

Full text for Figure 2: Public Company and Subsidiary Actions (FY 2010–1H FY 2019). For each fiscal half year from the first half of 2010 through the first half of 2019, inclusive, there is a stacked bar indicating the number of actions against public companies and their subsidiaries. The stacked bar is divided into three categories. From bottom to top, the categories are: number of actions against public companies (teal bar), number of actions against subsidiaries (dark gray bar), and number of actions against both public companies and subsidiaries (light gray bar). In 1H 2010, there were 18 actions against public companies, 9 actions against subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 28 actions. In 2H 2010, there were 15 actions against public companies, 4 actions against subsidiaries, and 2 actions against both public companies and subsidiaries, for a total of 21 actions. In 1H 2011, there were 19 actions against public companies, 8 actions against subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 28 actions. In 2H 2011, there were 13 actions against public companies, 7 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 20 actions. In 1H 2012, there were 11 actions against public companies, 9 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 20 actions. In 2H 2012, there were 11 actions against public companies, 8 actions against subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 20 actions. In 1H 2013, there were 9 actions against public companies, 3 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 12 actions. In 2H 2013, there were 15 actions against public companies, 9 actions against subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 25 actions. In 1H 2014, there were 13 actions against public companies, 10 actions against subsidiaries, and 2 actions against both public companies and subsidiaries, for a total of 25 actions. In 2H 2014, there were 18 actions against public companies, 8 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 26 actions. In 1H 2015, there were 12 actions against public companies, 15 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 27 actions. In 2H 2015, there were 17 actions against public companies, 38 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 55 actions. In 1H 2016, there were 19 actions against public companies, 25 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 44 actions. In 2H 2016, there were 28 actions against public companies, 18 actions against subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 47 actions. In 1H 2017, there were 28 actions against public companies, 17 actions against subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 46 actions. In 2H 2017, there were 9 actions against public companies, 10 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 19 actions. In 1H 2018, there were 7 actions against public companies, 9 actions against subsidiaries, and 0 actions against both public companies and subsidiaries, for a total of 16 actions. In 2H 2018, there were 23 actions against public companies, 29 actions against subsidiaries, and 3 actions against both public companies and subsidiaries, for a total of 55 actions. In 1H 2019, there were 15 actions against public companies, 36 actions against

subsidiaries, and 1 action against both public companies and subsidiaries, for a total of 52 actions.