

Full text for Figure 5: Public Company and Subsidiary Actions by Enforcement Venue (FY 2010–FY 2019). For each fiscal year from 2010 through 2019, inclusive, there is a stacked bar divided into two categories: a blue bar indicating the percentage of actions that were classified as civil actions and a yellow bar indicating the percentage of actions that were classified as administrative proceedings. In FY 2010, 33% of actions were administrative proceedings and 67% of actions were civil actions. There were 48 actions in FY 2010. In FY 2011, 48% of actions were administrative proceedings and 52% of actions were civil actions. There were 48 actions filed in FY 2011. In FY 2012, 43% of actions were administrative proceedings and 58% of actions were civil actions. There were 40 actions filed in FY 2012. In FY 2013, 51% of actions were administrative proceedings and 49% of actions were civil actions. There were 37 actions filed in FY 2013. In FY 2014, 80% of actions were administrative proceedings and 20% of actions were civil actions. There were 51 actions filed in FY 2014. In FY 2015, 93% of actions were administrative proceedings and 7% of actions were civil actions. There were 82 actions filed in FY 2015. In FY 2016, 91% of actions were administrative proceedings and 9% of actions were civil actions. There were 91 actions filed in FY 2016. In FY 2017, 89% of actions were administrative proceedings and 11% of actions were civil actions. There were 65 actions filed in FY 2017. In FY 2018, 83% of actions were administrative proceedings and 17% of actions were civil actions. There were 72 actions filed in FY 2018. In FY 2019, 93% of actions were administrative proceedings and 7% of actions were civil actions. There were 95 actions filed in FY 2019.