Panelist and Moderator Bios

Jennifer H. Arlen
Norma Z. Paige Professor of Law
Founder and Faculty Director, Program on Corporate Compliance and Enforcement
NYU School of Law

Jennifer Arlen is an internationally recognized expert on corporate criminal liability and enforcement policy. Author of 48 scholarly publications, Arlen currently is the Associate Reporter on corporate enforcement policy for the American Law Institute's Principles of Law on Compliance and Enforcement for Organizations. She is the former President of both the American Law and Economics Association and the Society for Empirical Legal Studies (which she co-founded in 2005), and serves on the Editorial Board of the American Law and Economics Review.

Arlen received her B.A. in economics from Harvard College (1982, magna cum laude) and her J.D. (1986, Order of the Coif) and Ph.D. in economics (1992) from New York University. She has been a Visiting Professor at the California Institute of Technology, Harvard Law School, and Yale Law School, and was the Ivadelle and Theodore Johnson Professor of Law and Business at USC Gould School of Law before coming to NYU. She clerked for Judge Phyllis Kravitch on the U.S. Court of Appeals for the 11th Circuit. Arlen teaches Corporations, Business Crime, and a seminar on Corporate Crime and Financial Misdealing.

Alicyn Cooley
Executive Director, Program on Corporate Compliance and Enforcement
Adjunct Professor of Law
NYU School of Law

Alicyn Cooley is the Executive Director of the Program on Corporate Compliance and Enforcement and an Adjunct Professor of Law at NYU School of Law, where she teaches

courses on Corporate and White-Collar Crime and Sentencing. Cooley previously served for seven years as an Assistant U.S. Attorney in the U.S. Attorney's Office for the Eastern District of New York, most recently as Deputy Chief of the Business and Securities Fraud Section. In that role, she led and supervised investigations, prosecutions, and trials of securities fraud, investment adviser fraud, health care fraud, tax fraud, money laundering, and Foreign Corrupt Practices Act violations. She also investigated, tried, and argued the appeals of multiple murder and racketeering cases involving organized and violent criminal enterprises.

Before working as a federal prosecutor, Cooley was an associate at Davis Polk & Wardwell, and clerked for the Honorable Barrington D. Parker, Jr. of the U.S. Court of Appeals for the Second Circuit, and the Honorable John Gleeson of the U.S. District Court for the Eastern District of New York. She received her J.D. from Yale Law School and her B.A. from Yale University.

Deborah L. Harris
Chief
U.S. Department of Justice's Environmental Crimes Section (ECS)

Deborah Harris is the Chief of the U.S. Department of Justice's Environmental Crimes Section (ECS). She supervises an office of 36 attorneys responsible for the prosecution nationwide of pollution, wildlife, animal welfare, and worker safety crimes. Ms. Harris works extensively with a wide range of federal investigative agencies, including EPA, Coast Guard, OSHA, USDA, NOAA, and the U.S. Fish and Wildlife Service. She chairs DOJ's Environmental Crimes Policy Committee, and serves on the Advisory Board of INTERPOL's Environmental Compliance and Enforcement Committee.

Prior to joining ECS, Ms. Harris was a criminal defense attorney with the Public Defender Service for the District of Columbia. She received a B.A. in English and Political Science from the University of North Carolina at Chapel Hill and a J.D. from the University of North Carolina School of Law. Following law school, she was a Prettyman Fellow at the Georgetown University Law Center Criminal Justice Clinic where she received her LL.M. in Trial Advocacy.

George S. Canellos
Partner
Milbank Tweed Hadley & McCloy LLP

George S. Canellos is a partner in the law firm of Milbank Tweed Hadley & McCloy LLP and heads its litigation department. In 2014, Mr. Canellos rejoined Milbank, where he had been a litigation partner from 2003 to 2009. Until January 2014, Mr. Canellos served as Co-Director of the Securities and Exchange Commission's Division of Enforcement. He earlier served as the Division's Acting Director and Deputy Director. In these positions, Mr. Canellos was responsible for supervising the SEC's nationwide enforcement efforts. From July 2009 until May 2012, Mr. Canellos was Director of the SEC's New York Regional Office, which has responsibility for oversight of many of the leading broker-dealers, investment advisers, and other SEC-registered financial institutions. Mr. Canellos began his career as an associate at Wachtell, Lipton, Rosen & Katz. In 1994, he became an Assistant United States Attorney in the Southern

District of New York. During almost nine years at the U.S. Attorney's Office, Mr. Canellos held a number of positions, including Chief of the Major Crimes Unit, Senior Trial Counsel of the Securities and Commodities Fraud Unit, and Deputy Chief Appellate Attorney. Mr. Canellos is a graduate of Harvard College and Columbia University School of Law.

John F. Savarese
Partner – Litigation Department
Wachtell, Lipton, Rosen & Katz

John F. Savarese has been a partner in the Litigation Department of Wachtell, Lipton, Rosen & Katz for over 30 years. He has represented numerous Fortune 500 corporations, major financial institutions and senior executives in SEC and other regulatory enforcement proceedings, as well as white-collar criminal investigations, complex securities litigations, and internal investigations. His extensive experience includes major investigations concerning environmental crime, FCPA, money-laundering, cybersecurity and data privacy, accounting fraud, insider trading, criminal tax, and criminal antitrust allegations.

Mr. Savarese joined Wachtell Lipton after working in the United States Attorneys' Office for the Southern District of New York, where he tried numerous jury trials, received the Attorney General's John Marshall Award for Outstanding Legal Achievement, and also served as Chief Appellate Attorney.

Mr. Savarese teaches a course on white-collar criminal law and procedure at Harvard Law School, and is a frequent panelist for the American Bar Association, the Practising Law Institute, and the Securities Industry and Financial Markets Association concerning a wide variety of topics, including defending civil and criminal litigation, handling corporate crises and conducting internal investigations.

Mr. Savarese graduated magna cum laude from Harvard University in 1977 and received his JD cum laude from Harvard Law School in 1981, where he was an editor of the Harvard Law Review.

Katherine J. Strandburg
Alfred Engelberg Professor of Law
NYU School of Law

Alfred Engelberg Professor of Law Katherine J. Strandburg directs NYU's Information Law Institute and interdisciplinary Privacy Research Group and is a faculty director of the Engelberg Center on Innovation Law and Policy. She researches and teaches in the areas of information privacy, automated decisionmaking, patents and innovation policy. Before obtaining her JD (Univ. of Chicago, 1995), she was a computational physicist at Argonne National Laboratory's Materials Science Division (PhD, Cornell, Postdoc, Carnegie Mellon).

Robert J. Jackson, Jr.
Professor of Law
Co-Director of the Institute for Corporate Governance and Finance
Director of the Program on Corporate Law and Policy
NYU School of Law

Robert J. Jackson, Jr. is Professor of Law, Co-Director of the Institute for Corporate Governance and Finance, and Director of the Program on Corporate Law and Policy at the New York University School of Law. He was nominated and unanimously confirmed by the Senate to be Commissioner of the U.S. Securities and Exchange Commission in 2017 and served in that role until February 2020.

Prior to his nomination to the Commission, Professor Jackson taught at Columbia Law School, where students honored him with the Reese Prize for Excellence in Teaching. Before that, he served as a senior policy advisor at the U.S. Treasury Department during the financial crisis and as deputy to Kenneth Feinberg, Treasury's Special Master on Executive Compensation. Earlier in his career, Professor Jackson practiced law at Wachtell, Lipton, Rosen & Katz and was an investment banker at Bear, Stearns. Jackson holds two undergraduate degrees from the University of Pennsylvania, an MBA in Finance from the Wharton School of Business, an MPP from Harvard's Kennedy School, and a JD from Harvard Law School. He was born in the Bronx, is a lifelong fan of the New York Yankees, and lives in New York with his wife, Bryana and son Briggs.

Gus Eyler
Director, Consumer Protection Branch
U.S. Department of Justice's Civil Division

Gus Eyler is the Director of the Consumer Protection Branch of the U.S. Department of Justice's Civil Division. In his role, Gus supervises criminal and civil litigation throughout the country to protect Americans' health, safety, economic security, and identity integrity. Gus previously served as a Counselor to the Attorney General and as a prosecutor in the Criminal Division's Fraud Section and the U.S. Attorney's Office for the District of Maryland. Before joining the Department of Justice, he worked at an international law firm, as a Senate counsel, and as a law clerk. Gus is a graduate of Princeton University and Yale Law School.

Andrew Weissmann
General Counsel of MacAndrews & Forbes
Professor of Practice at NYU School of Law

Andrew Weissmann is the General Counsel of MacAndrews & Forbes. He is also a Professor of Practice at NYU School of Law, as well as a Senior Academic Fellow at the Program on Corporate Compliance and Enforcement, and a Distinguished Senior Fellow at the Reiss Center on Law and Security and the Center on the Administration of Criminal Law. He served as a lead prosecutor in Robert S. Mueller's Special Counsel's Office and as the DOJ's Fraud Section

Chief. From 2011 to 2013, Weissmann served as General Counsel for the FBI. He previously was Special Counsel to then-Director Mueller in 2005, after which he was a partner at Jenner & Block in New York City. From 2002 to 2005, Weissmann served as the Deputy and then the Director of the Enron Task Force in Washington, D.C., where he supervised the prosecution of more than 30 individuals in connection with the company's collapse. He was a federal prosecutor for 15 years in the Eastern District of New York, where he served as the Chief of the Criminal Division. He prosecuted numerous members of the Colombo, Gambino, and Genovese families. Weissmann won the largest Financial Industry Regulatory Authority arbitration award in history. He holds a J.D. from Columbia Law School and a B.A. degree from Princeton University and attended the University of Geneva on a Fulbright Fellowship. He is the author of Where Law Ends: Inside the Mueller Investigation.

Steve Solow
Litigation Partner
Baker Botts LLP

Steve Solow is a litigation partner at Baker Botts LLP in Washington, D.C. where he represents individual and corporate clients. He also serves as the Court Appointed Monitor over the world's largest cruise line. Previously, he served as Chief of the Environmental Crimes Section at the Department of Justice, and before that as a prosecutor in the New York State Organized Crime Task Force. He also was a full-time law professor and law clinic co-director for both the University of Maryland School of Law and before that at the Elizabeth Haub School of Law at Pace University. He has been an adjunct professor at Georgetown University Law Center and is an adjunct professor for the Pace Law School's Washington DC program. He is a Senior Fellow for the Zicklin Center for Business Ethics at Wharton. He clerked for the Hon. Harold L. Murphy of the Northern District of Georgia.

Jeannie Rhee
Partner
Paul, Weiss, Rifkind, Wharton & Garrison LLP

A partner in the Litigation Department and the hiring partner for the Washington, DC office, Jeannie Rhee is a versatile litigator and former prosecutor who tries significant civil, white-collar and regulatory matters, handles complex anti-corruption and other sensitive internal investigations. From May 2017 to 2019, Jeannie worked with Robert Mueller in the Special Counsel's Office, where she led the team investigating Russian cyber, social media and intelligence efforts to influence the 2016 presidential election. She served as Deputy Assistant Attorney General in the U.S. Department of Justice's Office of Legal Counsel between 2009 and 2011 and as an Assistant U.S. Attorney in the District of Columbia between 2000 and 2006. As an AUSA she handled more than 30 jury and bench trials involving public corruption, healthcare fraud, national security violations and other issues, and served as lead counsel in a congressional corruption investigation. Jeannie has been named one of the Top 250 Women in Litigation by Benchmark Litigation in 2020 and 2021. She was also recently honored as the 2020 "Woman Lawyer of the Year" by the Women's Bar Association of the District of Columbia.

Kevin Poloncarz
Partner
Covington & Burling LLP

Kevin Poloncarz is a partner with Covington & Burling LLP and co-chairs the firm's Environmental and Energy Practice Group, Energy Industry Group and ESG Initiative. Kevin is ranked among the nation's leading climate change lawyers; on the board of directors of the Environmental Law Institute and the Center for Applied Environmental Law and Policy; a fellow and regent of the American College of Environmental Lawyers; and on the advisory board and council of the Institute for Policy Integrity at New York University (NYU) School of Law and the State Energy and Environmental Law Center at NYU School of Law (respectively). He is a graduate of Hobart College and the University of Chicago Law School. Along with New York's Deputy Solicitor General, Kevin argued the key statutory point for the winning side in American Lung Ass'n v. EPA, 985 F.3d 914 (D.C. Cir. 2021), in which the D.C. Circuit struck down the Trump Administration's repeal of the Obama Administration's Clean Power Plan.

Jennifer G. Newstead
Chief Legal Officer
Facebook

Jennifer G. Newstead is Facebook's Chief Legal Officer, overseeing all global legal and corporate governance matters on behalf of the company. Prior to joining Facebook in 2019, Jennifer served in senior roles in government, most recently as the Senate-confirmed Legal Adviser of the U.S. Department of State, advising on all issues of domestic and international law affecting the conduct of U.S foreign relations. Previously, she served as General Counsel of the White House Office of Management and Budget, and as a Principal Deputy Assistant Attorney General at the U.S. Department of Justice. In the private sector, Jennifer spent twelve years as a partner in a global law firm, Davis Polk & Wardwell LLP, advising technology, media, and financial services firms on litigation and regulatory matters. She graduated magna cum laude from Harvard University in 1991 and from Yale Law School in 1994. Jennifer clerked for Justice Stephen Breyer of the United States Supreme Court and Judge Laurence Silberman of the U.S. Court of Appeals for the D.C. Circuit. She previously served as an Adjunct Professor of Law at Georgetown University Law Center.

Sara McLean
Assistant Director
Commercial Litigation Branch (Fraud Section)
Civil Division, Department of Justice

Sara McLean is an Assistant Director in the Commercial Litigation Branch (Fraud Section), Civil Division, Department of Justice and is responsible for leading the Department's Civil Cyber-Fraud Initiative. Before becoming an Assistant Director in March 2010, she was a Trial Attorney in the Fraud Section for over ten years. She has handled and supervised a wide variety of False Claims Act matters in the areas of procurement, healthcare, mortgage, and minerals

royalty fraud. Some of her most significant matters have included General Services Administration defective pricing cases against major information technology companies, nationwide home healthcare fraud investigations, Federal Housing Administration loan guarantee cases, Department of Energy nuclear safety investigations, and natural gas royalties fraud litigation. She has received numerous honors and awards for her work at the Department. Ms. McLean graduated from Princeton University and Yale Law School and clerked for the Honorable John W. Bissell (D.N.J).

Judith H. Germano
Founder, Germano Law LLC
Distinguished Fellow, Center for Cybersecurity
Senior Fellow, Reiss Center on Law and Security
Adjunct Professor of Law, NYU School of Law

Judith H. Germano is a practicing attorney, entrepreneur, academic, and former federal prosecutor. As Distinguished Fellow at NYU's Center for Cybersecurity (CCS), she leads the CCS Cybersecurity Leaders roundtable series, engaging corporate executives and senior government officials to address critical cybersecurity concerns, and chairs NYU's annual Women Leaders in Cybersecurity Conference. A former federal prosecutor for 11 years and founder of Germano Law LLC, she counsels public and privately held organizations on cybersecurity and privacy matters, and represents companies and individuals on issues relating to complex financial crimes, internal investigations, and regulatory-compliance matters.

Germano previously was Chief of Economic Crimes at the U.S. Attorney's Office for the District of New Jersey, where she supervised and prosecuted complex criminal cases involving cybercrime, securities and other financial fraud, identity theft, corruption, export enforcement, and national security.

Una A. Dean
VP & Assistant General Counsel
Head of Global Investigations at IBM

Una A. Dean is a VP & Assistant General Counsel at IBM and serves as the Head of Global Investigations. Formerly, she was a partner in Fried Frank's Cybersecurity and White Collar Defense, Regulatory Enforcement & Investigations Practices and a former federal prosecutor in the Eastern District of New York.

During her time as an AUSA, Ms. Dean served as the EDNY's first *Cyber Specialist* and as a *Computer Hacking and Intellectual Property Coordinator (CHIP)*. She was the recipient of the DOJ's *Director's Award for Superior Performance* and the Federal Drug Agents Foundation's *True American Hero* award. In private practice, Ms. Dean was named to *Crain's New York Business'* 2019 list of Notable Women in Law and profiled in *Global Investigations Review's* 2018 Women in Investigations. She was also selected as a Rising Star by Thomson Reuters for its *Transforming Women's Leadership in the Law* program. Ms. Dean has been a Capstone

Program Mentor at *NYU Law's Executive Masters of Science in Cybersecurity Risk and Strategy since 2018.*

Ms. Dean serves as a board member for the Asian American Bar Association of New York and Pro Bono Partnership. She also serves as a board member and Treasurer for the Capital Appeals Project and the Promise of Justice Initiative.

Ms. Dean served as a law clerk for the Honorable William J. Martini in the United States District Court for the District of New Jersey from 2005-2006. She received her JD from the University of Michigan Law School, where she served as a Contributing Editor for the *Michigan Law Review*, and her BA, *magna cum laude*, from Harvard University.

Ignacia S. Moreno
CEO & Principal, The iMoreno Group, PLC
Former Assistant Attorney General
Environment and Natural Resources Division
United States Department of Justice, 2009-2013

Ignacia S. Moreno is the Chief Executive Officer and a founding Principal of The iMoreno Group, PLC, where she provides legal services and strategic counseling on environmental and natural resources matters. In 2009, Ms. Moreno was confirmed by the U.S. Senate in a 93 to 0 vote to serve as the Assistant Attorney General of the Environment and Natural Resources Division of the U.S. Department of Justice (Division). She headed the Division from 2009 to 2013, where she was recognized for her outstanding service in leading the Division's response to the Deepwater Horizon Oil Spill, defending government actions to combat climate change, negotiating historic settlements in tribal trust litigation, and working with government agencies, communities, and industry to consider and integrate environmental justice principles into the resolution of cases and matters handled by the Division. From 1994 to 2001, Ms. Moreno served as a presidential appointee in the Division during the Clinton Administration. From 2006 to 2009, she was Counsel for the Northeast/Midwest Regions and International, Corporate Environmental Programs, at the General Electric Company, and previously practiced at two prominent Washington, D.C. law firms, including as a partner.

In 2016, Virginia Governor Terry McAuliffe appointed Ms. Moreno to serve a four-year term on the State Air Pollution Control Board and she was elected Chair of the Board in 2019. In 2018, Virginia Governor Ralph Northam appointed her to serve on the Board of Governors of George Mason University and she was elected to the Executive Committee in 2019 and also serves as Vice Chair of the Audit Committee. Ms. Moreno serves on the Advisory Board of the New York University School of Law Institute for Policy Integrity where she is Chair of the Environmental Justice & Equity Committee and served as Chair of the Litigation Committee for seven years. Ms. Moreno serves on the National Board of Directors of the Trust for Public Land where she is Chair of the Advocacy Committee. She has served as President of the Hispanic Bar Association of the District of Columbia and as General Counsel of the Hispanic National Bar Association.

Ms. Moreno earned her Bachelor of Arts degree from New York University in 1986, and a Juris Doctor degree from New York University School of Law in 1990. She was born in Cartagena, Colombia, grew up in New York City, and lives in McLean, Virginia with her family.

Saema Somalya
General Counsel
Remitly Global, Inc.

Saema Somalya has served as Remitly's General Counsel since December 2020. Prior to joining Remitly, Saema served as SVP, Deputy General Counsel and Assistant Secretary for Fifth Third Bancorp and Fifth Third Bank, N.A. from May 2016 to November 2020 and as SVP, General Counsel and Corporate Secretary for Warren Resources, Inc, from February 2014 to January 2016. From April 2009 to January 2014, she served as Senior Legal Director and Corporate Counsel to PepsiCo, Inc.. Saema holds a J.D. from Yale Law School and a B.A. in International Relations and Affairs from Yale University.

C. Dabney O'Riordan
Co-Chief of the Asset Management Unit (AMU)
SEC's Division of Enforcement

C. Dabney O'Riordan has served as Co-Chief of the Asset Management Unit (AMU) in the SEC's Division of Enforcement since 2016. In leading the AMU, Ms. O'Riordan manages attorneys and industry experts across the country and serves as a resource on enforcement issues involving asset managers, and is part of the Division of Enforcement's Climate and ESG Task Force announced in March 2021. Ms. O'Riordan joined the SEC's Los Angeles Regional Office in 2005 and became a member of the AMU when it was first formed in 2010. Before joining the SEC, Ms. O'Riordan was a litigation associate at Munger, Tolles & Olson LLP, and served as a law clerk to the Honorable David R. Thompson of the U.S. Court of Appeals for the Ninth Circuit. She received her J.D. from the University of California, Los Angeles and her B.A. in Environmental Science from Wellesley College.

Rory Van Loo
Professor of Law
Boston University School of Law

Rory Van Loo's research focuses on the intersection of business, technology, and regulation. He was twice chosen through blind peer review from a national pool to present at the Harvard/Stanford/Yale Junior Faculty Forum. His articles have been published or are forthcoming in the Columbia Law Review, Cornell Law Review, Duke Law Journal, Georgetown Law Journal, Michigan Law Review, Notre Dame Law Review, UCLA Law Review, University of Chicago Law Review, University of Pennsylvania Law Review, Vanderbilt Law Review, and Virginia Law Review.

Prior to BU, Professor Van Loo served on the implementation team that set up the Consumer Financial Protection Bureau, helping to build the framework for supervision of large banks. He also spent several years at McKinsey & Co. conducting empirical studies for multinational corporations in mergers and acquisitions, marketing, and organizational design.

Professor Van Loo's intellectual study of digital markets began with an undergraduate major in science, technology, and society, with a focus on computer science. He then received a Thomas J. Watson Fellowship to spend a year in Argentina, Costa Rica, the Cote d'Ivoire, India, Mali, Peru, Senegal, and Vietnam researching the economic impact of the internet.

Ronald Sarachan
Deputy Criminal Chief
White Collar Crime
USAO, EDPA

Over 20 years in private practice, including as head of white collar practice groups at Drinker Biddle & Reath and Ballard Spahr. Former Chief of Environmental Crime Section at USDOJ and Chief of Major Crimes Section in USAO, EDPA. Clerked for the Honorable Edward Weinfeld, USDJ, SDNY.