the legal team awarded the 2019 Grunin Prize for work on the VetsCARE Social Impact Bond project, a \$5.1M pay-for-success initiative to support veterans with PTSD in attaining competitive, compatible employment.

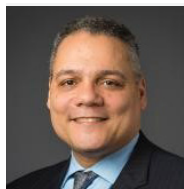


Erika Seth Davies

Founder, The Racial Equity Asset Lab (The REAL)

Erika Seth Davies is the founder of The Racial Equity Asset Lab (The REAL), a social venture that centers racial equity in impact investing and works to shift capital to close the persistent racial wealth gap.

Recently named CEO of Rhia Ventures, Davies is also a social entrepreneur in residence with Common Future and a former Fellow, Equitable Access to Capital Markets, with the Beeck Center for Social Impact + Innovation at Georgetown University. She combines social impact skills with expertise on issues of racial equity, diversity, and inclusion. She also brings to this work over two decades of experience leading nonprofit and philanthropic organizations, including the Baltimore Community Foundation and the Association of Black Foundation Executives (ABFE). In addition to her work with The Racial Equity Asset Lab, Davies also serves on the boards of Impact Hub Baltimore, Baltimore Algebra Project, and the Baltimore Children and Youth Fund.



Yves Denizé

Senior Managing Director & Division General Counsel, Nuveen Legal

Yves Denizé is a senior managing director and division general counsel for Nuveen's Legal group. He supports provision of investment and asset management product-related legal services for the asset management business, providing guidance and direction to clients and staff. He is a member of the Nuveen Legal management team reporting to Nuveen's chief legal officer. Nuveen is the asset management business of Teachers Insurance and Annuity Association of America (TIAA).

Prior to his current role, Denizé served as managing director and general counsel, Broker-Dealer & Investments, leading legal support for broker-dealer issues and for the Fixed Income and Alternative Investment program. Earlier in his career with TIAA, he provided advice and support to TIAA's Asset Management Area in connection with domestic and international private placements of debt and equity securities, including private equity funds, alternative investments, and derivatives. He has supported product development initiatives, including fund formation. He has also supported strategic sale and acquisition initiatives.

Prior to joining TIAA, Denizé served as counsel to the NYS Comptroller's Deputy Comptroller for New York City. He also was an associate at Cleary, Gottlieb, Steen & Hamilton. He has an undergraduate degree from Dartmouth College and received his JD degree, cum laude, from NYU School of Law. He has served as a member of the American College of Investment Counsel and on the New York City Bar Committee on Private Investment Funds.

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Cheryl L. Dorsey

President, Echoing Green

Cheryl L. Dorsey is president of Echoing Green, a global nonprofit that supports emerging social entrepreneurs and invests deeply in their ideas and leadership. A social entrepreneur herself, Dorsey received an Echoing Green Fellowship in 1992 to launch The Family Van, a community-based mobile health unit in Boston. She has served in two presidential administrations and currently serves on several boards, including the Bridgespan Group and Skoll Foundation. She has received numerous awards, including the Pfizer Roerig History of Medicine Award, the Robert Kennedy Distinguished Public Service Award, and the Manuel C. Carballo Memorial Prize. Dorsey was named one of “America’s Best Leaders” by *U.S. News & World Report* and the Center for Public Leadership at Harvard’s Kennedy School and one of the *Nonprofit Times*’ “Power and Influence Top 50.” She has a medical degree from Harvard Medical School and her master’s in public policy from the Kennedy School.



Heather Eisenlord

Partner, RPCK | Rastegar Panchal

Heather Eisenlord is a partner at RPCK Rastegar Panchal, where she focuses on domestic and cross-border debt financings and innovative impact investing transactions. She advises borrowers, lenders, investors, asset managers, and growth-stage companies in connection with debt financings, project financings, program-related investments, blended capital transactions, funds formation, and mezzanine, junior, and hybrid products. She has advised on complex deals across diverse industries, including clean energy, infrastructure, affordable housing, sustainable agriculture, financial inclusion, public health, environmental protection, and waste management.

Prior to joining RPCK, Eisenlord was the director for Inclusive Development at the International Senior Lawyers Project (ISLP). While at ISLP, she developed and managed global project portfolios that promoted expansion of the impact investing field and provided strategic and corporate legal representation to low-income and marginalized communities affected by large-scale land-based projects, with a focus on building a business and human rights approach to investment. Her work at ISLP was principally located in East and West Africa, Southeast Asia, and the Andean region of Latin America.

Eisenlord earned her JD, with highest honors, from the George Washington University Law School and received her BA in political theory and constitutional democracy from the James Madison College of Michigan State University. She is a member of the New York State Bar.

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Thelma Ekiyor

Managing Partner, SME.NG

Thelma Ekiyor is currently the managing partner, SME.NG—Nigeria’s Impact Investment Platform, which has set up two impact funds for women entrepreneurs. She is the co-founder/chair of Afrigrants Resources and also served as its pioneering CEO. At Afrigrants, she led the establishment of Market Women’s Quick Cash—a financial-inclusion solution to provide micro-loans to women in disadvantaged communities. She also founded Afrigrants Foundation, dedicated to the socio-economic advancement of girls and women. Ekiyor also led the conceptualization of the EBI Fund—an impact fund for women entrepreneurs. She is founder and co-convener of The Funding Space—a mentoring and access-to-finance platform for social entrepreneurs in Africa.

Prior to establishing Afrigrants, Ekiyor was the pioneer CEO of TY Danjuma Foundation and grew an endowment of \$100 million. Before that, she served as the pioneering executive director of the West African Civil Society Institute (WACSI), which was established by the George Soros Foundation’s Open Society Institute for West Africa. She supervised investments and interventions in 15 countries across West Africa.

Ekiyor holds an MBA in entrepreneurship and Innovation from Imperial College London, UK and a law degree (LLB Honours) from University of Buckingham UK. She is also a Fellow of Stanford University. She served as strategic policy adviser to UN Women Nigeria (2017-2019). She is currently a

board member for ALL ON, the renewable energy impact fund in West Africa established by Shell, and is on the Advisory Board of The WISE Fund (USA). Ekiyor has received several awards and citations for her contributions to women’s economic empowerment and philanthropy in Africa.



Ayaka Fujiwara

Climate Investment Specialist, Private Sector Facility, Green Climate Fund (GCF)

Ayaka Fujiwara is the climate investment specialist of the Private Sector Facility of Green Climate Fund (GCF), a multilateral climate finance institution. She brings GCF over 10 years of investment experience in the private sector, and she currently handles project finance deals in Asia, Africa, and Central/Latin America. She recently worked on the COVID-19 Energy Access Relief Facility proposal, which was submitted by Acumen Fund to provide liquidity to off-grid SMEs in Africa to survive through the pandemic. Prior to joining GCF, she served as an associate director of Daiwa Capital Markets Europe, providing investment strategy to institutional investors on various fixed-income products. In addition to that, she worked as a researcher in an M&A consulting firm in Myanmar, focusing on the corporate governance of Burmese companies. She holds an M.Sc. in finance from London Business School and currently is pursuing a PhD in green finance from Inha University.

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David A. Goldstein

Managing Partner, Goldstein Hall

David Goldstein concentrates his practice in affordable housing development, real estate and community development, nonprofit formation and governance, and corporate formation and governance.

As lead counsel with deep experience in structuring and advising joint ventures and complex affordable housing transactions involving numerous federal, state, and city housing and finance programs, Goldstein played a key role in the formation and early success of the award-winning Joint Ownership Entity (JOE NYC) program. He continues providing legal expertise as JOE NYC pursues additional affordable housing projects throughout the city. He is also among the members of the New York Advisory Board for the Corporation for Supportive Housing.

Goldstein has represented limited equity cooperatives, HDFO co-ops, and tenant associations in litigation and general corporate issues.

Beyond his active law practice, Goldstein regularly conducts workshops on numerous legal topics, including: affordable housing finance and development, negotiating and structuring joint ventures, Year 15 legal issues for low-income housing tax credit projects, and cooperative law. He has lectured and led workshops for numerous organizations, including the Enterprise Foundation, the Supportive Housing Network of New York, the Association for Neighborhood and Housing Development, New York State Association for Affordable Housing, the New York City Bar Association, LORMAN Education Services, a continuing legal education provider, and Urban Homesteaders Assistance Board.

Prior to entering private practice, Goldstein worked at Southern Tier Legal Services in Bath, New York, and at Queens Legal Services as a litigator representing tenants in eviction proceedings. While at Southern Tier Legal Services, David led the first independent study of the homeless population in Steuben County, NY.

Goldstein earned his JD from Syracuse University College of Law and an undergraduate degree from The George Washington University.



Jay Grunin

Co-Founder and chairman, the Grunin Foundation

Jay Grunin is co-Founder and chairman of the Grunin Foundation. Grunin graduated from Brooklyn College (with honors) in 1964, and from NYU School of Law in 1967, where he was an editor of the *Law Review* and where he met his future wife and business partner. After a brief exposure to academia—as research assistant to an NYU Law professor teaching a seminar on legislative history—Grunin spent a short time practicing Big Law in New York, followed by a one-year appellate division clerkship in New Jersey. Grunin, who would never have to rue about the road not taken, opted to then take the advice of his lawyer-wife who implored him to “go south young man, go south.” And so the Grunins “hitched on to the second wagon train” and landed in a then-small town on the central Jersey Shore called Toms River.

After a few years, Jay and Linda decided to open their own small “mom and pop” law firm. In the 1970s, as Ocean County became one of the fastest growing counties in the United States, Jay and Linda’s law practice flourished. At the same time, Jay and Linda expanded their business interests to include real estate and other investments.

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In the 1990s, the Grunins dissolved their law practice to concentrate full time on their greatest passions, business investments and philanthropy. In 2013, their philanthropic endeavors were formalized with the creation of the Grunin Foundation.



Lisa Hall

Impact Chair, Apollo Global Management Inc.

Lisa Hall was named in the summer of 2020 as the Impact chair and a member of the leadership team for Apollo's impact investing platform. In this capacity she chairs the Advisory Committee for impact investing and partner with the investment team on impact management, strategy, and oversight of the platform. She also serves on the Investment Committee for impact investing.

Prior to joining Apollo, Hall was a professor of Practice and a Fellow at Georgetown University's Beeck Center for Social Impact and Innovation, where she led research focused on creating a more inclusive economy. She previously served as a managing director at Anthos Fund & Asset Management (AFAM), where she launched and oversaw the firm's impact investing portfolio. Prior to AFAM, she was president and CEO of Calvert Impact Capital. She covered economic and community development policy issues as a senior policy advisor for the National Economic Council and has diverse civic leadership and non-profit board experience. Hall is a graduate of the University of Pennsylvania's Wharton School of Business and earned her MBA from Harvard Business School. She currently serves on the boards of Community Development Trust, Habitat for Humanity International, and OneMain Financial.



Louise Harman

Partner, Bates Wells

Louise Harman is a partner at law firm Bates Wells, the first UK law firm to be certified as a B Corp. She co-leads the firm's multi-disciplinary Impact Economy practice, which advises clients seeking to have a positive social impact through their business, investments, and other activities.

Harman advises on the full range of activities carried out by clients seeking to have a positive social impact through their business and other activities, including capital-raising, formation, governance, and general not-for-profit and commercial law issues. She has a particular interest in structuring and executing social investment transactions for organizations including social investors, charities and foundations, certified B Corps, and other purposeful businesses and investors.

Harman is a trustee of B Lab (UK) (the charity behind the B Corp movement in the UK) and also formed part of the Policy Council that was appointed to develop the legal requirements for B Corps in the UK. In 2016, she was seconded to the Government Inclusive Economy Unit to help develop recommendations to government and industry on mission-led businesses as part of the Mission Led Business Review.

Prior to joining Bates Wells, she trained and worked for seven years in the general finance team of a magic circle law firm, where she advised on high value, cross-border emerging market financial transactions, investment grade and leveraged finance transactions and restructurings.

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Carolina Henriquez-Schmitz

Director, TrustLaw

Carolina Henriquez-Schmitz is an international attorney who has spent over a decade working to educate and empower lawyers to be proactive leaders in driving social change. She is passionate about business and human rights, social entrepreneurship, access to justice, and fighting climate change.

Henriquez-Schmitz is the director of TrustLaw—the global pro bono program of the Thomson Reuters Foundation, where she works with social impact partners across the globe to facilitate legal support to NGOs and social enterprises, and to develop strategic resources and skills-building opportunities in the fields of inclusive economies, human rights, and media freedom. She spearheaded the development of TrustLaw’s social enterprise and impact investing trainings in the US and pioneered TrustLaw’s virtual learning workshops and global corporate pro bono initiative.

Prior to becoming director at the Thomson Reuters Foundation, Henriquez-Schmitz was the founding executive director of the NYU Law Grunin Center for Law and Social Entrepreneurship, which is dedicated to enhancing a community of lawyers and legal institutions engaged in social entrepreneurship and impact investing through education, resource development, and collaboration.

Earlier in her career, she worked in private practice at two leading international law firms, where she specialized in international finance, dispute resolution, and business and human rights. She was also a legal expert for the ABA Center for Human Rights and previously worked as a Legal Fellow for the Zambian Law Development Commission and at the World Bank in Latin America.

She is a dual-qualified lawyer in the US and Venezuela and holds an LLM from Harvard Law School, where she studied international finance and development.



Lam Nguyen Ho

Executive Director, Beyond Legal Aid (Beyond)

Lam Nguyen Ho is the executive director of Beyond Legal Aid (Beyond), which shifts the power of the law into the hands of impacted communities by partnering with them to create community-located, community-operated, and community-directed “activism-law programs.” Beyond’s radical network of community programs unites lawyers and activists to bring free legal services to over 4,000 people annually, including undocumented immigrants, sex workers, laborers, and activists, while impacting thousands more through its support of grassroots organizing, advocacy, and activism. Ho founded Beyond through the Harvard Public Service Venture Fund. He is an Ashoka Fellow, Echoing Green Fellow, and Wasserstein Public Interest Fellow.

Prior to founding Beyond, he was a staff attorney at Equip for Equality, where he defended the rights of people with disabilities. After graduating from Harvard Law School, he joined Legal Aid Chicago through a Skadden Fellowship, where he established 10 community-based clinics providing free holistic legal services on the west side of Chicago. He holds graduate degrees from Brown University, completing its four-year combined MA/AB program, and University of Oxford, where he was a Marshall Scholar and Sub-Dean of Wadham College. He was previously Chairperson of the Illinois HIV/AIDS Response Review Panel.

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Rutherford Hubbard

Senior Legal Counsel and Legal Coordinator for the Agriculture Food and Water Department, Netherlands Development Finance Company (FMO)

Rutherford Hubbard is a senior legal counsel and legal coordinator for the Agriculture Food and Water Department with the Netherlands Development Finance Company (FMO). He specializes in renewable energy projects and value chain finance in emerging and frontier markets. With a global portfolio of debt and equity transactions, he has managed innovative deals in Asia, Africa, Latin America, and the Middle East. He is currently the lead in-house counsel for a consortium of DFI lenders for the first IPP project in Ethiopia.

Hubbard has 10 years' experience living and working in South Asia, Southeast Asia, and the Caucasus, in both private practice and development. In addition, he has served as a senior researcher and technical advisor to Transparency International in Sri Lanka and Cambodia on issues of corruption in the private sector. He holds a JD and a master's degree in corruption in post-conflict markets from the University of Michigan. He is a regular visiting professor at the Royal University in Phnom Penh and is a recipient of the Bates Fellowship in International Law and the Simon Manoogian Fellowship for Armenian Studies.



Ja'Nel Jamerson

Executive Director, Flint Early Childhood Collaborative and Educare Flint

Ja'Nel Jamerson is the executive director of the Flint Early Childhood Collaborative and Educare Flint. Prior to serving in this role, he served for 10 years in the field of education, most recently as executive director of the Flint & Genesee Literacy Network. An ardent advocate for holistic family engagement, Jamerson seeks to disrupt intergenerational cycles of poverty through the development and implementation of programs, partnerships, and policies that honor the interdependence between the success of children and the well-being of their parents.



Margot Kane

Chief Investment Officer, Spring Point Partners LLC

Margot Kane is a 15-year veteran in impact investing, community development finance, and social enterprise. She designs, advises, and manages portfolios in private debt, equity, and structured funds in the impact investment space, with strategies across a range of sectors and geographies, including place-based community development, sustainable water and green infrastructure, small business investment, and circular economy infrastructure. Kane works with a broad constituency of institutional, corporate, philanthropic, and family office investors to craft impact investment strategies and source and diligence investment opportunities. She is the chief investment officer for Philadelphia-based

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Spring Point Partners LLC, a social impact organization that invests in the transformational leaders, networks, and solutions that power community change and advance justice for all. She serves on the investment advisory committees of Calvert Impact Capital (Bethesda, Maryland), Circulate Capital (Singapore) and Builders Initiative (Chicago).

Previously, Kane served as chief financial and investment officer for Closed Loop Partners and as vice president of Strategy at Calvert Impact Capital. Before entering the investment world, she worked in international development and reproductive health programs in the US and internationally. She earned her MBA from University of California-Berkeley and a BA in history and Spanish from Macalester College in St. Paul, Minnesota.



Jonathan Klavens

Principal, Klavens Law Group PC

Drawing on a diverse background in general corporate, securities, project development and finance, fund formation, energy transactional, energy regulatory, and environmental and nonprofit matters, Jonathan Klavens founded Klavens Law Group PC in 2007 to help enable innovative ventures that have positive environmental or other social impact. His practice focuses on meeting the diverse legal needs of companies, investors, public entities, and nonprofits in the areas of clean energy/clean technology, social enterprise and impact investment, and sustainable agriculture/aquaculture and food ventures. Klavens previously practiced law at BCK Law PC and Goodwin Procter LLP and clerked for the US Court of Appeals for the First Circuit. He holds a BA from Columbia College, an MPP from Harvard's Kennedy School, and a JD from Northeastern University School of Law.



Jay Koh

Co-Founder and Managing Director, The Lightsmith Group

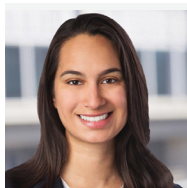
Jay Koh is co-founder and managing director of The Lightsmith Group. He has over 20 years of experience in investing and public policy in the public and private sectors. Prior to co-founding Lightsmith in 2016, Koh was a managing director and partner of Siguler Guff, a global alternative investment firm with over \$10 billion under management. Before joining Siguler Guff in 2012, Koh was the head of Investment Funds and the chief investment strategist at the Overseas Private Investment Corporation (OPIC), now the US International Development Finance Corporation (DFC). In this role, he led the agency's \$2.6 billion active emerging markets private equity program.

From 2007 to 2009, Koh led private equity and illiquid investing for Europe, the Middle East, and Africa (EMEA) at R3 Capital, formerly the Global Principal Strategies Division of Lehman Brothers. Previously, he focused on direct growth equity investing as a principal at The Carlyle Group, where he worked from 2000 to 2007 and also from 1993 to 1995. From 1998 to 2000, he served as a law clerk to Justice David H. Souter, United States Supreme Court, and to Judge Michael Boudin, United States Court of Appeals for the First Circuit.

Koh serves on the Private Sector Advisory Group to the UN Green Climate Fund, the board of the New York State Energy Research and Development Authority (NYSERDA), and the advisory committee to the NY Green Bank. He is also founder and chair of the Global Adaptation & Resilience Investment Working Group (GARI), a private investor-led initiative that was launched at Paris COP21, and is an official partner of the UN Secretary General's A2R Climate Resilience Initiative.

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He serves as an adjunct professor at Columbia University's School of International and Public Affairs and is a member of the Council on Foreign Relations. He holds an AB, magna cum laude, in economics from Harvard College, a master's degree in management from Oxford University, and a JD from Yale Law School.



Jyoti Kuvelker

Attorney Advisor, United States Agency for International Development

Jyoti Kuvelker is an experienced corporate attorney currently working in international development. Her interest in social enterprises and impact investing led her to join USAID's Office of the General Counsel in 2019. Kuvelker acts as a versatile general counsel covering legal and policy matters for the Power Africa initiative. Power Africa brings together technical and legal experts, the private sector, and governments to increase the number of people with access to power in sub-Saharan Africa. Kuvelker is a theme leader for Investing in Climate Action for the 2021 Annual Conference.

During her years in private practice with Hogan Lovells and Morgan Lewis, Kuvelker advised a wide range of clients, including fund sponsors, institutional investors, public companies, emerging businesses, and development finance institutions. She has advised clients on private fund formation, capital raising, and international investments in Africa, Europe, and Latin America. Kuvelker was formerly a strategy consultant at Deloitte Consulting. She is a board member of the South Asian Bar Association of DC and an advisory committee member of the International Transactions Clinic at University of Michigan Law School. She earned her JD from University of Michigan Law School and her BS in finance from the University of Maryland.



Emmeline Liu

General Counsel, Calvert Impact Capital, Inc.

Emmeline Liu is the general counsel of Calvert Impact Capital. She ensures that Calvert Impact Capital acts in accordance with its mission and all applicable laws. She manages Calvert Impact Capital's legal affairs, including relevant organizational strategy, corporate governance, investments, the Community Investment Note, and outside counsel. Her goal is to protect Calvert Impact Capital, while enabling it to innovate.

Prior to joining Calvert Impact Capital, Liu practiced in the Business and Finance group at Morgan, Lewis & Bockius' New York office. She was actively involved in pro bono matters and diversity initiatives. She received her JD from the University of Chicago Law School and her BA from Cornell University.



Susan (Suz) Mac Cormac

Corporate Partner, Morrison & Foerster

As a corporate partner at MoFo, Suz Mac Cormac has dedicated her career to crafting creative capital market solutions to address climate change and other pressing social and environmental issues. She focuses on innovative corporate forms and governance, tandem and hybrid structures, and debt and equity instruments that ensure financial and impact returns. Her client base includes leading growth and private equity investors, "impact first" foundations, and family offices, together with marque social enterprises and public companies focused on sustainability, agriculture, and ESG. Mac Cormac is an adjunct professor at

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Berkeley Law School, a founding board member of the Sustainability Accounting Standards Board (SASB), and a member of the board of directors of Business for Social Responsibility (BSR), the Ceres President's Council, and the Earth Genome Project. She is an advising board member of the Committee Encouraging Corporate Philanthropy's Strategic Investor Initiative and the UN Principles for Responsible Investments. Mac Cormac was named a Top Women Lawyer for six consecutive years and CA Lawyer Attorney of the Year in 2012 and 2016 by *Daily Journal*, one of the most Influential Women in Business by *San Francisco Business Times* in 2018, and the Most Innovative Lawyer in North America by the Financial Times in 2015.



Annette McGee Johnson

Global Legal Counsel for Ashoka

With a focus on international social entrepreneurship as a mode of change, Annette McGee Johnson's work is a testament to thinking and acting globally.

She is currently global legal counsel for Ashoka, a non-profit organization focused on the support and development of leading social entrepreneurs across the globe. There, she is instrumental in the Ashoka Venture and Fellowship Program, an incubator that has assisted more than 3,700 social entrepreneurs in more than 90 countries. Providing legal guidance and an advanced understanding of international development, her work at Ashoka helps drive the organization's core goal of changing flawed systems and enhancing social good.

McGee Johnson is also the founder and chief executive officer of Monjae Collective, a platform that supports African diaspora social entrepreneurs.

At Monjae, she occupies the space of advocate and storyteller, helping to rewrite false and pervasive narratives about the continent and its people.

McGee Johnson holds a JD from the University of Oregon and a BA in international affairs from Lewis and Clark College. After law school, she clerked for Judge Cheryl Albrecht with the Multnomah County Circuit Court in Portland, Oregon. After her clerkship, she returned to her birth country of Liberia, where she participated in post-war peace-building and legal development. There, she worked with the James A.A. Pierre Judicial Institution at the Temple of Justice, Liberia's supreme court, to create instructional texts for magistrates. In addition, she established the Child Justice Section at the Ministry of Justice in Liberia and spearheaded the country's first juvenile diversion program.

She currently resides in Northern Virginia.



Jesse Medlong

Associate, DLA Piper

Jesse Medlong is a sustainability lawyer and climate diplomat at the San Francisco office of the global law firm DLA Piper, where he leads the sustainability and ESG efforts for the firm's Consumer Goods, Food and Retail Sector. He helps clients across a wide range of industries navigate the increasingly critical intersection of business, law, and sustainability. His experience with environmental law, litigation, and international climate policy allows him to advise clients on integrating ESG and sustainability at all levels of their business, and to avoid, manage, and resolve related disputes.

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Meredith Morgan

Associate, Zukerman Gore Brandeis & Crossman, LLP

Meredith Morgan is a lawyer and educator with a strong interest in the ways private practitioners can support the next generation of lawyers.

Morgan's focus on practical instruction seeks to open a line of communication between experienced attorneys and law students—an approach that emphasizes “real world” skill building and mentorship as a complement to traditional legal curriculum. As an adjunct professor at Brooklyn Law School, she teaches a negotiation seminar emphasizing not only advocacy, but the development of communication, decision-making, and collaboration competencies. The course uses simulations and discussion to foster a sense of realism—encouraging self-exploration, experimentation, and learning from mistakes. Guest lectures by practitioners also help connect the legal community with its newest members.

Morgan's current practice at Zukerman Gore Brandeis & Crossman LLP, a boutique law firm in Manhattan, encompasses a range of financing transactions in a variety of industries, including international trade finance, private equity and acquisition finance, and general asset-based lending. Her pro bono interests include poverty alleviation and basic needs.

She holds a JD from the University of Michigan Law School and a BA in communication from Michigan State University. At the University of Michigan, her participation in the International Transactions Clinic—the first clinic of its kind—piqued her interest in practical education for law students.



Juan Diego Mujica Filippi

**Academic Coordinator,
SEGIB-UNDP-IDRC project**

Juan Diego Mujica Filippi is a Peruvian legal scholar interested in studying purpose-driven companies and designing legal frameworks for them to thrive and contribute to the SDGs. He holds an LLM from Harvard Law School, where he specialized in for-benefit organizations and corporate responsibility. He is the academic coordinator of the international research project “Purpose-driven companies and the regulation of the fourth sector in Ibero-America,” sponsored by the Ibero-American General Secretariat (SEGIB), the United Nations Development Programme (UNDP), and Canada's International Research Development Centre (IDRC). He is also the academic lead of the “Legal Innovation for Sustainable Development” project at Universidad de Lima's Sustainability Center, studying the regulation of the Peruvian benefit corporation law.



Jonathan Ng

**Innovation Division Lead, US Agency for
International Development (USAID)**

Jonathan Ng oversees USAID's Innovation Division within the Bureau for Development, Democracy, and Innovation. He is on internal detail, where he normally serves as an attorney advisor within the USAID Office of the General Counsel, covering the agency's initiatives related to private sector engagement. Prior to joining USAID, Ng was the first global legal director of Ashoka: Innovators

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for the Public, an international NGO known for starting the modern social entrepreneurship movement. He began his legal career in New York at White & Case LLP as a project finance attorney.

In addition to his work at USAID, Ng is an adjunct faculty member at Georgetown Law, where he teaches a course on social enterprise, impact investing, and the law. He is also a member of the advisory board for the Grunin Center for Law and Social Entrepreneurship at NYU Law and co-leads the DC Chapter of the Impact Investing Legal Working Group. He lives in Bethesda, Maryland, with his wife and two daughters.



Jeremy Nicholls

Director and Founder, Social Value International

Jeremy Nicholls is a director and one of the founders of Social Value International, a membership organization for individuals and businesses accounting for social and environmental impact. He is a non-executive director of the FRC Group (a logistics business based in Liverpool, UK), an ambassador for the Capitals Coalition (a global collaboration to integrate sustainability into business decision-making), a member of Accounting for Sustainability's Expert Panel, and a visiting professor of Social Value at Staffordshire University.

He originally qualified as a chartered accountant, including time as the finance director for Tanzania Railways.



Ira Nordlicht

Investor and former CEO of Alfa Wassermann, Inc.

Ira Nordlicht is an investor and business consultant since retiring as the founding partner, Nordlicht & Hand; chief executive officer and president of Alfa Wassermann, Inc. (US); and managing director, Alfa Wassermann, B.V. (Holland). He continues as a director of Alfa Wassermann, Inc., the worldwide diagnostics and separations/vaccines/gene therapy company, and serves or has served as a director of various public and private companies for many years.

Nordlicht is a member of the Advisory Board and Strategic Committee, Grunin Center for Law and Social Entrepreneurship at New York University School of Law and founder of The Nordlicht Family Law and Social Entrepreneurship Scholarship at NYU Law for need-based individuals interested in entering this field.

He served as trustee and chair of the Board of Trustees of a Washington-based foreign policy non-profit from 1999 to 2004 and received the White House and SBA Award (Legal Advocate of the Year) in 1997 for helping to establish the Angel Capital Network (ACE Net).

After graduating NYU Law in 1972, Nordlicht served at the Federal Trade Commission, held various positions with the US Senate Foreign Relations Committee, and practiced law in New York prior to establishing his own firm.

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Robin Nunn

Partner, Morgan, Lewis & Bockius LLP

Robin Nunn is a partner at Morgan Lewis & Bockius LLP. She has a wealth of experience gained in private practice, as well as working in-house for Fortune 500 companies. Her practice focuses on consumer-facing clients in matters related to privacy and cybersecurity, class actions, and attorney general investigations and enforcement actions. She also addresses consumer protection laws, loyalty and gift card programs, retail operations, payment mechanisms, white-collar defense, transactional due diligence, creation and review of compliance programs, antitrust, commercial disputes, and a wide variety of other matters for retail, e-commerce, and other consumer-facing companies.

Nunn also helps develop integrated ESG strategies that safeguard corporate reputation, mitigate risk, and leverage opportunity. She advises clients, such as public and privately held companies, small businesses, entrepreneurs, alternative asset managers, financial services firms, and other enterprises, on a full suite of sustainability and ESG reporting, risk, and compliance concerns. She also counsels on novel issues connected to new communication technologies, blockchain, cryptocurrencies, payments, artificial intelligence, and Big Data. She is a co-leader of the firm's banking industry team.



Ashleigh Owens

Senior Advisor, Shift

As a senior advisor with Shift, Ashleigh Owens engages directly with financial institutions, companies, and investors as they embed respect for human rights into their operations and business relationships. In her role as financial institutions lead, she coordinates Shift's work with FIs, including institutional investors, private commercial banks, development finance institutions, and standard setters in the FIs space. In 2021, Shift launched its Financial Institutions Practitioners Circle, a carefully designed space for leading practitioners in banks and export credit agencies to discuss human rights challenges and co-create cutting-edge solutions.

Owens has a breadth of experience approaching human rights from business, legal, and academic perspectives. She was previously executive director at Ernst & Young's Climate Change and Sustainability Services and is a lawyer qualified in Australia and England and Wales. She has advised governments and companies on state human rights obligations, companies on the nexus between bilateral investment treaties and human rights, and fellow lawyers on integrating the Guiding Principles into legal advice. She is also a member of the Advisory Board for the United Nations Institute for Training and Research (UNITAR) Division for Prosperity.

Owens has degrees in law and Asian studies from the University of Western Australia, with studies also conducted at the University of Vienna and Sophia University in Japan. She has a master's degree in sustainability from the United Nations University and has undertaken the institution's Leadership for Sustainability program. She is an Australian national and is fluent in Japanese.

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Alicia Plerhoples

Professor of Law and Director of the Social Enterprise & Nonprofit Law Clinic, Georgetown University

Professor Alicia Plerhoples is a leading scholar in social enterprise law, nonprofit governance, and clinical legal education. She joined the faculty at Georgetown University Law Center in 2012, where she is director of the Social Enterprise & Nonprofit Law Clinic. In 2017, Plerhoples received the American Bar Association's Outstanding Nonprofit Lawyer of the Year (Academic) Award. She serves on the Legal Advisory Group of Echoing Green, a global non-profit that identifies, incubates, and funds social entrepreneurs. Examples of her recent research articles' topics include integrating social enterprise into poor communities, pursuit of charity through public benefit corporations, and how to apply traditional corporate law principles to new social enterprise legislation. Her publications have appeared in the *Lewis & Clark Law Review*, *Washington University Journal of Law and Policy*, and the *International Journal of Clinical Legal Education*, among others.

Plerhoples previously was the Orrick, Herrington & Sutcliffe Clinical Teaching Fellow at Stanford Law School and a visiting assistant professor at University of California Hastings College of the Law. Prior to entering academia, she practiced with the law firms of DLA Piper in New York City and Cooley in Silicon Valley. She graduated from Yale Law School in 2005 and holds a master's degree in public administration from Princeton University's Woodrow Wilson School of International and Public Policy. She completed her AB, cum laude, at Harvard College in 2001.



Shirmila Ramasamy

Senior Legal Counsel, World Bank

Shirmila Ramasamy is a capital markets lawyer who works on innovative financing mechanisms for targeted development priorities at the World Bank. She has been with the World Bank since 2008 and is part of the team responsible for the design and structuring of the International Finance Facility for Immunisation (IFFIm), the Advance Market Commitments (AMC), the Pilot Auction Facility for Climate Mitigation (PAF), and other financing initiatives. She also advises on capital markets instruments pioneered by the World Bank, including the inaugural Issuer-Driven Exchange Traded Fund, which was launched on the Brazilian stock exchange in May 2019. Prior to joining the World Bank, she worked in London, New York and Singapore, managing cross-border structured finance transactions as managing associate at Linklaters LLP.



Roberto Randazzo

President, esela (EU); Partner, R&P Legal

Roberto Randazzo is partner at R&P Legal, an Italian independent law firm. He is a lawyer with a strong background in corporate and business law, and his personal interest in social innovation and societal challenges lead him to develop a particular expertise in social business law, impact investing, and sustainability. He advises foreign and Italian companies, social enterprise, NGOs, international organizations, and impact investors, carrying out his professional activity along with academic roles. He is an adjunct professor at Tiresia–Politecnico

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di Milano and a member of the extended faculty of MIP–Politecnico di Milano Business School.

Randazzo co-founded esela, the legal network for social impact, of which he has been president since 2019. He is a member of the International Bar Association’s Business Human Rights Committee and an advisor of the OECD. Since 2012 he has been the honorary consul of the Republic of Uganda to Milan and Northern Italy.



Susan Rhee

Deputy General Counsel, The Global Fund

Susie Rhee is deputy general counsel at The Global Fund to Fight AIDS, Tuberculosis and Malaria, where she oversees legal matters relating to The Global Fund’s investments in over 120 countries. Rhee was part of the core team that designed and secured funding for The Global Fund’s COVID-19 Response Mechanism, which will provide an additional \$3.7 billion over 2021 to support countries in their responses to COVID-19. Prior to joining The Global Fund, she was based in New York at Cleary Gottlieb Steen & Hamilton LLP, where her practice focused on internal investigations and securities litigation. Rhee is a member of the New York State Bar and holds a BA in public policy from Princeton University and a JD from Harvard Law School.



Tom Riesenber

Advisor on ESG Regulatory Matters, EY Global

Tom Riesenber serves as advisor on ESG Regulatory Matters to Ernst & Young’s Office of Global Public Policy. He previously served for five years as the director of Legal and Regulatory Policy at the Sustainability Accounting Standards Board. He is the former deputy general counsel of Ernst & Young LLP responsible for Regulatory Matters, primarily involving the SEC and the PCAOB.

Riesenber worked at E&Y for more than 20 years. He handled dozens of lawsuits and regulatory investigations of the firm and its clients. He was the firm’s lead lawyer on legislative and regulatory developments, including the Private Securities Litigation Reform Act of 1995, the Sarbanes-Oxley Act, the SEC and PCAOB auditor independence rules, auditing and accounting standards, the US Treasury Department Advisory Committee on the Auditing Profession, the SEC Advisory Committee on Improvements to Financial Reporting, and corporate governance matters.

Prior to joining E&Y, Riesenber served for seven years as an assistant general counsel at the US Securities and Exchange Commission, where he primarily handled court of appeals and Supreme Court cases involving insider trading, broker-dealer regulation, financial fraud, SEC rulemakings, and other securities law matters. He also worked at the Department of Justice and the law firm of Arnold & Porter and as a law clerk for Federal District Court Judge Barrington D. Parker.

He received his law degree from NYU Law, where he was a member of the Law Review and a Root-Tilden Scholar, and his undergraduate degree from Oberlin College.

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Lynn Roland

General Counsel, Secretary, and Member of the Management Committee of Acumen Fund, Inc.

Lynn Roland is general counsel, secretary, and member of the Management Committee of Acumen Fund, Inc. Acumen is a public charity that is changing the way the world tackles poverty, by investing in leaders, businesses, and ideas. Roland has overseen Acumen's programmatic growth to include global free and paid online course curriculum, nine regional fellows programs, direct investing in six regions globally, four sponsored returnable capital funds, and a funder base including UN initiatives, development finance institutions, corporation, institutional foundations, and high net worth individuals. To date, Acumen has invested \$137 million of patient capital in 139 companies that together have transformed the lives of 309 million people. Prior to Acumen, Roland was a partner at McDermott Will & Emery. She received a JD from Columbia Law School and a BA from the University of Pennsylvania.



Judy Samuelson

**Vice President, The Aspen Institute;
Founder, Executive Director,
Aspen Business & Society Program**

Judy Samuelson is founder and executive director of the Aspen Institute's Business and Society Program and author of *Six New Rules of Business: Creating Real Value in a Changing World* (Berrett-Koehler, 2021). Signature programs under her leadership include a 10-year campaign to disrupt Milton Friedman's narrative about corporate purpose,

the Aspen Principles of Long-Term Value Creation, and a partnership with Korn Ferry to rethink executive pay. She previously worked in legislative affairs in California and banking in New York's garment center and ran the Ford Foundation's office of program-related investments. Samuelson blogs for Quartz at Work and is a Bellagio Fellow and a director of the Financial Health Network.



Maria Santos Valentin

**General Counsel and Corporate Secretary,
The Rockefeller Foundation**

Prior to joining The Rockefeller Foundation as its general counsel and corporate secretary, Maria Santos Valentin worked at the Soros Economic Development Fund—part of the Open Society Foundations—since its inception in 1998, most recently serving as secretary and general counsel. In this capacity, she structured and negotiated the fund's more than 40 innovative program-related investments around the world, primarily in Central and Eastern Europe, India, Africa, and the Middle East, which totaled over \$200 million. The investments focused on increasing financial inclusion around the world and improving the lives of small-holder farmers, refugees, and migrants—ranging from a project that helped provide over 300,000 owned affordable housing units in South Africa, to establishing a wholly owned holding company in India, Aspada, which was the first of its kind to be created by a private foundation.

Prior to her work at the Soros Economic Development Fund, Santos Valentin worked for 10 years as an international corporate securities lawyer, first for Brown & Wood, based in the United States, and then Clifford Chance, based in the United Kingdom. In that capacity, she worked on emerging market capital markets transactions in

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Central and Eastern Europe and Latin America, many of which were the first such issuances out of the country, including Spain, Romania, Argentina, and China. In addition, she worked for two years as a senior commercial associate with the Overseas Private Investment Corporation, where she helped structure a small business lending program.

Santos Valentin is a member of the New York Bar and the American Bar Association and was also appointed to the Water Board of New York City by Mayor Michael Bloomberg, serving in that role from 2003 to 2008. She holds a BA in economics from Fordham University and a JD from Yale Law School.



Kristina Schwartz

Division Counsel, Kiva Capital

Kristina Schwartz is assistant general counsel at Kiva and division counsel for Kiva Capital, where she oversees the legal and regulatory compliance aspects of Kiva Capital's business. Kiva Capital is an impact-first asset manager whose funds invest in microfinance institutions and social enterprises to support financial inclusion globally. Schwartz is a business transactions and securities lawyer who brings to Kiva over 11 years of experience at international law firms. Prior to joining Kiva in 2020, she was a partner at Womble Bond Dickinson in Durham, North Carolina, where she co-led the firm's International Development Finance Team. Before Womble, she was an associate in the Investment Funds groups at Sidley Austin and Cleary Gottlieb in New York, and in the Capital Markets group at Sidley in Sydney, Australia.

Schwartz has been a member of the Board of Directors of National Conference for Community and Justice (NCCJ) of the Piedmont Triad for the past five years and was formerly a member of

the Business Law Section Council of the North Carolina Bar Association. She received her JD from Columbia University School of Law and her BA, summa cum laude, in comparative literature from Princeton University.



Helen Scott

Professor of Law, Founder and Co-Director, Mitchell Jacobson Leadership Program in Law and Business, Faculty Co-Director, Grunin Center for Law and Social Entrepreneurship, NYU Law

Helen Scott is professor of Law and the founder and co-director of the Mitchell Jacobson Leadership Program in Law and Business at NYU School of Law, as well as faculty co-director of the Grunin Center for Law and Social Entrepreneurship. In that capacity, she has participated in the development of innovative law and business courses, including Investing in Microfinance, Law & Business of Corporate Governance, and Professional Responsibility in Law and Business. Scott oversees the competitive Leadership Scholars program and runs the capstone seminar for the program, Law and Business Projects. She has been a member of the NYU Law faculty since 1982 and teaches a wide variety of business law courses, including the basic Contracts and Corporations courses.

Scott currently serves on the Board of Directors of IEX LLC, the newly launched stock exchange. From 1999 to 2004, she co-chaired the Listing and Hearing Review Council of the NASDAQ Stock Market, an independent advisory committee to the Board of Directors, with primary responsibility for formulating and recommending corporate governance and quantitative listing standards for that market.

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Theodore (Ted) Shen

Assistant General Counsel, Local Initiatives Support Corporation (LISC)

Ted Shen joined Local Initiatives Support Corporation in 2018 as assistant general counsel. He helps manage LISC's legal affairs and advises on all of LISC's programs and their implementation. He supports the organization's fund formation work through its subsidiary, LISC Fund Management, LLC, and is a member of the Housing Investment Committee. He previously practiced law for six years at Debevoise & Plimpton LLP and Morgan Lewis & Bockius LLP. He received his JD from the NYU School of Law and his BA from the University of California-Berkeley.



Bjorn Sorenson

Of Counsel to Blue Dot Advocates, PBC

Bjorn Sorenson is of counsel to Blue Dot Advocates, PBC, a social impact law firm and public benefit cooperative. He is also an executive coach and leadership consultant serving mission-driven organizations. Through his legal and executive coaching practice, he helps social entrepreneurs, businesses, and leaders find their values, develop sustainable leadership, and achieve enduring impact. A champion for resilient leadership in the law, he has coached law students, law firms, and judges to emerge as healthy agents for social change. Sorenson was the first general counsel to the US affiliate of Grameen Bank's Nobel Prize-winning microfinance program and previously served as a senior associate at the law firm of Skadden Arps.

Sorenson's pro bono practice includes advising diverse stakeholders in post-conflict and developing countries on complex issues of economic development, investment regulation, international law, and human rights. He is a certified practitioner of the Leadership Circle Profile, a qualified teacher of Mindfulness Based Stress Reduction, and a founding co-chair of the Mindfulness and Well-Being Committee of the NYC Bar Association. He holds a BA from Western Michigan University, an MTS from Harvard Divinity School, and a JD from American University Washington College of Law.



Laurie J. Spengler

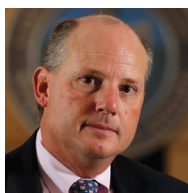
Founder and CEO at Courageous Capital Advisors, LLC

Laurie J. Spengler is an impact investment banker, board member, and active contributor to the impact investing industry. Among her current board engagements, she serves as a non-executive director of the CDC Group (the UK DFI), Lendable, the UK Impact Investing Institute, and Bridges Insights. She serves as global ambassador to the Global Steering Group on Impact Investing, is a Senior Fellow and Advisory Council member at Casei3 at the Fuqua Business School, and is a member of the Council on Foreign Relations. A frequent speaker and preferred interviewer at industry convenings on topics ranging from impact investing, values-based banking, and gender smart investing to inclusive and sustainable economics, Spengler's writing gains traction with new audiences expressing interest in building a more resilient future for all.

Spengler is founder and CEO of Courageous Capital Advisors, an impact investing advisory firm dedicated to generating outsized positive impact by providing targeted strategy, transaction, and governance services. Previously, she was CEO of

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Enclude Ltd. and Enclude Capital UK Limited, formerly part of the ShoreBank and Triodos family of companies, and now a Palladium Company. Prior to building Enclude Capital, she was founder and CEO of Central European Advisory Group, a regional advisory firm she sold to her management team in 2005. She also worked as an attorney with the New York, Brussels, and Prague offices of White & Case. Spengler has a JD from Harvard University and an undergraduate degree from Stanford University.

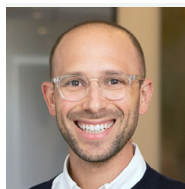


Leo E. Strine Jr.

Of Counsel in the Corporate Department at Wachtell, Lipton, Rosen & Katz

Leo E. Strine Jr. is of counsel in the Corporate Department at Wachtell, Lipton, Rosen & Katz. Prior to joining the firm, he was chief justice of the Delaware Supreme Court from early 2014 through late 2019. Before becoming chief justice, he served on the Delaware Court of Chancery as chancellor since June 22, 2011, and as a vice chancellor since November 9, 1998.

Strine serves as the Michael L. Wachter Distinguished Fellow in Law and Policy at the University of Pennsylvania Carey Law School, and the Ira M. Millstein Distinguished Senior Fellow at the Ira M. Millstein Center for Global Markets and Corporate Ownership at Columbia Law School. Before becoming a judge, Strine served as counsel and policy director to Governor Thomas R. Carper, and had also worked as a corporate litigator at Skadden, Arps, Slate, Meagher & Flom from 1990 to 1992.



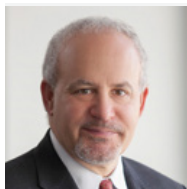
Perry Teicher

Impact Finance Counsel at Orrick, Herrington & Sutcliffe, LLP

Perry Teicher is the Impact Finance counsel at Orrick, Herrington & Sutcliffe, LLP. He leads Orrick's global Impact Finance & Investment practice, advising impact-oriented clients globally, and serves as a leader of Orrick's ESG initiatives. He represents and advises entrepreneurs, fund sponsors, family offices, and tax-exempt organizations across a wide range of transactions, including corporate legal matters, cross-border mergers and acquisitions, private fund formation matters, and portfolio transactions focused on the integration of social and environmental outcomes.

Teicher served as a Peace Corps volunteer in Kazakhstan, where he supported the development of businesses aiming to create mobility and opportunity for people with disabilities. He is an Ariane de Rothschild Fellow and member of the ROI Community and Nexus. Perry received his JD, MBA, and BA from the University of Michigan.

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Carl Valenstein

Partner, Morgan Lewis & Bockius LLP

Carl Valenstein is a partner at Morgan Lewis & Bockius in the Boston office. His practice focuses on domestic and international corporate and securities matters, mergers and acquisitions, project development, and asset finance covering a wide range of industries and regions, including developed and emerging markets. He also counsels clients concerning international risk management issues and has been involved in internal investigations and enforcement cases in this area. He is fluent in Spanish and Portuguese and conversant in French and Italian.

For more than 20 years, Valenstein has provided legal assistance to microfinance institutions and assisted public charities, foundations, social enterprises and entrepreneurs, impact investment venture capital funds, and other impact investors. He co-chairs the Morgan Lewis ESG and Sustainability Initiative, which is a cross-office and interdisciplinary group of Morgan Lewis lawyers engaged in ESG advisory and impact investment work for public and private companies and investment funds. Valenstein is a founding member of the Impact Investment Lawyers Legal Working Group and is an adjunct professor at the University of Michigan Law School and New York School of Law International Transactions Clinics focusing on impact investment. He serves on the Advisory Boards of the Michigan Social Venture Fund and the NU Impact Fund.



Martijn Wilder AM

Founding Partner, Pollination

With a background in economics and law, Martijn Wilder is focused on developing innovative policies, ideas, and investments that enable our economies to rapidly transition to net zero, while at the same time preserving our natural ecosystems. Over many years, he has retained the accolades of the world's leading climate change lawyer and the Star Individual by Chambers Global Law Guide.

He was head of Baker & McKenzie's global climate law and finance practice for 20 years and continues to play a key role with Australia's clean energy finance institutions. He was chair of the Australian Renewable Energy Agency (ARENA), a former founding director of the Clean Energy Finance Corporation, and a former director of the Climate Council. He helped establish and later chair the Federal Government's Low Carbon Australia finance body. Wilder is currently president of WWF-Australia and chair of NSW Climate Change Council.

He is adjunct professor of International Climate Change Law at Australian National University, and a member of the Wentworth Group of Concerned Scientists. He was a Cambridge Commonwealth Trust Scholar and awarded an Australian Honour (AM) for his contribution to climate change law and the environment, and was a winner of the 2018 Financial Times Asia Pacific Innovative Lawyer Award.

Conference Speakers



Cynthia A. Williams

**Osler Chair in Business Law,
Osgoode Hall Law School; Professor,
Vrije Universiteit (VU), Amsterdam**

Cynthia A. Williams joined Osgoode Hall Law School in 2013 as the Osler Chair in Business Law and holds a part-time position as professor of US Corporate and Securities Law at the Vrije Universiteit (VU), Amsterdam. Previously, she was a member of the faculty at the University of Illinois College of Law and practiced law at Cravath, Swaine & Moore in New York City. She writes in the areas of securities law, corporate law, and corporate responsibility, often in interdisciplinary collaborations. Her work has been published in the *Georgetown Law Journal*, the *Harvard Law Review*, the *Journal of Corporation Law*, *Theoretical Inquiries in Law*, the *Virginia Law Review*, and the *Academy of Management Review*, among others, and in chapters in multiple books published by Oxford University Press and Cambridge University Press. Williams' business associations casebook, co-authored with Gordon Smith, is in its fourth edition. Williams engages in policy work through her board membership in the Climate Bonds Initiative, based in London, and the Commonwealth Climate and Law Initiative, part of the Oxford Sustainable Finance Programme, which is evaluating directors' and trustees' legal obligations to consider climate change risk in companies' strategies and securities disclosure.



Darrin L. Williams

Chief Executive Officer, Southern Bancorp, Inc.

Darrin Williams is a banker, securities and consumer protection attorney, former legislator, and passionate advocate for financial inclusion. Currently serving as the CEO of Southern Bancorp, Inc., Williams oversees the strategic direction and operations of each of Southern's three Community Development Financial Institutions: Southern Bancorp, Inc., a bank holding company; Southern Bancorp Bank, one of America's largest rural development banks; and Southern Bancorp Community Partners, a 501(c)(3) development finance and lending organization—collectively known as "Southern." With \$1.8 billion in assets, more than 65,000 customers and 49 locations, Southern Bancorp operates largely in the Arkansas-Mississippi Delta, one of the nation's most impoverished communities. Focused on net worth-building strategies of homeownership, entrepreneurship, and saving, Southern Bancorp believes that wealth building isn't just for the wealthy; they seek to be wealth builders for everyone. Williams received his BA degree from Hendrix College, his JD from Vanderbilt University School of Law, and his Master of Laws degree in securities and financial regulation from Georgetown University Law Center.

Conference Speakers



Dr. Johanna Wolfson

Principal and Co-founder, Prime Impact Fund

Dr. Johanna Wolfson is a co-founder and principal at Prime Impact Fund. Her career has focused on accelerating climate technologies from lab to market, spanning university and industry applied research, government, and venture investing.

Prior to Prime, she was Technology-to-Market director for the US Department of Energy's Office of Energy Efficiency and Renewable Energy (EERE), where she deployed more than \$50MM per year into innovative energy projects and companies and also advised DOE, congressional staff, and national labs on effective lab-to-market strategies. Previously, Wolfson led start-up engagement at the applied R&D lab Fraunhofer, where she both sourced innovative technologies for Fortune 500 clients and designed demonstration projects for emerging start-ups to ready their products for market entry.

Wolfson's portfolio board roles include Zanskar, Sublime Systems, Verdox, and C-Motive Technologies. She also serves on the Investment Committee for Clean Energy Trust in Chicago, on the Investment Advisory Board for the New York State Energy Research & Development Authority (NYSERDA), and on the Leadership Council for Innovation Crossroads at Oak Ridge National Lab. She has a BS from the University of Wisconsin-Madison and a PhD in physical chemistry from MIT, where she conducted research on solid-state physics and photonics.

