

Full text for Figure 4: Public Company and Subsidiary Actions by Enforcement Venue (FY 2010–1H FY 2019). For each fiscal half year from 2010 through the first half of 2019, inclusive, there is a stacked bar divided into two categories: a blue bar indicating the percentage of actions that were classified as civil actions and a yellow bar indicating the percentage of actions that were classified as administrative proceedings. In 1H FY 2010, 32% of actions were administrative proceedings and 68% of actions were civil actions. There were 28 actions in 1H FY 2010. In 2H FY 2010, 33% of actions were administrative proceedings and 67% of actions were civil actions. There were 21 actions filed in 2H FY 2010. In 1H FY 2011, 46% of actions were administrative proceedings and 54% of actions were civil actions. There were 28 actions filed in 1H FY 2011. In 2H FY 2011, 50% of actions were administrative proceedings and 50% of actions were civil actions. There were 20 actions filed in 2H FY 2011. In 1H FY 2012, 45% of actions were administrative proceedings and 55% of actions were civil actions. There were 20 actions filed in 1H FY 2012. In 2H FY 2012, 40% of actions were administrative proceedings and 60% of actions were civil actions. There were 20 actions filed in 2H FY 2012. In 1H FY 2013, 42% of actions were administrative proceedings and 58% of actions were civil actions. There were 12 actions filed in 1H FY 2013. In 2H FY 2013, 56% of actions were administrative proceedings and 44% of actions were civil actions. There were 25 actions filed in 2H FY 2013. In 1H FY 2014, 72% of actions were administrative proceedings and 28% of actions were civil actions. There were 25 actions filed in 1H FY 2014. In 2H FY 2014, 88% of actions were administrative proceedings and 12% of actions were civil actions. There were 26 actions filed in 2H FY 2014. In 1H FY 2015, 85% of actions were administrative proceedings and 15% of actions were civil actions. There were 27 actions filed in 1H FY 2015. In 2H FY 2015, 96% of actions were administrative proceedings and 4% of actions were civil actions. There were 55 actions filed in 2H FY 2015. In 1H FY 2016, 89% of actions were administrative proceedings and 11% of actions were civil actions. There were 44 actions filed in 1H FY 2016. In 2H FY 2016, 94% of actions were administrative proceedings and 6% of actions were civil actions. There were 47 actions filed in 2H FY 2016. In 1H FY 2017, 89% of actions were administrative proceedings and 11% of actions were civil actions. There were 46 actions filed in 1H FY 2017. In 2H FY 2017, 89% of actions were administrative proceedings and 11% of actions were civil actions. There were 19 actions filed in 2H FY 2017. In 1H FY 2018, 81% of actions were administrative proceedings and 19% of actions were civil actions. There were 16 actions filed in 1H FY 2018. In 2H FY 2018, 85% of actions were administrative proceedings and 15% of actions were civil actions. There were 55 actions filed in 2H FY 2018. In 1H FY 2019, 100% of actions were administrative proceedings and 0% of actions were civil actions. There were 52 actions filed in 1H FY 2019.