

Full text for Figure 2: All Independent SEC Actions (FY 2013–FY 2019). For each fiscal year from 2013 through 2019, inclusive, there is a stacked bar indicating all independent actions undertaken by the SEC. The stacked bar is divided into two categories. From bottom to top, the categories are: number of actions against public companies and subsidiaries (teal bar), and number of actions not involving public companies or subsidiaries (gray bar). In 2013, there were 37 actions against public companies and subsidiaries, and 304 actions not involving public companies or subsidiaries, for a total of 341 actions. In 2014, there were 51 actions against public companies and subsidiaries, and 362 actions not involving public companies or subsidiaries, for a total of 413 actions. In 2015, there were 82 actions against public companies and subsidiaries, and 425 actions not involving public companies or subsidiaries, for a total of 507 actions. In 2016, there were 91 actions against public companies and subsidiaries, and 457 actions not involving public companies or subsidiaries, for a total of 548 actions. In 2017, there were 65 actions against public companies and subsidiaries, and 381 actions not involving public companies or subsidiaries, for a total of 446 actions. In 2018, there were 72 actions against public companies and subsidiaries, and 418 actions not involving public companies or subsidiaries, for a total of 490 actions. In 2019, there were 95 actions against public companies and subsidiaries, and 431 actions not involving public companies or subsidiaries, for a total of 526 actions.