

Full text for Figure 5: Public Company and Subsidiary Defendants—Monetary Settlements and Cooperation Noted (FY 2012– FY 2021). The figure consists of stacked bars representing fiscal years between FY 2012 and FY 2021 inclusive. Each stacked bar is divided into four categories; these categories are as follows (from top to bottom): No Cooperation and No Monetary Settlement, Cooperation and No Monetary Settlement, No Cooperation and Monetary Settlement, and Cooperation and Monetary Settlement. In FY 2012, 3% of defendants neither cooperated nor paid monetary settlements, 12% of defendants cooperated and did not pay monetary settlements, 44% of defendants did not cooperate and paid monetary settlements, and 41% of defendants cooperated and paid monetary settlements. There were 34 defendants in FY 2012. In FY 2013, 12% of defendants neither cooperated nor paid monetary settlements, 10% of defendants cooperated and did not pay monetary settlements, 49% of defendants did not cooperate and paid monetary settlements, and 29% of defendants cooperated and paid monetary settlements. There were 49 defendants in FY 2013. In FY 2014, 5% of defendants neither cooperated nor paid monetary settlements, 0% of defendants cooperated and did not pay monetary settlements, 55% of defendants did not cooperate and paid monetary settlements, and 41% of defendants cooperated and paid monetary settlements. There were 64 defendants in FY 2014. In FY 2015, 3% of defendants neither cooperated nor paid monetary settlements, 2% of defendants cooperated and did not pay monetary settlements, 27% of defendants did not cooperate and paid monetary settlements, and 68% of defendants cooperated and paid monetary settlements. There were 94 defendants in FY 2015. In FY 2016, 2% of defendants neither cooperated nor paid monetary settlements, 2% of defendants cooperated and did not pay monetary settlements, 35% of defendants did not cooperate and paid monetary settlements, and 62% of defendants cooperated and paid monetary settlements. There were 104 defendants in FY 2016. In FY 2017, 6% of defendants neither cooperated nor paid monetary settlements, 3% of defendants cooperated and did not pay monetary settlements, 41% of defendants did not cooperate and paid monetary settlements, and 51% of defendants cooperated and paid monetary settlements. There were 69 defendants in FY 2017. In FY 2018, 4% of defendants neither cooperated nor paid monetary settlements, 4% of defendants cooperated and did not pay monetary settlements, 34% of defendants did not cooperate and paid monetary settlements, and 59% of defendants cooperated and paid monetary settlements. There were 80 defendants in FY 2018. In FY 2019, 3% of defendants neither cooperated nor paid monetary settlements, 5% of defendants cooperated and did not pay monetary settlements, 20% of defendants did not cooperate and paid monetary settlements, and 72% of defendants cooperated and paid monetary settlements. There were 108 defendants in FY 2019. In FY 2020, 5% of defendants neither cooperated nor paid monetary settlements, 3% of defendants cooperated and did not pay monetary settlements, 33% of defendants did not cooperate and paid monetary settlements, and 59% of defendants cooperated and paid monetary settlements. There were 66 defendants in FY 2020. In FY 2021, 2% of defendants neither cooperated nor paid monetary settlements, 4% of defendants cooperated and did not pay monetary settlements, 40% of defendants did not cooperate and paid monetary settlements, and 54% of defendants cooperated and paid monetary settlements. There were 52 defendants in FY 2021.