

Full text for Figure 5: Heat Map of Industries of Public Companies and Subsidiaries (FY 2010–1H FY 2019). For each fiscal year from 2010 through 2018 and the first half of FY 2019, inclusive, there are columns with the percentage of defendants within a particular industry, which are listed in the rows (“Other” contains all industries that did not have any actions filed in 1H FY 2019). Additionally, there is a column for the average percentage that a particular industry made up between FY 2010–2018. In FY 2010, Finance, Insurance, and Real Estate accounted for 37% of actions, Manufacturing accounted for 37% of actions, Services accounted for 20%, Nonclassifiable accounted for 0%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 0%, Mining accounted for 2%, Retail Trade accounted for 0%, and Other accounted for 4%. There were 49 actions filed in FY 2010. In FY 2011, Finance, Insurance, and Real Estate accounted for 33% of actions, Manufacturing accounted for 38% of actions, Services accounted for 15%, Nonclassifiable accounted for 0%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 2%, Mining accounted for 8%, Retail Trade accounted for 4%, and Other accounted for 0%. There were 48 actions filed in FY 2011. In FY 2012, Finance, Insurance, and Real Estate accounted for 53% of actions, Manufacturing accounted for 33% of actions, Services accounted for 8%, Nonclassifiable accounted for 5%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 3%, Mining accounted for 0%, Retail Trade accounted for 0%, and Other accounted for 0%. There were 40 actions filed in FY 2012. In FY 2013, Finance, Insurance, and Real Estate accounted for 46% of actions, Manufacturing accounted for 27% of actions, Services accounted for 5%, Nonclassifiable accounted for 8%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 5%, Mining accounted for 8%, Retail Trade accounted for 0%, and Other accounted for 0%. There were 37 actions filed in FY 2013. In FY 2014, Finance, Insurance, and Real Estate accounted for 53% of actions, Manufacturing accounted for 20% of actions, Services accounted for 12%, Nonclassifiable accounted for 4%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 0%, Mining accounted for 4%, Retail Trade accounted for 2%, and Other accounted for 6%. There were 51 actions filed in FY 2014. In FY 2015, Finance, Insurance, and Real Estate accounted for 63% of actions, Manufacturing accounted for 17% of actions, Services accounted for 9%, Nonclassifiable accounted for 1%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 0%, Mining accounted for 4%, Retail Trade accounted for 2%, and Other accounted for 4%. There were 82 actions filed in FY 2015. In FY 2016, Finance, Insurance, and Real Estate accounted for 58% of actions, Manufacturing accounted for 19% of actions, Services accounted for 3%, Nonclassifiable accounted for 8%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 4%, Mining accounted for 3%, Retail Trade accounted for 1%, and Other accounted for 3%. There were 91 actions filed in FY 2016. In FY 2017, Finance, Insurance, and Real Estate accounted for 41% of actions, Manufacturing accounted for 35% of actions, Services accounted for 8%, Nonclassifiable accounted for 2%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 6%, Mining accounted for 5%, Retail Trade accounted for 0%, and Other accounted for 5%. There were 66 actions filed in FY 2017. In FY 2018, Finance, Insurance, and Real Estate accounted for 51% of actions, Manufacturing accounted for 20% of actions, Services accounted for 11%, Nonclassifiable accounted for 10%, Transportation, Communications, Electric, Gas, and Sanitary Service

accounted for 0%, Mining accounted for 4%, Retail Trade accounted for 1%, and Other accounted for 3%. There were 71 actions filed in FY 2018. In 1H FY 2019, Finance, Insurance, and Real Estate accounted for 67% of actions, Manufacturing accounted for 12% of actions, Services accounted for 10%, Nonclassifiable accounted for 4%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 4%, Mining accounted for 2%, Retail Trade accounted for 2%, and Other accounted for 0%. There were 52 actions filed in 1H FY 2019. On average between FY 2010–FY 2018, Finance, Insurance, and Real Estate accounted for 48% of actions, Manufacturing accounted for 27% of actions, Services accounted for 10%, Nonclassifiable accounted for 4%, Transportation, Communications, Electric, Gas, and Sanitary Service accounted for 2%, Mining accounted for 4%, Retail Trade accounted for 1%, and Other accounted for 3%. On average, there were 59 actions filed annually between FY 2010–FY 2018.