



# Legal Issues in Social Entrepreneurship and Impact Investing— in the US and Beyond

New York University School of Law

Furman Hall, 245 Sullivan Street, New York City

**June 4–5, 2019**

## Conference Speakers

Conference Organizers:



Grunin Center  
for Law and Social  
Entrepreneurship  
NYU School of Law

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# Conference Speakers

# Conference Speakers



## José Miguel Alfaro

**Senior Manager, s.e.e.d.  
by EY Central America**

In 2018, s.e.e.d., a boutique law firm founded by Alfaro, became seed by EY Central America, EY Central America's business unit in charge of serving "strategic growth markets," including venture capital, startups, SMEs, social enterprises, and impact investors. With the integration, seed by EY Central America is able to further scale its presence in Latin American markets, leverage tech-based solutions for legal services, and strengthen its systemic approach to pro bono initiatives.

Additionally, since late 2016 Alfaro has been engaged as legal counsel for Carao Ventures, assisting the firm and its portfolio companies in a wide variety of legal matters ranging from day-to-day legal advice to financing and mergers and acquisitions.

Back in 2009, when he launched s.e.e.d., Alfaro coordinated legal affairs of outstanding ventures in various fields of the impact investing spectrum, such as sustainability, tech, conservation, micro-finance, fair trade, culture, education, volunteering, health, sports, and development. He also actively promoted socially responsible investments and the enhancement of the social business ecosystem in Latin America.

Alfaro started his career as corporate counsel in one of the largest corporate law firms in Central America. He worked as coordinator of a multi-field legal practice in corporate and commercial law, real estate, trusts and estates, mergers and acquisitions, and litigation and arbitration. There, he founded the Costa Rican Pro Bono Committee, through which he worked with such NGOs as TECHO and Grameen Bank.

After completing his MBA at Oxford with a Skoll Scholarship, he was selected for the Global Shapers network, a World Economic Forum community.



## Anat Alon-Beck

**Jacobson Fellow in Law and Business,  
New York University School of Law**

Anat Alon-Beck is the Jacobson Fellow in Law and Business at New York University School of Law. She will be joining Case Western Reserve University School of Law as an assistant professor. Her research focus is in corporate law, corporate governance, contracts, entrepreneurship, and innovation, with an interdisciplinary emphasis on the intersection of law with business, management, finance, ethics, strategy, society, sustainability, and the natural environment. She is passionate about empowering women to advance in entrepreneurship and leadership positions in the business world.

Alon-Beck's most recent article, "Unicorn Stock Options—Golden Goose or Trojan Horse?" is published in *Columbia Business Law Review*. Several media outlets and corporate law blogs featured her research, including *Business Law Prof Blog*, *Harvard Corporate Governance Blog*, *Mayer Brown's Free Writings + Perspectives*, *Money Stuff: Bloomberg Opinion*, and *TheMarker Magazine*.

Her article, "The Law of Social Entrepreneurship—Creating Shared Value through the Lens of Sandra Day O'Connor's iCivics," published in *University of Pennsylvania Business Law Review*, considers the merits and downsides of pushing for hard boundaries on the vocabulary we use when

we talk about social entrepreneurship and social enterprises. Definitions are critically important to the productive development of emerging fields.



## Andrea Armeni

**Co-Founder and Executive Director,  
Transform Finance**

Andrea Armeni is the co-founder and executive director of Transform Finance, a field-building organization exploring the intersection of finance and social change with both investors and activists. Armeni is also the lead convener of the complementary Transform Finance Investor Network, a community of practice of family offices, foundations, pension funds, and other asset owners with a social justice approach. He is recognized as an innovator in the field of impact investing, particularly at the nexus of capital and systemic change. He is a private equity lawyer by training and has taught at the Yale Law School and at Université Paris-Dauphine in France. He is a BMW Foundation Responsible Leader, serves as finance advisor to the United Nations' Joint SDG Fund, and sits on the board of directors of the investment fund CARE Enterprises, Inc. and of the NGO Finance for Good Brazil. Armeni is an adjunct faculty member at the NYU Wagner Graduate School of Public Service[EK2], where he lectures in the master's program. Most recently, he is the author of "Private Equity Funds as Vehicles to Drive Employee Ownership Conversions," "Innovative Financing Structures for Social Enterprises," and, as co-author, the briefing "Renewable Energy: Managing Investors' Risks and Responsibilities."

# Conference Speakers



## Shena Ashley

**Vice President, Center on Nonprofits and Philanthropy, Urban Institute**

As vice president and the director of the Center on Nonprofits and Philanthropy (CNP) at the Urban Institute, Shena Ashley leads research, technical assistance, and advisory services to provide evidence and insights that inform the social impact sector and advance effective strategies to achieve better outcomes for people and communities nationwide. Dr. Ashley has led the expansion of the center's policy research and programmatic initiatives, including projects related to democratizing charitable giving, impact investing, and equitable grantmaking while spearheading the transformation of the National Center on Charitable Statistics (NCCS) to a freely accessible, open-data platform. She is committed to making the center a model for training the next generation of diverse, engaged scholars who can work to elevate the voice and expertise of the practitioners on the frontlines of social change.

Dr. Ashley currently serves on the board of ARNOVA and the editorial boards of the Nonprofit and Voluntary Sector Quarterly (NVSQ) and the Nonprofit Quarterly. Before joining the Urban Institute, Dr. Ashley held academic positions at Syracuse University and Georgia State University and led research and policy at the Annie E. Casey Foundation's Atlanta Civic Site.



## Constance E. Bagley

**Senior Research Fellow, Yale School of Management**

Constance E. Bagley is a Senior Research Fellow at Yale School of Management, where she was previously professor in the practice of law and management and a Senior Research Scholar at Yale Law School. She is also founder and CEO of Bagley Strategic Advisors LLC. Prior to joining the Yale faculty, she was an associate professor of business administration at Harvard Business School, senior lecturer in law and management at Stanford Graduate School of Business, and a partner at Bingham McCutchen. She was also on the faculty of the Young Presidents Organization University for Presidents in Hong Kong and the Czech Republic. She received her AB, with honors and distinction, from Stanford University; her JD, magna cum laude, from Harvard Law School; and an honorary doctorate in economics from Lund University.

Professor Bagley is the author of *Managers and the Legal Environment: Strategies for Business* (9th ed.); *The Entrepreneur's Guide to Law and Strategy* (5th ed.), one of *Business Insider's* must-read 25 books for entrepreneurs; and *Winning Legally: Using the Law to Create Value, Marshal Resources, and Manage Risk*. She has published in multiple journals, including *Academy of Management Review*, *Harvard Law Review*, *Duke Journal of Comparative and International Law*, *Pennsylvania Business Law Journal*, *American Business Law Journal*, and *Cardozo Law Review*.



## Stephanie Bagot

**Senior Attorney, FINCA Impact Finance**

Stephanie J. Bagot is a senior attorney at FINCA Impact Finance, a global microfinance organization with 20 subsidiaries in Latin America, Africa, Eurasia, Middle East and South Asia. She is the lead attorney for cross-border mergers and acquisitions in the network, and she also advises on corporate law and corporate governance. Bagot has 20 years of legal experience as an international transactional attorney and was a senior associate in the Business section at Holland & Knight prior to joining FINCA. She also served as vice president and board member of the French-American Chamber of Commerce of Washington, DC, and currently chairs the International Business Committee. Bagot earned a law degree and a diploma in English law (DEJA) from the Université Paris-X, an LLM in international law from American University, and a master's degree in international affairs from Georgetown University. Bagot is a native French speaker and has good knowledge of Spanish. She is admitted to practice law in New York, Washington, DC, and France.



## Navjeet Bal

### Managing Director and General Counsel, Social Finance

Navjeet K. Bal is managing director and general counsel at Social Finance. She is responsible for working with the Social Finance team to develop and execute innovative financings that bring together the public, private, and nonprofit sectors to promote solutions to social needs. Bal brings to Social Finance over 25 years of experience as a public finance attorney and a public official. As bond counsel to the Commonwealth of Massachusetts and many public authorities throughout Massachusetts and New England, she was responsible for structuring innovative bond financings to address critical infrastructure needs. In addition, she represented the Commonwealth of Massachusetts in negotiating and documenting its groundbreaking Pay for Success contracts addressing juvenile justice and chronic homelessness.

Bal served as the commissioner of Revenue in Governor Deval Patrick's administration from 2008 through 2011, where she was responsible for a 2,000-person agency with three lines of business: Tax Administration, Child Support Enforcement, and the Division of Local Services.

Bal has held many leadership positions in legal, community, and professional organizations, including serving as a member of the Boston Bar Association Council, where she served on the Executive Committee from 2011 to 2014. She is a board member of the Legal Advocacy and Resource Center, a commissioner emeritus of the Supreme Judicial Court's Access

to Justice Commission, and treasurer of the Boston Public Market. Bal graduated from Williams College and Northeastern University School of Law.



## Amélie Baudot

### General Counsel and Company Secretary, Global Innovation Fund

Amélie Baudot is the general counsel and company secretary of the Global Innovation Fund (GIF), a nonprofit fund whose mission is to identify, fund, and scale evidence-based innovations that measurably improve the lives of the world's poor. Baudot oversees legal matters across GIF's operations and grant and risk capital portfolio. As a member of the senior management team, Baudot contributes to GIF's operational strategy, governance, and risk management. She previously served as in-house counsel to AgDevCo, a social impact investor and agribusiness developer focusing on Sub-Saharan Africa. Baudot began her legal career in private practice as a restructuring lawyer at Allen & Overy in New York and London advising clients on a range of financing transactions and in the international development sphere on a pro bono basis.

Baudot is admitted to practice law in New York and is a solicitor of England & Wales. She has a JD from New York University School of Law, an MA from the Graduate Institute of International and Development Studies (Geneva), and a BA in International Relations from Connecticut College.



## Johanna Beduhn

### PhD Candidate in Developmental Economics, American University

Johanna Beduhn is a PhD candidate at American University in development economics, with a professional background in program evaluation for Oxfam America, USAID, and GlobalPACT, as well as for the United Food and Commercial Workers International Union. Her research focuses on the microeconomics of gender and employment, including employment decisions at the individual and household level. Beduhn's US-focused work seeks an understanding of women's employment decisions against the rapidly evolving backdrop of gender norms. In developing country contexts, her work has focused on how public policy and development program design interacts with local gender norms to produce intended or unintended impacts. As a research fellow with Oxfam America, she conducted a study on gender-differential impacts of a lack of free school transportation on educational outcomes in Kenya, with the purpose of identifying areas in which de jure gender-neutral public policy may be improved to eliminate de facto discrimination. Beduhn served as research analyst for independent performance evaluations of USAID Feed the Future activities. Her current independent research study with The Fund for Peace focuses on the role of divestment, SRI, and shareholder action in promoting socially responsible business practices.

# Conference Speakers



## Colborn Bell

**Founder, 5th Element Group PBC;  
Director, SDG Impact Fund**

Colborn Bell is a founder of the 5th Element Group PBC and director of the SDG Impact Fund, whose vision is to help create a new socio-economic era that closes historic gaps in last-mile inclusion and engages the “bottom billion” to take true quantum leaps for humanity, prosperity, and the planet through integrating the most innovative blockchain and smart contract technologies into new impact capital vehicles and products. Bell is the founder and managing principal of Finite Square Well, a pioneering crypto-assets management and services company that develops and executes investment strategies for select private families and UHNW individuals seeking intelligent access to the rapidly emerging global capital paradigm shift in crypto and digital assets. Bell also leads the US-based private family office for a prominent Middle Eastern family managing investments in green energy, education, hospitality, aviation, real estate, and art and specializes in enabling tech- and innovation-focused companies develop or expand their Middle Eastern presence. Previously, he was with Abacus Wealth Partners leading daily trading of over 1,000 client accounts with \$1B+ AUM. He also worked with UNCDF and began his career in investment banking with Laidlaw & Company allocating PIPE deals for Facebook, LinkedIn, Twitter, and eHarmony.



## John Berger

**Impact Solutions Architect, Toniic**

John Berger, CFA, is responsible for building and expanding impact solutions at Toniic Institute, a global community of high net worth individual, family office, and foundation asset owners who are active in seeking deeper impact in all investments, and in their lives. His portfolio at Toniic includes writing for and working on ImpactTerms.org, a curated library of innovations in impact investing terms and investment structures, available to entrepreneurs, investors, and impact professionals.

Prior to his work at Toniic, after 17 years as an investment banker, Berger was the CFO, COO, and co-founder of Her Future Coalition, an international organization that creates empowering opportunities for survivors of gender violence to build an independent, safe, and rewarding future. He was also co-founder of Relevée Jewelry LLC, a woman-owned, high-margin, fine jewelry social enterprise. It was Berger's work for Relevée that led to his role as client and banker on the team behind the Grunin Prize-winning investment structure Performance Aligned Stock.



## Lorenzo Bernasconi

**Senior Associate Director, Innovative Finance, The Rockefeller Foundation**

Lorenzo Bernasconi joined The Rockefeller Foundation in 2013. As senior associate director, he is responsible for the foundation's innovative finance and impact investing portfolio. His work focuses on identifying and shaping new financing solutions that unlock private sector capital to address the world's most critical problems with a focus on the foundation's dual goals of building resilience and promoting inclusive economies.

Prior to joining The Rockefeller Foundation, he worked at Dalberg Global Development Advisors, the Boston Consulting Group, and in the investment banking division of UBS.

Dr. Bernasconi holds dual Ecuadorian and Swiss citizenship. He received a bachelor's degree in economics from the London School of Economics and a PhD from Cambridge University and was a post-doctoral fellow at Columbia University's Earth Institute. He sits on the board of Spring Bank, a Bronx-based community bank focused on the underbanked communities of New York City.



## Leila Bham

**Senior Special Counsel,  
Office of Legal Policy, Office of  
General Counsel, US Securities  
and Exchange Commission**

Leila Bham is senior special counsel at the US Securities and Exchange Commission, in the Office of General Counsel's Office of Legal Policy. She advises on regulatory initiatives, including the Dodd-Frank Wall Street Reform and Consumer Protection Act, Regulation Crowdfunding, and issues of technology in market structure and access to capital. She is a two-time recipient of the SEC's Law and Policy Award.

Previously, Bham provided legal counsel to the World Bank's Financial Market Integrity Unit. She began her career practicing as an associate and senior associate at the law firm Freshfields Bruckhaus Deringer in London, advising on global capital raisings and listings by issuers based in Europe, the Middle East, Latin America, and Asia.

With the American Bar Association, Bham advises on legal reforms in Myanmar, and co-chaired an Annual Meeting of the International Law Section. She is a past co-chair of the conference on Legal Issues in Social Entrepreneurship and Impact Investing at NYU School of Law and co-launched the DC Chapter of the Impact Investing Legal Working Group. She also serves as elected Steering Committee vice chair of the DC Bar's Corporation, Finance and Securities Law Community.

Bham is qualified to practice in New York, the District of Columbia, England and Wales, and before the US Supreme Court. She holds an AB from Harvard University and a JD from Georgetown University. She speaks Burmese, French, and Spanish.



## Barbara Bickham

**Founder and Managing Director,  
Women's Innovation Fund  
Accelerator**

Barbara Bickham is a CTO with extensive experience in technology and entrepreneurship. Her current areas of expertise are in Internet of Things, blockchain, augmented reality, and artificial intelligence.

Bickham is the managing director for Women's Innovation Fund Accelerator ([wifaxvc.com](http://wifaxvc.com)), an opportunity zone fund accelerating growth by using a mix of incubation, shared workspace, and business finance while requiring participants to have a C-suite made up of at least 50 percent women.

Bickham is also currently founder and CTO of Trailyn Ventures, a Blockchain Advisory Company. She provides strategic advice and technical execution for companies incorporating blockchain and/or artificial intelligence into their company and products. Companies include The Aclyd Project—Payment and Remittance on the Blockchain; 2TransFair—Payment and Remittance on the Blockchain; Plaak—Exchange; EVRealities—AR/VR Attribution on the Blockchain; Rechain—Universal Rewards on the Blockchain, and others.

She is also The Chief of the Block at the Blockchain Accelerator for Global Growth, which helps companies become fundable with the Due Diligence Intensive, a 31-page analysis of the company for traditional and blockchain funding and International Business Focus for growing and scaling a company globally.

Previously, Bickham was the director of Engineering for Flexeye where she architected, designed, and coded the 2014 Gartner Cool Vendor Award Winning REST API for their IoT platform [eyehubiot.com](http://eyehubiot.com).

Bickham founded TechGenii, Inc., a digital strategy company; Wilderforex.com, a Forex Software company; and PCNLA, an investment association connecting Southern California businesses with venture funding. She has more than seven years of experience preparing and evaluating companies for private equity and venture capital financing.

She is on the Advisory Board of the MIND Institute, MindMusic, and Yummy Society and is a mentor for Springboard Enterprises, Stubbs Alderton PreCellerator, and MakeInLA. She is an advisor and EIR at the Bixel Exchange.

She holds degrees from the University of Chicago and New Entrepreneurs Program, an MSCS from West Coast University, and a BACS from the University of California, Berkeley.

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## Jane Bieneman

### Senior Advisor, Tideline

Jane Bieneman is a senior advisor at Tideline, a consulting firm that provides tailored advice to clients developing impact investment strategies, products, and solutions. She joined Tideline in 2015 and focuses on client engagement, research and strategy.

Bieneman's career spans senior roles in investment banking at UBS and Citigroup, where she worked with private equity firms and other financial sponsors to help them structure and raise funds totaling over \$30 billion of capital. She also has extensive experience helping public sector, corporate, and financial institution clients raise debt and equity capital to fund and grow their businesses.

In addition, she advised Women's World Banking on the creation of its inaugural fund to invest in microfinance institutions globally and worked on product development, restructurings, and other financial advisory assignments at impact investment manager BlueOrchard Finance. She started her career in commercial banking at Fifth Third Bancorp in Cincinnati.

Bieneman holds a BA in government from Dartmouth College and an MBA from the Kellogg Graduate School of Management at Northwestern University.



## Lauren Boccardi

### Deputy Assistant General Counsel, US Agency for International Development

Lauren Boccardi currently serves as the deputy assistant general counsel for USAID's Bureau for Food Security and for the Bureau for Democracy, Conflict and Humanitarian Assistance. Her work at USAID has covered private sector engagement, legislation and policy, and Middle East issues.

Boccardi has extensive experience working in the government, private and nonprofit sectors. Prior to joining USAID, she worked on a wide range of corporate transactions as a corporate lawyer at Debevoise & Plimpton, focusing in particular on energy and environmental work. She has worked with various nonprofits, including a women's group in Oaxaca, Mexico, and was the manager of Communications and Research for an internet software company in New York.

Boccardi is a graduate of Harvard Law School and earned her master's degree from the Fletcher School of Law and Diplomacy at Tufts University. She received her undergraduate degree from Georgetown University. She is a member of the bar in New York.



## Aaron Bourke

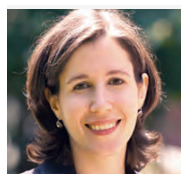
### Senior Associate, Reed Smith; Co-Founder, the Impact Investing Legal Working Group (IILWG)

Aaron Bourke is a senior associate in Reed Smith's Global Corporate Group, practicing primarily in the area of private fund formation and counseling (including traditional private equity funds, venture capital funds, and similar types of private investment vehicles). He also advises limited partners on investments into private equity and venture capital funds and has engaged in a range of other types of transactions, including direct investments and brand licensing deals.

Bourke has focused his practice in particular on the growing social impact investing industry. He is a founding member of Reed Smith's Social Impact Finance Group, and in that capacity he has advised several sponsors on the formation of social impact funds and limited partners on investments into social impact funds. He is a founding member and a leader of the Impact Investing Legal Working Group (IILWG), a network of attorneys working in the areas of impact investing and social enterprise, and in that capacity he has helped build the group's membership to nearly 200 attorneys globally. He has been integrally involved in developing IILWG's annual conference on legal issues in social entrepreneurship and impact investing, which is hosted in collaboration with the Grunin Center for Law and Social Entrepreneurship at NYU School of Law and drew over 300 attendees from around the world.

in 2018. Bourke initiated and developed the firm's partnerships with the International Transactions Clinics at the University of Michigan Law School and New York University School of Law, through which Reed Smith attorneys have supervised law students representing impact investors and social enterprises on a broad array of transactions. He also regularly lectures at New York University School of Law on legal topics relating to impact investing.

Bourke was previously a member of Reed Smith's Financial Industry Group, where he gained experience both as a litigator (three years) and as a corporate finance attorney (one year). He also spent six months on secondment at Morgan Stanley Wealth Management, where he focused on compliance with federal remittance transfer regulations.



**Dana Brakman Reiser**  
**Professor of Law,**  
**Brooklyn Law School**

Dana Brakman Reiser is currently visiting professor of law at Fordham University School of Law and is a Professor of Law and Former Vice Dean at Brooklyn Law School. She teaches courses in corporations, social enterprise, nonprofit law, property, and trusts and estates. Her scholarship focuses on the law and finance of philanthropic organizations and social enterprises—businesses that pursue a social mission. Her most comprehensive work on social enterprise is *Social Enterprise Law: Trust, Public Benefit, And Capital Markets* (Oxford University Press 2017) (with Professor Steven A. Dean). Her scholarship also has appeared in numerous edited

volumes and many law journals, including *Boston College Law Review*, *Emory Law Journal*, *Indiana Law Journal*, and *Notre Dame Law Review*.

Professor Brakman Reiser is a member of the American Law Institute and was an associate reporter for its project on the Principles of the Law of Nonprofit Organizations. She is also a member and past-chair of the Section on Nonprofit and Philanthropy Law of the American Association of Law Schools, and a member of the executive board of its Section on Business Law. She is a graduate of the University of Pennsylvania and Harvard Law School.



**Allen Bromberger**  
**Partner, Perlman & Perlman**

Allen Bromberger is nationally recognized for his groundbreaking work on the development of nonprofit and for-profit legal structures that support the simultaneous pursuit of financial and social goals. Through his legal practice, as author of *The Art of Social Enterprise*, and as a speaker about the intersection of business and philanthropy, Bromberger has been at the forefront of the fourth sector and social enterprise movements that have risen to prominence in recent years. His expertise in corporate matters and business transactions includes social venture formation, finance, joint ventures, mergers and acquisitions, strategic philanthropy, cause marketing, IP licensing, and regulatory compliance. Before joining Perlman & Perlman, he served as president of Power of Attorney, a private operating foundation in New York City, and as executive director of Lawyers Alliance for New York, a public interest law

firm. From foundations and family offices to funds and entrepreneurs, Bromberger offers counsel and serves as a trusted advisor and connector for his clients. His ambition is to build legal systems for social benefit that will thrive for years to come.



**Tom Brunner**  
**Formerly General Counsel,**  
**Leapfrog Investments**

Tom Brunner retired at the end of 2018 as a partner of LeapFrog Investments, which manages impact investment funds supporting businesses serving the emerging consumers of Africa and emerging Asia (defined as those living on approximately \$10 a day). Brunner spent a decade at LeapFrog, serving as its first general counsel and compliance officer. Prior to joining LeapFrog, he headed the insurance law practice at Wiley Rein, a Washington, DC, law firm, representing US and international insurers and insurance industry organizations in large-scale litigation. He served as co-chair of the Washington Lawyers' Committee for Civil Rights and Urban Affairs and received that group's Wiley Branton Prize. Brunner is a graduate of Columbia College, Columbia University, and Yale Law School. He lives with his wife Shelly in Washington, DC, and New York and has three adult children and four grandchildren. He is a director of the International Senior Lawyers Project and coordinates the ISLP impact investment program.

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## Mary Rose Brusewitz

**Member, Clark Hill**

Mary Rose Brusewitz is member in charge of Clark Hill's New York office. She concentrates her practice on international transactions involving Latin America, Africa, Asia, India, Europe, and the US.

Brusewitz is active in impact investing, sustainability, accountability, ESG/SDG compliance, and corporate governance. She represents a provider of currency hedging to the impact space, as well as several funds active in investing debt and equity in sectors including micro-finance, solar energy, retail, sanitation, and housing. She has substantial experience in emerging markets development and finance. Areas include infrastructure, water, power, oil and gas, renewable energy, and mining. Her expertise includes structuring, implementing, administering, and exiting impact debt and equity investments; coordinating groups of investors, including DFIs, privately managed funds, commercial banks, for-profits, and nonprofits; blended capital structures; project and structured financing; private equity; cross-border investments; joint ventures; restructurings; workouts; insolvencies; and dispute resolution and mediation.

Brusewitz contributes substantial time and expertise on a pro bono basis for impact clients. She was a compliance panel member and panel chair of the independent accountability mechanism of the Inter-American Development Bank. She is a pro bono supervising attorney at the International Transactions Clinic at New York University School of Law.



## Deborah Burand

**Associate Professor of Clinical Law; Faculty Co-Director of the Grunin Center for Law and Social Entrepreneurship; Director of International Transactions Clinic, New York University School of Law**

Deborah Burand is an associate professor of clinical law at New York University School of Law, where she directs the International Transactions Clinic and is the faculty co-director of the Grunin Center for Law and Social Entrepreneurship.

In 2010-2011, Professor Burand served as general counsel to the Overseas Private Investment Corporation, the development finance institution of the United States. Earlier in her career, she worked in the environmental sector (Conservation International), micro-finance sector (FINCA International and Grameen Foundation), and US government (Federal Reserve Board and Department of the Treasury). She also has worked in private practice at a global law firm, where, among other things, she supported, on a pro bono basis, the development of the world's first debt-for-nature swap.

Professor Burand is a member of the Board and Investment Committee of the MicroBuild Fund, an impact investment fund sponsored by Habitat for Humanity International. She is an advisor to the Linked Foundation, Social Sector Franchise Initiative, and GIIN/ISLP Legal Practitioners Track. She co-founded the Impact Investing Legal Working Group (IILWG) and Women Advancing Microfinance (WAM) International.

Professor Burand received her BA, cum laude, from DePauw University and a joint degree, JD/MSFS with honors, from Georgetown University.



## William Burckart

**President and Chief Operating Officer, The Investment Integration Project**

William Burckart is president and COO of The Investment Integration Project (TIIP), an applied research and consulting services firm that helps investors manage systemic societal and environmental risks, and solve systemic problems. He has worked with a range of clients, including investment management firms, private foundations and endowments, and government and major industry bodies, helping them to integrate impact and investment goals through the development and implementation of ESG and impact investment strategies. He has also contributed to the field through groundbreaking research, including the development of market insights and practical guidance for institutional investors and financial advisors in collaboration with the Money Management Institute (MMI); co-editing *New Frontiers of Philanthropy: A Guide to the New Tools and Actors that Are Reshaping Global Philanthropy and Social Investing* (Oxford University Press: 2014); and helping write the "Status of the Social impact investing Market: A Primer" (UK Cabinet Office: 2013), which was distributed to policymakers at the inaugural G8-level forum on impact investing. Burckart is a visiting scholar of the US Federal Reserve and is a founder or

co-founder of two impact investment advisory firms (Burckart Consulting and Impact Economy LLC).



## Alice Decker Burke

**Associate General Counsel,  
Special Assets, Overseas Private  
Investment Corporation (OPIC)**

Alice Decker Burke is the associate general counsel for Special Assets at the Overseas Private Investment Corporation, the US government's development finance institution. Burke has worked in OPIC's Legal Affairs department for four years. Her work encompasses a variety of projects, evenly divided between small and medium finance, primarily in East Africa, and transactional restructuring of distressed loans across the OPIC portfolio. Her finance projects have included innovative education finance, sanitation, and agriculture.

Prior to joining OPIC in 2015, Burke worked for the Chicago office of Latham & Watkins for 10 years, with a combined practice of middle market secured lending and creditor-side restructuring. In between periods with Latham, she clerked for the US District Court for the Northern District of Illinois. She holds a BA in economics from Swarthmore College and a JD from Northwestern University School of Law.



## Bruce D. Cameron

**Director, Food Security and  
Project Finance, Overseas Private  
Investment Corporation (OPIC)**

Bruce D. Cameron is the director, Ag and Project Finance, at the Overseas Private Investment Corporation, the development finance institution of the US government. He has been at OPIC for 23 years and has worked on projects in a wide range of sectors and countries, currently focusing on the Agribusiness and Food sector. OPIC is actively seeking and working to develop game-changing projects and financial facilities for this sector and is reaching far and wide to bring non-traditional parties together to discuss support from OPIC.

Cameron previously worked for McDermott International's Washington Operations Office, a multinational energy services company, on issues ranging from power project development, oil, and gas, to defense and energy government contracts. He also worked as an architectural staff member at the Northern Virginia architectural firm of Temple Washington and Associates.

Cameron holds an MBA as well as a professional architecture degree from Virginia Polytechnic Institute and State University (Virginia Tech).



## Jackie Camp

**Partner, Womble Bond Dickinson**

Jackie Camp is a partner at Womble Bond Dickinson law firm, serving clients across every business sector in 26 locations in the UK and US. She is an experienced corporate lawyer with both borrower- and lender-side experience. Camp began her legal career in 1988 at Ropes & Gray in Boston, where she had a more general corporate practice. In the late-1990s, she began to focus more exclusively on debt financing.

Camp has extensive experience representing lenders in international financings. At Womble Bond Dickinson, she leads a team of mostly women attorneys, who regularly represent two US governmental agencies and a private equity company specializing in international project finance, export finance, and micro lending. Camp's team has negotiated, documented, and closed impact investment financings around the globe, with particular emphasis on the developing world.



## Camille Canon

**Partner, Purpose Network**

Camille Canon is a partner of the Purpose Network, an international nonprofit organization dedicated to researching and promoting steward-ownership and alternative financing. Canon supports both startups and mid-sized businesses in their transitions to steward-ownership. She also manages the Purpose US research

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collaborations, partnership programs, and field building. Prior to Purpose, she led a multi-project real estate development initiative in Northern California that combined affordable housing, hospitality business, and community programming to revitalize a neighborhood. Canon graduated magna cum laude from Mount Holyoke College.



## Peter Cohen

### General Counsel,

### Partnership for New York City

Peter M. Cohen is general counsel of the Partnership Fund for New York City, a \$120 million social impact venture fund focused on creating jobs in New York City and growing the local economy. The fund's investments range broadly—from projects in inner-city neighborhoods that help provide employment and better services to underserved populations to those that catalyze the growth of emerging tech sectors such as life sciences and digital manufacturing.

Previously, Cohen practiced law at Paul, Weiss, Rifkind, Wharton & Garrison, where he represented publicly traded companies, financial advisors and institutions, and private equity investors in connection with a variety of public and private transactions.



## Theodore Colombo

### Lead Counsel, FinDev Canada

Theodore Colombo leads FinDev Canada's legal function. He began his legal career in private practice with McCarthy Tétrault in Montréal working on private and public M&A and securities offerings. He moved to London, qualifying as a solicitor while with Linklaters' Global Project Finance team, working on energy and infrastructure development and financing transactions around the world. Returning to Canada, Colombo was an investments lawyer at the Business Development Bank of Canada supporting the subordinate financing and venture capital groups and then counsel at Air Canada working on commercial, operational, and structured finance transactions.

He completed his legal studies at McGill University and is a member of the Ontario and Quebec Bar Associations. He also holds an MA in history and was a Parliamentary intern at the House of Commons in Ottawa.



## Constanza Connolly

### Associate, Estudio Beccar Varela

Constanza Connolly is senior associate of Beccar Varela (Argentina). Her practice areas include corporate law and mergers and acquisitions. She has broad expertise in developing businesses seeking positive social and environmental impact and its financial structuring, including

social impact bonds. She led the launch of the first social impact bond in the City of Buenos Aires.

Connolly founded the firm's impact business and, together with a group of professionals, provides strategic and legal services at the cutting edge of the rapidly growing impact economy. She is a member of the task force created by Argentina-Uruguay to promote impact investment in Argentina. She advises on the design and development of impact funds with a view to mobilize sustainable investments or focused on sound ESG (environmental, social, and governance) practice.

Connolly was elected president of the Latin America Committee that brings together the B Lawyers of the region to promote the B Corporations. She was named "Lawyer of the Year" at the 2017 TrustLaw Awards, the Thomson Reuters Foundation's annual celebration of outstanding pro bono work. She has authored several articles about corporate law and has been a speaker at various conferences. Her most recent publications include: "Legal Guide for Social Ventures in Argentina: Which is the Most Suitable Structure to Create a Social Company?" (Thomson Reuters Foundation, 2016).



## Leslie Cornell

### Associate General Counsel, Social Finance

Leslie Cornell is the associate general counsel at Social Finance. Prior to Social Finance, she was a public finance attorney, serving as bond counsel, underwriter's counsel, and borrower's counsel for a wide variety of public finance transactions,

including tax-exempt conduit financings for nonprofit universities, hospitals, health care systems, and cultural institutions. Her work also has included participation with Pay for Success financings, representing social service providers, investors, municipal entities, and intermediaries.



**Stephanie Dangel**  
**Executive Director, Innovation Practice Institute; Adjunct Professor of Law, University of Pittsburgh School of Law**

Stephanie Dangel is the executive director of the Innovation Practice Institute (IPI) at the University of Pittsburgh School of Law (Pitt Law School). The mission of the IPI is to train law students to be and to serve innovators and entrepreneurs, with a special emphasis on social innovation and impact investing. For more information on the IPI, see <http://innovation-practice.net/>.

Prior to joining Pitt Law School, Dangel enjoyed successful careers as a practicing lawyer, social entrepreneur, and documentary filmmaker. She graduated from the Wharton School at the University of Pennsylvania, Yale Law School, and Oxford University, where she was a Rhodes Scholar.

Early in her legal career, Dangel had the honor of clerking for Judge Pierre Leval at the US District Court in the Southern District of New York and Justice Harry Blackmun of the US Supreme Court. She also practiced law as an associate at K&L Gates in Pittsburgh. She then pursued an interest in social entrepreneurship and entertainment, which resulted in her producing two documentaries and

holding leadership positions at the Steeltown Entertainment Project, a Pittsburgh-based social enterprise.



**Kevin Davis**  
**Beller Family Professor of Business Law, New York University School of Law**

Kevin Davis joined the New York University School of Law as professor of law in 2004. He was formerly a tenured member of the University of Toronto's Faculty of Law. He teaches courses on contracts, law and development, and secured transactions, as well as seminars on financing development and contract theory. His current research is focused on contract law, the governance of financial transactions involving developing countries, and the general relationship between law and economic development.

Professor Davis received his BA in Economics from McGill University in 1990. After graduating with an LLB from the University of Toronto in 1993, he served as law clerk to Justice John Sopinka of the Supreme Court of Canada and later as an associate in the Toronto office of Torys, a Canadian law firm. After receiving an LLM from Columbia University in 1996, he was appointed an assistant professor at the University of Toronto and was promoted to associate professor in 2001. He has also been a visiting assistant professor at the University of Southern California, a visiting fellow at Cambridge University's Clare Hall, and a visiting lecturer at the University of the West Indies in Barbados. He came to NYU School of Law as a visiting professor in 2003.



**Steven Dean**  
**Professor and Faculty Director, Graduate Tax Program, New York University School of Law**

Steven Dean is currently the faculty director of the Graduate Tax Program at NYU Law. His scholarship focuses on tax law and social enterprise law. Professor Dean has collaborated with Professor Dana Brakman Reiser on a number of projects, including Social Enterprise and the Law: Trust, Public Benefit and Capital Markets (Oxford University Press 2017), exploring the role of the law in the growth of social enterprise. Other work has considered unconventional solutions to longstanding problems such as tax havens, regulatory complexity, and tax shelters. Professor Dean is a member of the Executive Committee of the New York State Bar Association's Tax Section. Before teaching, he worked as an associate at two global law firms. He graduated from Yale Law School.



**Ana Demel**  
**Adjunct Professor of Law, New York University School of Law member of the board, Vice-chair; Chair of the Governance Committee, Pro Mujer Inc.**

Ana Demel is a member of the board, vice-chair, and chair of the Governance Committee of Pro Mujer Inc., a New York-based not-for-profit dedicated to women's empowerment through health services, training, and microfinance in five countries in Latin America.

# Conference Speakers

Demel also teaches The Law and Business of Social Enterprise, Financing Development, and Project Finance at New York University School of Law. Prior to 2009, was a partner at the international law firm of Cleary Gottlieb Steen & Hamilton, where her practice focused on international financings and business transactions, particularly in Latin America. At Cleary Gottlieb, she advised private and public sector clients on a variety of transactions, including structured finance and project finance, as well as sovereign debt restructurings and mergers and acquisitions. In addition, was involved in pro bono matters involving microfinance.

Demel was distinguished by Chambers USA America's Leading Lawyers for Business for Latin American investment and Chambers Latin America's Leading Lawyers for Business for corporate/M&A. She received a JD from New York University School of Law in 1986, where she was a note editor of the Law Review, and an undergraduate degree, magna cum laude, from Brandeis University. She is a member of the bar in New York. Her native language is Spanish.



**Laurent Develle**  
**Of Counsel, Froriep**

Laurent Develle, of counsel at Swiss law firm Froriep in Geneva, has been advising clients on corporate, M&As, environmental, and finance matters for over 25 years. He spent most of his legal career abroad, including over 10 years in Japan as a partner of a major US law firm. He has also worked for several years as group general counsel, compliance and sustainability officer, and Executive Committee

member of several multinational companies in the power industry (utilities and renewables) and commodities trading businesses (agriculture, bio-energy, and food value chains).

Develle is passionate about bridging planet conservation, sustainable business models, business innovation, technology, and legal and policy standpoints, especially in the renewable energy, commodities, agritech, and mobility sectors. Expert at the World Commission Environmental Law of the IUCN in Switzerland, he participated in the working group on Access Benefit Sharing to Marine Genetic Resources. As chair of the Switzerland Chapter of New York-based The Explorers Club, he is active in promoting sustainability and innovative solutions through scientific exploration. Develle is also a member of the Environmental Lawyers Club (Club des Avocats Environnementalistes) (France).

A French-qualified "Avocat," he also graduated from the Institute of Political Studies of Paris ("Institut d'Etudes Politiques de Paris") and from Harvard Business School (AMP).



**Susan de Witt**  
**Senior Project Manager—**  
**Innovative Finance, Bertha**  
**Centre for Social Innovation &**  
**Entrepreneurship, Graduate School**  
**of Business, University of Cape Town**

Dr. Susan de Witt is the Innovative Finance senior advisor at the Bertha Centre for Social Innovation and Entrepreneurship at the Graduate School of Business in Cape Town. She is currently heading up the secretariat for the Impact Investing

National Advisory Board. She is also working with National Treasury in South Africa to help integrate outcomes-based contracting mechanisms into supply chain management, as well as with line departments and private donors to design outcomes-based funds and impact bonds. Those projects cross the spectrum of early childhood development, access to SME finance, workforce development, job creation, and health. Previously, de Witt worked with the Social Investment and Finance Team in the UK Cabinet Office engaging with social impact bonds specifically and social investment policy more broadly. She has worked as a veterinary surgeon in both the public and private sector, in South Africa and abroad, and holds an MBA from the UCT Graduate School of Business and a BVSc from the University of Pretoria.



**Edward Diener**  
**Chief Operating Officer and**  
**General Counsel, King Philanthropies**

Edward Diener is the chief operating officer and general counsel at King Philanthropies. He provides expertise and leadership regarding financial, operational, legal, governance, investment stewardship, risk management, and tax matters. He is also nationally recognized as a leading practitioner in program-related investing. Prior to working at King Philanthropies, Diener worked for almost 13 years as the general counsel of the Skoll Foundation after consulting for that foundation for about a year. He was the vice president of Finance & Administration at the Omidyar Foundation (predecessor to the Omidyar Network) in 2003-2004. From 1996 to 2002, he worked at the

Packard Foundation in senior legal and finance positions. A California attorney and certified public accountant, Diener practiced commercial and corporate law for 17 years prior to entering the philanthropic sector. He holds a JD from the UC Berkeley School of Law and a bachelor's degree in accounting from Bowling Green State University.



## Lucas Diez-Suarez

**Compliance Counsel,  
International Finance Corporation,  
World Bank Group**

As Compliance counsel in IFC, Lucas Diez-Suarez provides legal advice in respect to integrity matters affecting IFC transactions, including AML/CFT regulations, anti-corruption laws, economic sanctions, tax evasion and abuse, and crisis management related to significant integrity matters. He is also IFC's liaison for World Bank Group debarments and sanctions matters.

Before joining IFC, Diez-Suarez worked as Compliance specialist for the Inter-American Development Bank, where among other things, he was responsible for developing AML/CFT policies and for assessing the AML/CFT controls of financial institution clients. Prior to that, he worked as general counsel for a private equity firm and spent five years in China as a lawyer advising multi-national companies in cross-border transactions.

He holds law degrees from University of Oviedo (Spain) and Columbia University and is admitted to the practice of law in New York and in Spain.



## Ryan Dings

**Chief Operating Officer, Sunwealth**

A 2018 *Boston Business Journal* 40 Under 40 honoree, Ryan Dings is an experienced clean technology executive with a deep commitment to impact investment. As chief operating officer of Sunwealth, an impact investment firm focused on the commercial solar market, he leads the development of Sunwealth's community of clean energy investors. In addition to his role at Sunwealth, Dings serves as the chair of the board of directors of the Social Innovation Forum, Boston's leading community for social impact engagement and connection.

Prior to joining Sunwealth, Dings served as vice president, general counsel, and corporate secretary of Blu Homes, Inc., a leading, sustainably focused prefab home builder. He started his career practicing commercial real estate and construction law at a boutique firm in Charlotte, North Carolina. He holds graduate degrees in law from Wake Forest University School of Law and in design from Harvard University Graduate School of Design, and a bachelor of arts from UNC-Chapel Hill.



## Anmay Dittman

**Director, BlackRock**

Anmay Dittman, director, is a member of the BlackRock Real Assets business within BlackRock Alternative Investors, which manages over \$45 billion in equity and debt investments and investor commitments.

Dittman is responsible for facilitating transaction executions and product development for BlackRock Real Assets, advising on investment and divestiture strategy, structuring and execution, due diligence review, negotiation of deal documentation, portfolio optimization, and asset management.

Prior to joining BlackRock, she was a director and senior counsel at Deutsche Bank, where she headed the legal coverage in the Americas for the Global Investment Solutions business. Prior to Deutsche Bank, Dittman was a vice president and associate general counsel at Goldman Sachs and an executive director at Morgan Stanley. She began her career as an associate at Shearman & Sterling, where she concentrated primarily on project and structured finance. She holds a BA in journalism and politics from New York University and a JD from New York University School of Law.

# Conference Speakers



## Sarah Dobson

### Executive Director, ESELA

Sarah Dobson is the executive director of ESELA – The Legal Network for Social Impact, a global network of lawyers, advisors, academics, and businesses working to create a sustainable economy that promotes positive social impact. She is also the part-time Impact Economy manager at Bates Wells, the first and only UK law firm to become a B Corp.

Dobson holds qualifications in Law and English and was called to the Bar of England and Wales. She has a background working for justice charities as head of Trusts for the Personal Support Unit and Trusts manager for African Prisons Project.

She is a Fellow of On Purpose, the profit with purpose leadership program, through which she worked at Big Society Capital—the UK’s social investment wholesaler—on the establishment of a CDFI investment facility, assessment of a venture capital fund proposition, and engagement with local government pension schemes. She also worked directly with intermediaries to support their organizational development and resilience.

Dobson is chair of trustees of KDC Theatre, a central London arts charity.



## Timothy W. Docking

### Managing Director, Refugee Investment Network

Tim Docking is managing director for the Refugee Investment Network (RIN), the first impact investing and blended-finance collaborative dedicated to creating long-term solutions to global forced migration. He is based in Washington, DC, and has 20 years of private and public sector experience.

As an intrapreneur, he worked at the intersection of business, technology, and international development building a \$100M revenue stream at IBM; as a public sector executive, he helped start up the Millennium Challenge Corporation (US government agency); and as a scholar, he directed Africa research at a DC think tank.

Docking has testified before Congress, published and commented widely in the media, is a member of multiple national and international boards and has helped form and implement policy at the highest levels of government as a White House Fellow. He holds a PhD in comparative politics from Boston University and has lived and worked in more than 40 countries.



## Robert Esposito

### Associate, Paul, Weiss, Rifkind, Wharton & Garrison

Rob Esposito is a private funds associate in the New York office of Paul, Weiss, Rifkind, Wharton & Garrison. He counsels private equity fund clients and their portfolio companies in connection with responsible investing (RI) and environmental, social, and governance (ESG) issues relating to fund formation and fundraising, side letter negotiation, RI policies and procedures, ESG due diligence, ESG reporting to limited partners, and ESG regulatory matters.

Prior to joining Paul, Weiss, Esposito was an M&A and private funds attorney in the New York offices of two international law firms. Previously, he served as a Jacobson Fellow in Law & Social Enterprise at New York University School of Law, where he co-created the Social Enterprise Law Tracker and published scholarship focused on social enterprise law and impact investing. His work has been published in the NYU Journal of Law and Business, the William & Mary Business Law Review, and the Stanford Social Innovation Review. He is a professional lecturer in law on the adjunct faculty at George Washington University Law School and is a frequent speaker on ESG, sustainability, impact investing, and social enterprise topics.

Esposito received his LLM, with highest honors, from George Washington University Law School, his JD from Wake Forest University School of Law, and his BA from Dartmouth College.



## Jason R. Factor

**Partner, Cleary Gottlieb  
Steen & Hamilton**

Jason R. Factor is a partner at Cleary Gottlieb. His practice focuses on tax matters. He has significant experience with private equity and hedge funds, partnership and compensation issues, joint venture arrangements, domestic and international acquisitions and divestitures, private clients' tax work, real estate, and financing transactions. Select notable clients include General Mills, The Home Depot, Honeywell, International Flavors and Fragrances, The Raine Group, Samsonite, TPG Sixth Street Partners, Warburg Pincus, and Western Digital, among others. He has published several articles on issues related to taxation and employment compensation, and he leads the firm's efforts with respect to tax analysis arising from the Opportunity Zones program. Factor has been recognized as a leading tax advisor by *Chambers Global*, *Chambers USA*, *Law360*, *The Legal 500 U.S.*, *Tax Directors Handbook*, *Turnarounds and Workouts*, and *Who's Who Legal*. He joined Cleary in 1997 and became a partner in 2005. Factor earned a JD, magna cum laude, from the University of Michigan Law School and a BA, magna cum laude, from Harvard College.



## Anne Field

**Journalist, *Forbes***

Anne Field is an award-winning business journalist, writer, and editor who focuses on entrepreneurship, social enterprise, and impact investing. Field's work has been published in *Crain's New York Business*, CNBC.com, *Locavesting*, the *New York Times*, and many other places. Her blog *Not Only for Profit*, which covers social entrepreneurship and impact investing, appears in *Forbes*.

Field also has a deep background writing about financial services, supply chain issues, and management. And she taps her long career covering business to produce content for consulting firms, foundations, non-profits, and other organizations.

Before starting work as a freelancer, Field was on the staff of such publications as *Business Week*, *Business Month*, and *Success*. She is the winner of many awards, including the Jesse H. Neal Award for Best How-To Article and the American Society of Business Publication Editors Award for Best Case Study. She was also featured in the *Denver Business Journal's* 2018 Who's Who in Impact Investing special issue. Field attended the Columbia University Graduate School of Journalism and Wesleyan University. She lives in New Rochelle, New York.



## Elizabeth Fine

**General Counsel and  
Executive Vice President,  
Empire State Development**

Elizabeth Fine is general counsel and executive vice president of Empire State Development. She is chief legal officer of New York State's economic development agency, with \$11 billion in infrastructure and business projects, annual bonding issuances of \$2 billion, and public private partnerships with businesses throughout the state. Fine previously served as general counsel to the New York City Council from 2006 to 2014, responsible for all aspects of legal representation for the Council and its members. Her career has included seven years as a senior US Department of Justice official, service as special White House counsel to President Clinton, counsel to the Judiciary Committee of the US House of Representatives, and counsel to the Spence Chapin adoption agency. She is a graduate of Brown University and New York University School of Law and completed a teaching fellowship at Georgetown University Law Center.

# Conference Speakers



## Gary Ford

### President and Chief Executive Officer, MCE Social Capital

Gary Ford currently serves as president and CEO of MCE Social Capital, a nonprofit impact investment firm that uses philanthropic guarantees to mobilize capital and generate economic opportunities for people throughout the developing world. He is an attorney, executive, and impact investor who focuses on market-driven approaches to help people lift themselves out of poverty. Since 2006, MCE has made over \$187 million in loans to microfinance institutions and small and growing businesses to promote financial inclusion, stimulate job creation, and provide people living in poverty, particularly women, access to micro-credit, savings, health care, insurance, education, and other services. Ford has been an MCE guarantor since 2007.

He has served as ERISA counsel to the Senate Committee on Labor and Human Resources, general counsel to the federal Pension Benefit Guaranty Corporation, and managing principal of Groom Law Group in Washington, DC.

Ford also serves as a member of the executive committee of the board of the Synergos Institute. He is a member of the Global Philanthropists Circle and the Investment Advisory Committee for the Sarona Frontier Markets Fund. He lives with his wife, Nancy Ebb, in Bethesda, Maryland, within hailing distance of their sons, Mike and Dan.



## Kate Geder

### Assistant General Counsel, Overseas Private Investment Corporation (OPIC)

Katherine (Kate) Geder is an assistant general counsel for the Overseas Private Investment Corporation (OPIC). At OPIC, she has worked on several transactions with blended finance structures in the renewable energy and water and sanitation sectors. Before OPIC, Geder was a project finance lawyer for Chadbourne & Parke (now Norton Rose Fulbright), where she represented primarily development finance institutions, and Cooley, where she represented primarily sponsors of US energy projects. She is a graduate of Washington and Lee University and Washington and Lee University School of Law.



## David Geral

### Partner and Head of Banking and Financial Services Regulatory, Bowmans

David Geral is a partner in Bowmans' Banking and Finance Department, and is the head of Bowmans' Banking and Financial Services Regulatory Practice. He specializes in pensions, health care, group insurances, and equity-linked incentive schemes. He advises local corporations, private and industry retirement funds, and medical schemes and their service providers on governance, contracting, compliance, and dispute resolution, as well as on matters before the Pension Funds

Adjudicator, the Council for Medical Schemes, the Competition Commission, the Financial Services Board Appeal Board, the Equality Court, and the High Court. He supervises due diligences on these matters and advises on various transactions involving pension and medical benefits transfers.

Geral has BA and LLB degrees from the University of Cape Town. He holds a certificate in Impact Investing in Africa from the University of Cape Town and is a leading attorney in relation to impact investing, social impact bonds, and environmental, social, and governance related (ESG) investing in South Africa. He is a CEDR Accredited Commercial Mediator and a notary.

Some of Geral's recent, notable matters include advising one of South Africa's largest pension fund administrators in relation to an industry-wide Financial Services Board inspection into alleged irregular pension fund deregistrations; representing Transnet (the South African State Rail Utility) in defense of the country's first pensioner class action; performing a benefits due diligence and providing advisory services, compliance, and regulatory advice on the Alexander Forbes Group private equity exit and listing; and performing a benefits due diligence and providing advisory services regarding Marriott Hotels' acquisition of Protea Hotels' administration business in 2013.

*Chambers and Partners 2019* ranked Geral as a Recognised Practitioner for Insurance. He was also ranked in Band 3 by *Chambers and Partners* for his work in Fintech.



## Dorcas R. Gilmore

**Visiting Associate Professor of Law,  
University of Maryland Carey  
School of Law; Principal,  
Gilmore Khandhar**

Dorcas R. Gilmore is a principal of Gilmore Khandhar, LLC, a solidarity economies law firm, representing social enterprises, businesses, and nonprofit organizations at startup and growth phases. She is also the director of the Small Business & Community Equity Development Clinic at the University of Maryland Carey School of Law. She was a visiting associate professor in the Small Business & Community Economic Development Law Clinic at George Washington University Law School and practitioner in residence in the Community Development Law Clinic at American University Washington College of Law. Before clinical law teaching, Gilmore was an assistant general counsel for the NAACP representing the national office and its over 1,000 local and state affiliates as in-house corporate counsel and advocacy counsel focused on economic and environmental justice. Gilmore began her legal career as a Skadden Fellow and staff attorney at the Community Law Center, Inc. creating the Youth Entrepreneurship Initiative to provide business legal services to youth-led businesses, organizations, and social ventures and the Equitable Development Project to assist communities in developing and negotiating community benefits agreements.

Gilmore is a 2012-2013 Wasserstein Public Interest Fellow at Harvard Law School and 2013 American Express NGen Fellow. She is the chair of the ABA Business Law Section's

Community Economic Development Committee and former Governing Committee member of the ABA Forum on Affordable Housing & Community Development Law. She is the co-editor of the ABA publication *Building Community Resilience Post-Disaster: A Guide for Affordable Housing & Community Economic Development Practitioners* and a forthcoming book, *Investing for Social and Economic Impact: A Guide for Lawyers and Practitioners*.



## Emiliano Giovine

**Associate, R&P Legal Associato**

Emiliano Giovine is an Italian lawyer operating mainly in corporate law and social business, with several years of international experience working as a UN consultant at the United Nations Environment Programme (UNEP) and as legal officer at the Joint Research Centre of the European Commission.

He holds a master's degree in environmental law and management from Ca' Foscari University in Venice and is a PhD candidate in international public law at Utrecht University, focusing mainly on human rights and migration law.

Giovine gained experience and competences in the EU projects sector managing the legal section of various multidisciplinary partnerships within EU-funded projects and currently assists nonprofit entities, social entrepreneurs, and impact investors on a national and international scale. He is involved in different impact investing projects also related to integration and assistance of asylum seekers and refugees.

Giovine is a tutor of the Human Rights and Migration Law Clinic at the University of Turin, and he collaborates with Politecnico of Milan supporting research and projects focused on social innovation carried out by Tiresia International Research Centre.



## Nicholas Glicher

**Chief Operating Officer,  
Thomson Reuters Foundation**

Nick Glicher is chief operating officer of the Thomson Reuters Foundation. During his time at the foundation, he has been director of TrustLaw, the world's largest legal pro bono service, and also spent three years based in Johannesburg, where he was head of African Programmes.

Glicher has a special focus on social innovation and the social economy and designed and leads the foundation's Social Enterprise and Impact Investing legal training courses. He also leads the foundation's work on slavery in supply chains, looking after the Stop Slavery Awards and developing other tools designed to help promote transparency in supply chains and operations.

Glicher is a Fellow of the Royal Society of Arts and a non-executive director of TSIP, the Social Innovation Consultancy. He sits on the board of Impact Terms Project, as well as a number of other advisory boards and steering committees in the impact economy. Prior to joining the foundation, he worked as a lawyer specializing in finance and banking law in the London and Chicago offices of Mayer Brown. He also worked in the Debt Capital Markets division at the Royal Bank of Scotland, focusing on emerging market transactions.

# Conference Speakers



## Steven Godeke

**Founder and Principal,  
Godeke Consulting**

Through his independent consulting practice, Steve Godeke connects families, foundations, and funds to the right impact investing partners and resources. Godeke Consulting leads independent advisor searches for asset owners and works with investment managers and funds to deepen the environmental and social impact of their offerings. Prior to establishing his own firm, Godeke worked for 12 years in corporate and project finance with Deutsche Bank, where he structured debt and equity products and advised corporate clients in the natural resources, telecommunications, media, and real estate industries.

Godeke is board chair and a member of the Finance Committee of the Jessie Smith Noyes Foundation, a \$60 million private foundation with a long history of integrating its investments and social justice mission. He is also an adjunct professor of finance at New York University's Stern School of Business, where he teaches investing for environmental and social impact and impact investing in family offices.

Godeke grew up on a family farm in Southern Indiana and attended Purdue University, where he received a BS in management and a BA in German. He studied as a Fulbright Scholar at the University of Cologne and earned an MPA from Harvard University.



## Neil Golden

**Partner, Orrick,  
Herrington & Sutcliffe**

Neil Golden has more than four decades of experience representing energy clients in complex equity and debt financings, project acquisitions and divestitures, and other significant corporate transactions. He has represented major project developers, equity investors, and lenders in the independent energy industry, in the United States and internationally.

In recent years, Golden has worked extensively on the development and financing of renewable energy projects involving wind, solar, biomass, and fuel cells, and on alternative fuels projects in the ethanol industry. He has also worked extensively on the development and financing of conventional power generation facilities. His corporate and financing experience has included representation of clients in syndicated bank financings, financings by multilateral and bilateral agencies, Rule 144A debt offerings, sale-leaseback financings, construction loans, formation of joint ventures and partnerships, equity investments, and the purchase and sale of equity interests in projects.

Internationally, Golden has represented sponsors of power projects and electric distribution companies in a number of countries, including Brazil, Argentina, Jamaica, Honduras, Bangladesh, Nepal, Colombia, Turkey, the Dominican Republic, and the People's Republic of China.



## Jay Grunin

**Co-Founder and Chairman,  
Jay and Linda Grunin Foundation**

In 1964, Jay Grunin graduated, with honors, from Brooklyn College. He knew he wanted to be a lawyer from the time he was 12 years old (medicine was also an option, but he was disabused of that career track when he was too squeamish to dissect a frog in high school).

At New York University School of Law, Grunin was an editor of the *NYU Law Review* and was selected as a John Norton Pomeroy Scholar.

After his second year of law school, he worked for the summer at a major Wall Street law firm. Upon graduation, and while awaiting his call to military basic training, he served as an assistant to an NYU Law professor who was teaching a seminar on legislative history. Returning from active duty, Grunin worked for a large midtown Manhattan law firm and then accepted an Appellate Division clerkship in New Jersey.

Finally, he took the advice of his NYU Law classmate and bride-to-be, Linda, and settled down in Toms River, a then-small town in Ocean County on the Jersey Shore. Jay and Linda Grunin practiced law together until the early 1990s, expanding their interests to include real estate and other investments. Thereafter, they devoted their full time and attention to their two greatest passions, their business investments and philanthropy. In 2013, they restructured their philanthropic endeavors by forming the Jay and Linda Grunin Foundation.



## John W. Haines

**Director, MercyCorps**

**Community Investment Trust**

John Haines has been the executive director of the Community Investment Trust with Mercy Corps Global Innovations Team since February 2018. Previously, he was executive director of Mercy Corps Northwest, the domestic arm of Mercy Corps, for 15 years. From 1997 to 2002, he was vice president of ShoreBank Pacific, a startup sustainable development bank in Portland, Oregon. From 1996 to 1997 he was senior finance advisor to the Czech National Environmental Fund in Prague, working for Chemonics. From 1994 to 1995 he was executive director of Trenton Business Assistance Corporation, an economic development loan fund in Trenton, New Jersey. From 1986 to 1991, he worked in various corporate banking and commercial lending positions with First Interstate Bank of Oregon (now Wells Fargo Bank). He is a graduate of the University of Wyoming and a native of Laramie, Wyoming.



## John Hamilton

**Counsel, Stradley Ronon**

**Stevens & Young**

John Hamilton is counsel in the Investment Management department at Stradley Ronon, focusing on private funds and sustainable investments. Prior to joining Stradley, he was at Morgan Stanley in Hong Kong as the Asia head of Business Consulting in the Prime Brokerage division, where

he provided strategic guidance to hedge fund managers in launching and scaling their businesses. Previously, he practiced as a private fund lawyer in New York at Willkie Farr & Gallagher and served as in-house counsel at Highbridge Capital Management, LLC.



## Christopher P. Healey

**Associate, Simpson**

**Thacher & Bartlett**

Christopher Healey is a senior associate in Simpson Thacher & Bartlett's Registered Funds group. His practice focuses on matters related to business development companies, registered funds, investment advisors, fund boards, and asset management M&A transactions. His practice includes counseling clients on novel SEC regulatory issues, including co-investment, investment company status, and other types of exemptive relief. Healey is co-chair of the Investment Management and Broker-Dealer Regulation Committee of the Bar Association of the District of Columbia and has co-authored numerous articles in industry publications, including the *Investment Lawyer*, *BoardIQ*, *Fund Directions*, and *Fund Board Views*. Additionally, he is a key contributor to Simpson Thacher's quarterly *Registered Funds Alert*.

Healey received his JD from George Washington University Law School, where he was an executive editor for the *George Washington Law Review*, served as student director and Legal Fellow for the Small Business and Community Economic Development Clinic, and interned for the Investment Company Institute and the SEC.

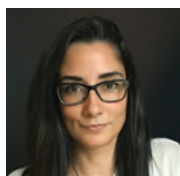


## Joan MacLeod Heminway

**Professor of Law, The University of Tennessee College of Law**

Joan MacLeod Heminway is the Rick Rose Distinguished Professor of Law at The University of Tennessee (UT) College of Law in Knoxville and a Fellow of UT-Knoxville's Center for Corporate Governance and Center for the Study of Social Justice. When she joined the UT College of Law faculty in 2000, Professor Heminway had completed nearly 15 years of corporate transactional law practice (public offerings, private placements, mergers, acquisitions, dispositions, and restructurings) in the Boston office of Skadden, Arps, Slate, Meagher & Flom. Professor Heminway's scholarship focuses on securities disclosure law and policy (especially under Rule 10b-5) and business governance and finance issues (including as they relate to crowdfunding and entrepreneurship) under federal and state law. She is an elected member of the American Law Institute and is admitted to practice in Tennessee (2000) and Massachusetts (1985, inactive). Her academic work has been published in a variety of books and law reviews, and she is a co-editor of the *Business Law Prof Blog*.

# Conference Speakers



## Carolina Henriquez-Schmitz

**Executive Director, Grunin Center for Law and Social Entrepreneurship, New York University School of Law**

Carolina Henriquez-Schmitz is the founding executive director of the Grunin Center for Law and Social Entrepreneurship at New York University School of Law. She is an international attorney that has spent the better part of the past decade working to educate and empower other lawyers to be proactive leaders in driving social change. Henriquez-Schmitz regularly speaks on issues of access to justice, social impact and social enterprise, impact investing and social finance innovations, human trafficking and modern slavery, environmental laws and climate change, and innovations delivery of legal education and legal services.

Prior to joining the Grunin Center, she was regional lead and legal manager at the Thomson Reuters Foundation, where she worked with social impact partners around the world to develop strategic resources and capacity-building opportunities in the field of social enterprise and impact investing. In that capacity, she spearheaded the development of the annual TrustLaw Social Enterprise and Impact Investing Training and expanded an award-winning pro bono service that facilitates free legal support for social enterprises, educates lawyers to more effectively serve the needs of social entrepreneurs, and develops legal research and tools that strengthen the impact investing ecosystem.

Earlier in her career, Henriquez-Schmitz worked in private practice at two leading international law firms, where she specialized in international finance, dispute resolution, and business and human rights. She was also a legal expert for the ABA Center for Human Rights and previously worked as a Legal Fellow for the Zambian Law Development Commission and at the World Bank in Latin America.

She is a dual-qualified lawyer and holds an LLM from Harvard Law School, where she studied international finance and development. She currently serves on the Advisory Board of the Impact Terms Project and on the Board of Directors of the Thomson Reuters Foundation Inc.



## Will Hogan

**Impact Business Development, Bequia Securities, LLC and SVX US**

Will Hogan is a builder of community and collaboration in impact, focused on capital formation for impact businesses, projects, and funds. Over his career, he has often led cross-functional project teams to originate commercial and project financings and build investment products. Recent focus impact themes include renewable energy generation and storage; health and wellness; inequality, diversity and inclusion; sustainable agriculture+food; and real estate. He is also focused on ways Opportunity Zone incentives may be utilized to generate positive Impact.

Hogan has driven new business initiatives within and across organizations at firms such as Amalgamated Bank, Aetos Capital, Morgan Stanley, and Ernst & Young. He serves on

the Board of Directors of Jacob Riis Neighborhood Settlement in Queens, New York. He also serves as a guide for The Resolution Project, which fosters social entrepreneurship on college campuses globally. He has served on the CAIA Association's New York Chapter Committee and as a judge and mentor in social venture business plan competitions at NYU Stern and the Mentor Capital Network.

Hogan holds an MBA from NYU Stern, an AB from the University of Chicago, the Chartered Alternative Investment Analyst designation, and the Sustainable Investment Professional Certificate from Concordia University (Montreal). He also holds Series 7 & 63 securities licenses.



## Rutherford Hubbard

**Legal Counsel, Dutch Development Bank (FMO)**

Rutherford Hubbard is a legal counsel for the Netherlands Development Finance Company (FMO), specializing in renewable energy project finance and equity investment in frontier markets. With a global portfolio of energy projects, he has managed innovative debt and equity deals in Asia, Africa, Latin America, and the Middle East.

Hubbard has nearly a decade of experience living and working in South Asia, Southeast Asia, and the Caucasus, in both private practice and development policy. In addition, he has served as a senior researcher and technical advisor to Transparency International on corruption in the private sector, in Sri Lanka and Cambodia.

Hubbard holds a JD and a master's degree in corruption in post-conflict markets from the University of Michigan and is a recipient of the Bates Fellowship in International Law. He is also a regular guest lecturer in international finance law at the Royal University of Cambodia.



## Adam Huttler

**Managing General Partner,  
Exponential Creativity Ventures**

Adam Huttler is the founder and managing general partner of Exponential Creativity Ventures. As a six-time founder, his career's through-line has been about helping mission-driven companies use technology to drive innovative revenue strategies.

Huttler is best known as the founder of Fractured Atlas, a social enterprise SaaS platform that helps artists and creative businesses thrive. During his 20 years as CEO, the organization grew from a one-man-band housed in an East Harlem studio apartment to a broad-based infrastructure provider reaching over 1.5 million artists across North America and distributing over \$250 million to support their work.

Huttler has a BA in theater from Sarah Lawrence College and an MBA from New York University and is a self-taught software developer. He is also an alumnus of Singularity University's Executive Program and UC Berkeley's Venture Capital Executive Program. He was named to Crain's New York Business' 2016 "40 Under Forty" class and was listed by Barry's Blog as one of the top 10 "Most Powerful and Influential Leaders in Nonprofit Arts" for five consecutive years.



## Tomer Inbar

**Partner, Patterson Belknap**

Tomer Inbar represents US and international tax-exempt organizations (and for-profit organizations that deal with them) in a broad range of structural and operating matters, including tax and corporate issues, impact and charitable investing, regulatory compliance, governance, operational policies and procedures, audits, unrelated business income tax issues, and executive compensation matters.

Inbar regularly advises on an array of corporate transactions and structures involving tax-exempt organizations, such as joint ventures and the establishment of for-profit subsidiaries, corporate restructuring, private equity fund formation, hybrid structures, and licensing and service arrangements. Among his clients are public charities, private foundations, colleges and universities, environmental conservation groups, economic development organizations, advocacy groups, and museums and cultural institutions, many of which are active worldwide. Inbar is a regular speaker at programs for tax-exempt organizations. Recent topics have focused on charitable business formation, structuring program and mission-related investments, charitable investment funds, lobbying and political campaign activities, the fiduciary aspects of program and mission-related investing, aggregating capital for social good, charitable issues relating to energy and the environment, self-dealing and conflicts of interest, crisis management and communications, and board governance considerations and liability concerns.



## Dan Jackson

**Executive Director,  
NuLawLab–Northeastern  
University School of Law**

Dan Jackson directs the NuLawLab at Northeastern University School of Law, an interdisciplinary innovation laboratory working to merge creative arts and law to create new models of legal empowerment. He is a 1997 graduate of Northeastern Law and a 1990 graduate of Northwestern University. Following a postgraduate clerkship with Judge Hugh H. Bownes at the US Court of Appeals for the First Circuit, Jackson worked for 13 years with the law firm of Bingham McCutchen, ultimately serving as the firm's director of attorney development after practicing in the employment law group. Prior to law school, he worked as a designer for theater. He continues to do so, most recently with the Provincetown Tennessee Williams Theater Festival and The Provincetown Theater.

# Conference Speakers



## Todd Johnson

**Founder and Chief Executive Officer, iPar**

Todd Johnson is founder and CEO of iPar, an impact transparency platform that facilitates the deployment of capital to create and encourage human flourishing. Built by a multi-family office that deploys more than \$1 billion for impact, iPar is designed to aggregate, visualize, and report impact data for all types of capital deployment.

Previously, during 29 years as a lawyer, partner, and leader at Jones Day, Johnson founded and led the firm's Northern California presence with the opening of its Silicon Valley office and its global Renewable Energy and Sustainability practice. At Jones Day, he provided social entrepreneurship and impact investing leadership dating back to 2000—counseling, advising, and representing organizations, entrepreneurs, venture and private equity funds, family offices, foundations, and public charities seeking to address some of the world's most challenging problems, in some of the world's hardest places.

In addition to his role at iPar, Johnson serves as board chair of Activation Energy, the nonprofit that runs the Cyclotron Road program with the Lawrence Berkeley National Laboratory and the US Department of Energy, providing acceleration tools and commercialization on-ramps for climate and energy research being conducted by the best and brightest graduate students in the United States.



## Susan R. Jones

**Professor of Clinical Law,  
George Washington University  
Law School**

Susan R. Jones is a professor of clinical law and a member of the full-time faculty at George Washington University Law School, where she is the director and supervising attorney of the Small Business & Community Economic Development Clinic (SBCEC Clinic). An active member of the District of Columbia Bar, she served as vice-chair to the DC Bar Community & Economic Development Pro Bono Project Advisory Committee. She was a distinguished visiting professor at the University of Maryland School of Law and the Haywood Burns Visiting Chair in Civil Rights at the City University of New York School of Law at Queens College.

A former chair of the Association of American Law Schools (AALS) Section on Clinical Legal Education, Jones has held several other AALS leadership positions. She was an executive committee member and chair of the Section on Africa, a member of the Standing Committee on Clinical Legal Education, chair of the Section on Poverty Law, an executive committee member of the Section on Transactional Law and Skills, and co-chair of the Transactional Clinics Committee of the Clinical Section.

In addition to her work with the AALS, Jones has also been a leader in the American Bar Association (ABA), serving as vice-chair of the Economic Justice Committee, Section of Civil Rights and Social Justice, on the governing committee of Forum on Affordable Housing

and Community Development Law, editor-in-chief and senior editor of the *Journal of Affordable Housing and Community Development Law*, and co-chair of the Forum's Legal Educators' Practice Division. She is a co-founder and past co-chair of the Community Economic Development Committee of the Section on Business Law, and she served on the Business Law Education Committee, as well as on the Commission on Homelessness and Poverty.

Jones was a Fannie Mae Foundation Fellow at the Harvard University Kennedy School of Government, and she is a member of Leadership Greater Washington. She was awarded Washington Area Lawyers for the Arts' Lifetime Achievement Award for distinctive service to the greater Washington, DC, creative community and for 20 years of service on the WALA Board of Directors. The Insight Center for Community Economic Development awarded her a leadership and innovation award.

She is the author of "A Legal Guide to Microenterprise Development" and co-editor of "Building Healthy Communities: A Guide to Community Economic Development for Advocates, Lawyers and Policymakers," both published by the ABA. In addition, she is co-editor of a forthcoming book, *Investing for Social and Economic Impact: A Guide for Lawyers and Practitioners*, scheduled for publication by the ABA in 2019.

Jones' scholarly interests include transactional law and practice, small and microbusiness development, social entrepreneurship, the creative economy, the platform economy, international/comparative community economic development, local economic development, nonprofit organizations, charitable giving, minority entrepreneurship, and social impact investing.



## John Katovich

### Founder and President, Cutting Edge Counsel

John Katovich founded Oakland, CA-based Cutting Edge Capital and Cutting Edge Counsel and co-founded SVX US, all committed to economic justice and democratic capitalism, fostering responsible and sustainable capital-raising strategies and operational practices. Cutting Edge Capital is the leading provider of direct public offerings, raising community funds from all kinds of investors.

Katovich held general counsel and chief regulatory officer positions for Pacific Stock Exchange, Boston Stock Exchange, and NASDAQ. He's also a principal of Bequia Securities, a broker dealer focused on providing impact capital to socially responsible businesses. He was general counsel for OptiMark Technologies, which revolutionized computer-based trading of institutional and large block orders, and general counsel of ePit, providing enterprise-class software for markets world-wide.

Katovich is a founding board member of SASB (Sustainable Accounting Standards Board) and The Food Commons, a nonprofit that shepherds an integrated system for community-owned and operated enterprises scaling local food accessibility. He taught capital markets at Presidio Graduate School's MBA program and options trading at UC Berkeley and INSEAD, France.

He holds Series 62, 24 and 63 Licenses with NASD and is law-licensed in California and Illinois. He has also been a regular contributor to the *Huffington Post*.



## Jeremy Keele

### Managing Partner and Co-Founder, Catalyst Opportunity Funds

Jeremy Keele is co-founder and managing partner of Catalyst Opportunity Funds, a double bottom-line investment firm focused on leveraging the Opportunity Zone incentive to maximize financial returns for investors and positive impacts for communities. Keele is a recognized national leader in impact investing and the Opportunity Zone program and brings a diverse range of cross-sector professional experiences in government, law, business, and academia. Prior to co-founding Catalyst, Keele was president and CEO of the Sorenson Impact Center, a prominent think tank focused on social impact and innovation. Prior to Sorenson, Jeremy was senior advisor to the mayor of his hometown, Salt Lake City. Before that, he was a corporate attorney with the law firms of Latham & Watkins and Cleary Gottlieb in New York, London, and Los Angeles. Keele received BS/BA degrees from Brigham Young University (2001), a JD from New York University School of Law (2006), and a master's degree in public administration from Harvard (2006). He is married with four boys.



## Kate Kilberg

### Partner, Catalyst Law

Kate Kilberg is a partner at Catalyst Law, LLC. Her practice focuses on complex estate planning and administration, philanthropy, nonprofit and tax-exempt organizations, social enterprise, and impact investing.

Kilberg has experience representing tax-exempt organizations, both large and small, including public charities, private foundations, community foundations, and social welfare organizations. She has advised tax-exempt organizations in a wide variety of contexts, such as qualification for tax-exempt status, corporate governance, political and lobbying activities, grantmaking, excise taxes, unrelated business income tax, joint ventures and for-profit subsidiaries, social enterprise, mission- and program-related investments, and other impact investing vehicles.

Kilberg is a member of the Estate Planning Council of Portland and former president of the Oregon State Bar Nonprofit Law Section. A graduate of Harvard Law School and the University of Michigan, Kate is licensed to practice law in Oregon, Washington, and the District of Columbia.

# Conference Speakers



## Christopher Kip

**Child Rights and Business Specialist, UNICEF**

Chris Kip works in UNICEF's Child Rights and Business Team, engaging business on their impact on children in the workplace and community. Based in Geneva, he leads work with international brands, financial investors, and sustainability platforms to improve child rights in global supply chains. He manages the Network on Child Rights in the Garment & Footwear Sector—a joint initiative with Norges Bank Investment Management (NBIM). Before joining UNICEF, he worked as a consultant supporting companies to integrate human rights due diligence into corporate sustainability strategies and activities. He holds an MA in political science and an LLM in international human rights law.



## Jonathan Klavens

**Principal, Klavens Law Group, PC**

Drawing on a diverse background in general corporate, securities, project development and finance, fund formation, energy transactional, energy regulatory, environmental, and nonprofit matters, Jonathan Klavens founded Klavens Law Group, PC in 2007 to help enable innovative ventures that have positive environmental or other social impact. His practice focuses on meeting the diverse legal needs of companies, investors, public entities, and nonprofits in the areas of clean energy/clean technology,

sustainable agriculture/aquaculture and food ventures, social enterprise, and impact investment. He previously practiced law at BCK Law, PC and Goodwin Procter and clerked for the US Court of Appeals for the First Circuit. He holds a BA from Columbia College, an MPP from the Harvard Kennedy School, and a JD from Northeastern University School of Law.



## Tatyana Levina Kleyman

**Counsel, Jane Street**

Tatyana Levina Kleyman is on the board of Impact Capital Forum, whose mission is to advance the impact investing and social enterprise sectors by providing a platform for innovation, collaboration, and thought leadership. She is also an attorney at Jane Street, a quantitative trading firm. Previously, she was vice president and counsel in the Global Markets division of BNP Paribas, where she was on the bank's Microfinance Business Networking Group Executive Committee and the Legal Department's inaugural Pro Bono Committee. She started her legal career in the Finance group of Fried Frank, an international law firm. Kleyman has been a business mentor through the Cherie Blair Foundation for Women, a board member of Global Youth Connect, and a volunteer with nonprofits in New York, Tanzania, Ecuador, Guatemala, and Mexico. She holds a BA from the University of Pennsylvania, a JD from New York University School of Law, and a Certificate in Global Affairs from NYU.



## Christina Koulias

**Senior Manager, Global Governance, United Nations Global Compact**

Christina Koulias serves as senior manager, Global Governance at the United Nations Global Compact ("UN Global Compact"), which is the world's largest corporate sustainability initiative. As part of her portfolio, Koulias leads the UN Global Compact's work on global governance, including the recently launched Action Platform on Peace, Justice and Strong Institutions (Goal 16 of the Sustainable Development Goals).

Prior to joining the UN Global Compact, Koulias worked at one of Australia's top-tier law firms and served as senior associate to the Honourable Justice Almond at the Supreme Court of Victoria. She also has over 12 years of commercial experience at one of Australia's largest banks, where she served in operational risk and compliance, among other disciplines. She earned her LLM/JD from Monash University in 2010 and BBA from the University of South Australia in 2002.



## Andrew Lee

**Managing Director, Deputy Global Head—CIO UHNW and Alternatives, Sustainable and Impact Investing, UBS AG**

Andrew Lee joined UBS Global Wealth Management's Chief Investment Office in July 2012 and currently serves as Head of Sustainable and Impact Investing, supporting clients

with investment advice, strategy, and thought leadership in these areas. Prior to this, he was deputy global head of the CIO Ultra High Net Worth/ Alternatives/Sustainable and Impact Investing group, with specific responsibility for the Impact Investing and Private Markets teams. He is a managing director based in New York.

Prior to joining CIO GWM, Lee was managing director overseeing investments for a private New York-based single family office. In this capacity, he was responsible for managing asset allocation, theme development, due diligence, and execution of all direct and indirect investments for the family investment vehicles. Previously, he was part of a long/short equity hedge fund team at Deutsche Asset Management. Before that, he worked at Lazard in the banking (M&A) and real estate private equity groups. Lee has an MBA from the Wharton School and an AB from Harvard College.



**Ginger Lew**  
**Managing Director,**  
**Cube Hydro Partners**

Ginger Lew is co-founder and managing director of Cube Hydro Partners, a clean energy company based in Bethesda, Maryland. After raising more than \$500 million, the company grew from five people to more than 110 employees, with 19 facilities in five states providing enough clean energy to power 146,837 homes. Prior to joining the company, Lew was a senior advisor to President Obama's White House National Economic Council for three years. She has served on the boards of public, private, and not-for-profit companies. Currently, she is on the boards of the Smithsonian

Institution and the Association of Asian American Investment Managers. She is a senior advisor to ISQ Capital, a \$13 billion global infrastructure fund, and an advisor to the National Academies of Science Innovation Panel.

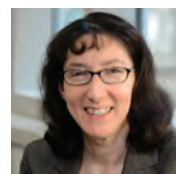


**Aaron Lewis**  
**Deputy General Counsel,**  
**Habitat for Humanity International**

Aaron Lewis currently serves as deputy general counsel-international for Habitat for Humanity International, Inc., where he has worked for 21 years. He began work with Habitat supporting its FlexCAP private placement bond issuance, as well as compliance and regulatory issues for Habitat's US affiliates.

In performing in-house counsel work for a public charity, Lewis gained considerable experience in 501(c)(3) tax-exempt legal matters. He then expanded his practice to international compliance and governance, including the structuring of affiliation agreements and global implementation of code of conduct and ethics requirements for Habitat's national organizations.

In the context of impact investing, Lewis provides support for Habitat's Terwilliger Center, which includes both the MicroBuild Fund, a \$100 million international housing micro-finance facility funded by US government debt financing and equity participants, and the Shelter Venture Fund, which provides financing to early-stage impact entrepreneurs aligned with Habitat's affordable housing mission. Lewis earned his BA from the University of Michigan and JD from the University of Georgia.



**Ann M. Lipton**  
**Michael Fleishman Associate**  
**Professor in Corporate Law &**  
**Entrepreneurship, Tulane Law School**

Ann Lipton is an experienced securities and corporate litigator who has handled class actions involving some of the world's largest companies. She joined the Tulane Law faculty in 2015 after two years as a visiting assistant professor at Duke University School of Law, where she taught securities litigation and a seminar on the financial crisis. In 2016, she was named Tulane's first Michael Fleishman Professor in Corporate Law & Entrepreneurship.

Lipton clerked for US Supreme Court Justice David Souter and 3rd US Circuit Court of Appeals Judge Edward Becker before handling securities and corporate litigation at the trial and appellate levels at law firms in New York City. She also worked briefly for the Securities and Exchange Commission.

As a scholar, Lipton explores corporate functioning and the relationships between corporations and investors. She has articles in the *Georgetown Law Journal*, *Washington University Law Review*, and the *Duke Journal of Constitutional Law & Public Policy*. She also blogs regularly for the *Business Law Prof Blog*.

# Conference Speakers



**Emmeline Liu**  
**General Counsel,**  
**Calvert Impact Capital**

Emmeline Liu is the general counsel of Calvert Impact Capital. Liu ensures that Calvert Impact Capital acts in accordance with its mission and all applicable laws. She manages Calvert Impact Capital's legal affairs, including relevant organizational strategy, corporate governance, investments, the *Community Investment Note*, and management of outside counsel. Her goal is to protect Calvert Impact Capital, while enabling it to innovate.

Prior to joining Calvert Impact Capital, Liu practiced in the Business and Finance group at Morgan, Lewis & Bockius' New York office. She was actively involved in pro bono matters and diversity initiatives. She received her JD from the University of Chicago Law School and her BA from Cornell University.



**Ginny Reyes Llamzon**  
**Senior Legal Counsel,**  
**Global Innovation Fund**

Ginny Reyes Llamzon is senior legal counsel at GIF and provides deal and regulatory advice in connection with GIF's grant and risk capital portfolio. She is an international finance lawyer who has worked in frontier markets for over 15 years and has assisted in structuring and executing a wide range of financial products in diverse legal and regulatory environments. Prior

to joining GIF, she worked at FMO, a Dutch development bank in The Netherlands, where she managed the legal aspects of their renewable energy and infrastructure transactions. She has also worked at Clifford Chance in Hong Kong, Baker & McKenzie in Singapore, and SyCip Salazar in Manila. She holds an LLM from Columbia University School of Law and a JD from the University of the Philippines.



**Catrina Luchsinger Gähwiler**

**Partner, Froriep**

Catrina Luchsinger Gähwiler is a partner in the Swiss law firm Froriep, based in the Zurich office. She advises on regulatory issues and contractual aspects relating to the financial market industry and has broad experience across acquisition and project-financing transactions, general corporate work, and M&A. Since 2016, she has also been working on Fintech projects and has been advising clients on Swiss law aspects of blockchain projects. Froriep is advisor to the Swiss Blockchain Association and to the Global Blockchain Business Council, and Luchsinger was a member of the Federal task force for blockchain technology.



**Anthony (Tony) Luppino**  
**Rubey M. Hulen Professor of Law**  
**and Director of Entrepreneurship**  
**Programs, University of Missouri-**  
**Kansas City School of Law**

Tony Luppino is a professor and director of Entrepreneurship Programs at the University of Missouri-Kansas City School of Law, as well as a Senior Fellow with the Regnier Institute for Entrepreneurship and Innovation at UMKC. In 2017, Professor Luppino was named the recipient of the University of Missouri System's inaugural Entrepreneurship Educator of the Year Award. He teaches business, tax, and entrepreneurship courses, including interdisciplinary courses in for-profit, social, and civic entrepreneurship. His scholarship focuses primarily on legal and policy issues significantly affecting entrepreneurs, and on entrepreneurship education.

Professor Luppino was the principal organizer of the Law & Entrepreneurship Special Interest Group of the United States Association for Small Business and Entrepreneurship (USASBE). He has also served for several years as lead editor of the Entrepreneurship Law website powered by the Ewing Marion Kauffman Foundation and is a frequent speaker at conferences and workshops on subjects at intersections of law and entrepreneurship. Among his activities in social entrepreneurship, along with John Tyler, Evan Absher, and Kathleen Garman, he co-authored an article published in 2015 in the *Quinnipiac Law Review* entitled "Producing Better Mileage: Advancing the Design and Usefulness of Hybrid Vehicles for Social Business

Ventures.” Professor Luppino earned his bachelor’s degree from Dartmouth College, his JD from Stanford Law School, and an LLM in taxation from Boston University School of Law.



### **Catherine Lyons**

#### **Manager for Policy and Coalitions, Economic Innovation Group (EIG)**

Catherine Lyons is EIG’s manager of Policy and Coalitions. Before joining EIG, she was Southern California director for FWD.us, a bipartisan advocacy organization focused on immigration reform. Prior to that, she led the housing and community development policy work for the Bay Area Council in the San Francisco Bay Area. Lyons served as an AmeriCorps member in New Orleans after graduating magna cum laude from the University of Southern California with a dual degree in print journalism and international relations. She recently received her master’s degree in public policy from Georgetown University’s McCourt School of Public Policy, during which study she interned at the DC Department of Small and Local Business Development and the US Senate Committee on the Budget.



### **Christopher J. Meade**

#### **General Counsel and Chief Legal Officer, BlackRock**

Christopher J. Meade is the general counsel and chief legal officer of BlackRock, the world’s largest asset manager. A member of the Global Executive Committee, he leads the Legal & Compliance group, consisting of more than 450 professionals in over 20 countries. Before joining BlackRock, Meade was the general counsel of the US Department of the Treasury. At Treasury, he provided legal and policy counseling to the Treasury secretary and other senior Treasury officials on a wide range of issues relating to domestic finance, international economic affairs, terrorism finance, financial crimes enforcement, and tax policy. He received the Alexander Hamilton Award, the Treasury Department’s highest honor.

Before joining Treasury, Meade was a partner with the law firm of Wilmer Cutler Pickering Hale and Dorr, where he was a member of the Litigation and Securities Departments, as well as the Appellate and Supreme Court Litigation Group. During this time, he argued four cases before the US Supreme Court. Earlier in his career, he served as a law clerk to Justice John Paul Stevens on the US Supreme Court and Judge Harry T. Edwards of the US Court of Appeals for the DC Circuit. Meade received his AB, magna cum laude, from Princeton University and his JD, magna cum laude, from New York University School of Law, where he was editor-in-chief of the *Law Review*.



### **James A. Mercadante**

#### **Partner, Reed Smith**

Jim Mercadante joined Reed Smith in 2013 as a partner in the Corporate & Securities Group. His practice focuses primarily on the capital raising and transactional activities of private equity firms and private companies. He has represented fund sponsors in forming a broad variety of onshore and offshore private equity funds, including buyout funds, growth equity funds, distressed debt and other asset-focused funds, real estate funds, mezzanine funds, and funds-of-funds. He also has extensive experience in restructuring transactions for both hedge and private equity funds.

Mercadante has regularly represented private equity firms and their portfolio companies in acquisitions, dispositions, and financings, as well as strategic investors and management groups participating in private M&A transactions.

Many of his matters since 2008 have involved impact investing projects, and he is one of the leading practitioners in the area of forming pooled investment vehicles for impact asset managers. He has worked (or is currently working) for fund sponsors focused on strategies to promote FinTech, microfinance, agriculture, and women’s health and has also represented investors in impact funds. He has also worked on portfolio company investments and restructurings, including with respect to a Mexico-based FinTech company, a manufacturer of portable solar lighting in India, and an Indian microfinance company.

# Conference Speakers



## Christopher Michael

**Director of Employee Ownership,  
Newark Community Economic  
Development Corporation; Attorney,  
Christopher Michael P.C.**

Chris Michael operates a boutique law firm focusing on employee ownership trusts. He is responsible for developing the perpetual purpose trust as a new legal mechanism for employee ownership in the United States and has published articles introducing the concept in leading peer-reviewed law journals, including *Tax Notes and Probate & Property*. In addition to working with businesses to implement employee or steward ownership, Michael consults with existing ESOP companies on transitioning to an employee ownership trust or perpetual purpose trust. Outside of his law practice, he serves as director of employee ownership at the Newark Community Economic Development Corporation and is a Louis O. Kelso Fellow at the Rutgers School of Management and Labor Relations. He is a frequent author and speaker on employee ownership and is active in legislative campaigns supporting employee ownership.



## Vincent Molinari

**Co-Founder, Templum Inc.**

Vincent Molinari is the co-founder of Templum Inc. and the CEO of its subsidiary, Templum Markets, a FINRA registered broker dealer and Alternative Trading System (ATS) providing a registered solution for raising capital and trading private digital securities.

Molinari has nearly 30 years of experience in the financial services industry. Throughout his career, he has been a true entrepreneur, founding and leading multiple companies committed to advancing market infrastructure, capital formation, impact investing, and digital assets. He has participated in the development of blockchain patents, is a creator of thought-leading technology solutions, and hosts the Templum Digital Asset Report, which brings visibility and education to market innovation and its global impact.

Beyond leading the way toward a more effective and efficient private market, Molinari is passionately and deeply committed to impact investing and humanitarian issues, which he believes blockchain technologies can significantly help address. Therefore, he has co-founded Blockchain Commission for Sustainable Development, Blockchain For Impact, Decade of Women, and 5th Element Group PBC—all committed to the advancement of the United Nations Sustainable Development Goals (SDG).

Molinari has been recognized for his commitment by earning the United Nations Global Compact 2013 Leaders Summit Delegate; 2014 Kingonomics Emancipation of Capital Award: Emerging Markets; 2016

Impact Frederick Douglass Award; Male Champion of Global Women's Equality; and IGD Frontier 100 Leader.



## José Ignacio Morejon

**Executive Director,  
Sistema B Ecuador**

José Morejon is an attorney in Ecuador and founder of Sistema B Ecuador, part of the Latin American organization Sistema B Internacional, a nonprofit that serves a global movement of people using business as a force for good. Before co-founding Sistema B Ecuador, Morejon designed a capacity-building program for the enforcement of the rights of nature in the Galapagos Islands. Later he joined Pérez Bustamante y Ponce, the largest corporate law firm in Ecuador. He then joined the Yasuni-ITT Initiative team, a scheme that created an alternative carbon market that was based on avoided emission to protect biodiversity hotspots sitting on large oil reserves.

After completing his MBA at EAE Business School and Lubin School of Business, Morejon co-founded Sistema B Ecuador, helping the B Corp movement scale with an ecosystemic approach, working along with policymakers, governments, large corporations, academia, and civil society. In 2018, he co-designed, along with William Clark, the first-of-its-kind academic program for B Lawyers, in which 57 Ecuadorian lawyers completed the course on B Corps, Public Benefit Corporations and BICs (Spanish for Benefit and Collective Interest Corporations). He is also a board member of the

Conscious Capitalism Chapter in Quito and co-founder of the first B Pendant insurance broker in Ecuador.



## Nicole Motter

### Strategy Consultant and Attorney, Cutting Edge Capital

Nicole Motter is a social impact advisor, consultant, lawyer, connector, and builder, with an eye toward supporting the creation of a more robust and thriving sector of sustainable, scalable, for-profit social enterprise. Her work primarily focuses on internal development and structuring capital raises for social enterprises, and program-related investments and innovative finance for foundations. She is also a professor of social enterprise at Pepperdine University, where she teaches courses in the school's graduate program in Social Entrepreneurship and Change. A lifelong changemaker, Motter spent nearly a decade working directly with underserved youth and international human rights before going to law school to explore social change-making on a larger scale. She has since cultivated a career that combines her wide-ranging knowledge and expertise in ground-level program development and implementation, research and education, and corporate and impact investing law. Motter is a strategy consultant and attorney with Social Innovation Strategies and Cutting Edge Capital.



## Megan Muir

### General Counsel and Chief Operating Officer, Global Partnerships

Megan Muir is the general counsel and chief operating officer of Global Partnerships, overseeing legal, finance, and operations for the organization.

Prior to joining Global Partnerships, she was a Corporate and Securities partner with the international law firm DLA Piper (US) for nearly 10 years, representing investors and technology companies of all sizes. Prior to DLA Piper, Muir was general counsel of a wireless company, an attorney with Venture Law Group, and a clerk to a federal judge in Seattle. She holds a BA with highest honors from Pitzer College in Claremont, California, and received her law degree with honors from Harvard Law School.



## Juan Diego Mujica Filippi

### LLM Candidate at Harvard Law School

Juan Diego Mujica Filippi is an LLM candidate at Harvard Law School and an experienced Peruvian corporate attorney. His area of academic research is for-benefit organizations—in particular, the Benefit Corporation—with a focus in Latin America. Within his corporate practice, he has counseled for-profit business organizations that want to craft a social or environmental purpose to become Certified B Corps or eventually Benefit

Corporations. Before Harvard, he was part of the teaching team of Commercial Law at Universidad de Lima, where he actively worked on introducing impact- and SDG-related topics to the syllabus. He is also the author of the Benefit Corporation draft bill that is being discussed in the Peruvian Congress this year.



## Gordon Myers

### Chief Counsel, Technology and Private Equity, International Finance Corporation (IFC)

Gordon Myers is chief counsel, Technology and Private Equity, of International Finance Corporation, a member of the World Bank Group. He is global lead counsel for IFC's funds investment practice. He has represented IFC in a number of complex funds initiatives, including impact fund structures targeting SMEs in frontier regions, and funds investing in listed companies in emerging markets. He has also been active in corporate governance matters, and in developing approaches to improving ESG alignment and performance of IFC's investee funds.

Myers has previously been global lead counsel for IFC's venture capital practice and was a core member of the Bank Group's Science, Technology and Innovation Global Expert Team. He also represents IFC on intellectual property and information security matters, and speaks often on funds structuring and innovation issues. He holds an AB from Stanford University, a JD from Stanford Law School, and an MBA from Wharton School.

# Conference Speakers



## Siri Nelson

**Law Student '19, Northeastern University School of Law; founder, How To Get It Done (HTGID)**

Siri Nelson is a recent graduate of Northeastern University School of Law, where she founded How To Get It Done (HTGID), a student organization committed to helping students convert their political passion for social justice into meaningful action. In Spring 2017, when she was a 1L, Nelson led HTGID's efforts to put together a student-driven conference aimed at fostering organizing skills and building community. Her professional experience includes being a 2017 Internet Public Interest Opportunities Program law clerk at the Electronic Privacy Information Center (EPIC), a 2018 Rappaport Center for Law and Public Policy Fellow, and an intern at the ACLU Massachusetts Technology for Liberty project. Nelson was recently recognized for her work as an inductee to the prestigious Northeastern Huntington 100, an honor recognizing students who make exemplary contributions to the Northeastern community while also maintaining excellent grades. Further, while at law school, she served as a chair of the Student Bar Association and Black Law Students Association and was selected to speak on behalf of her class during the Northeastern University School of Law May 2019 commencement.



## Jonathan Ng

**Attorney Advisor, Office of the General Counsel, US Agency for International Development (USAID)**

Jonathan Ng has experience working in the government, NGO, private, and academic sectors. He currently serves as an attorney advisor within the USAID Office of the General Counsel covering the Office of Private Capital and Microenterprise (PCM) and the Education Office, both within the Bureau for Economic Growth, Education and Environment (E3). Through the PCM office, Ng provides overall legal and policy guidance for initiatives related to the agency's recent launch of its new private sector engagement (PSE) policy. He also serves on USAID's Credit Review Board, the agency's internal risk review committee for all loan guarantees issued through the USAID Development Credit Authority.

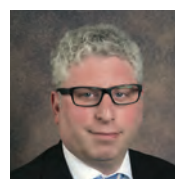
Prior to joining USAID, Ng was the first general counsel of Ashoka: Innovators for the Public, an international NGO known for starting the modern social entrepreneurship movement. He began his legal career in New York at White & Case in its energy, infrastructure, and project finance group. In addition to his work at USAID, Ng is currently an adjunct faculty member at Georgetown University Law Center, where he co-teaches a course on law and social entrepreneurship. He is also a member of the advisory committee for the Grunin Center for Law and Social Entrepreneurship at NYU School of Law.



## Joseph M. Nicchitta

**Director, Department of Consumer and Business Affairs, County of Los Angeles**

As director of the Los Angeles County Department of Consumer and Business Affairs, Joseph Nicchitta oversees an expansive portfolio of programs and leads work on numerous initiatives, including financial empowerment, minimum wage enforcement, and small business development. As part of the department's small business programs, Nicchitta leads a first-of-its-kind Social Enterprise Certification Program, designed to increase government contracting opportunities for social enterprises in Los Angeles County. Prior to joining the Department of Consumer and Business Affairs, he served in various roles for the County of Los Angeles, from an attorney in the Office of County Counsel's Property Division to the County's first-ever Cannabis Management officer. He received his law degree from New York University School of Law in 2006.



## Gil Nusbaum

**General Counsel, National Philanthropic Trust**

Gil Nusbaum is general counsel of National Philanthropic Trust (NPT), where he is responsible for a variety of legal, tax, and risk management matters and for overseeing NPT's illiquid gifts program. He was previously employed as an associate for Laura Solomon & Associates, where

he formed and advised nonprofit organizations. Prior to that, Nusbaum was an associate in the Family Wealth Management practice group at Ballard Spahr, where his practice included all aspects of trusts and estate law, including counseling individuals on charitable giving techniques and assisting with the formation of giving vehicles. Before joining Ballard Spahr, Nusbaum was an associate in the Trusts & Estates, Tax, and Philanthropic & Nonprofit practice groups at Dilworth Paxson. He received his BA in sociology from Wesleyan University and his JD from Temple University. He also holds a master's of law degree in taxation from Temple University.

NPT is an independent public charity dedicated to providing philanthropic expertise to donors, foundations, and financial institutions, enabling them to realize their philanthropic aspirations. NPT offers donor-advised funds and other giving vehicles and also partners with independent wealth advisors and financial service institutions to establish and manage custom giving solutions for their clients.



## Patrick O'Brien

### Partner, Becker Glynn

Patrick O'Brien, a partner at Becker, Glynn, Muffy, Chassin & Hosinski in New York, has been practicing corporate law for over 20 years. His practice consists of corporate/project finance, M&A, and general corporate advisory work. Since joining Becker Glynn in 2003, he has regularly represented multilateral and bilateral development finance institutions, as well as investment funds and other investors, making investments in emerging and frontier

markets. His experience includes a large number of debt and equity cross-border investments across many jurisdictions in Africa, Latin America, and Southeast Asia, as well as the representation of founders/sponsors and investors in the formation of investment funds and other startup vehicles and related capital raising activities.

In recent years, O'Brien has had the opportunity to work with investors and issuers on new investment types—including catalytic first loss capital, and startup investments structured with KISS and SAFE documentation. Prior to joining Becker Glynn, he worked for several years as an attorney/project manager at a startup web-based financial services company, and spent two years practicing banking and M&A law with Skadden in New York. He received his BS, cum laude, from Colgate University and his JD from Columbia University School of Law, where he was a Harlan Fiske Stone Scholar.



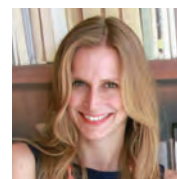
## Louise Ollier

### Senior Counsel, CDC Group

Louise Ollier is a senior counsel at CDC Group and works primarily with its Funds and Capital Partnerships Team investing in Sub-Saharan Africa and South Asia. She joined CDC in 2015, prior to which she was a legal counsel at Standard Life and spent 11 years at Akin Gump in the investment funds team in London. While at Akin Gump, she focused on private equity, hedge funds, and other alternative investment funds.

Ollier trained at Simmons & Simmons and is admitted to practice as a solicitor of the Supreme Court

of England and Wales. She has a degree in English literature from the University of Cambridge and completed her Post Graduate Diploma in law at the College of Law, York.



## Sandra Osborne Kartt

### Director of Investments, ImpactAssets

Sandra Osborne Kartt is the director of Investments at ImpactAssets. She oversees investment management for the Giving Fund, a \$500MM donor advised fund, including sourcing, due diligence, and investment selection. She also leads portfolio management for ImpactAssets' two Impact Notes and supports ImpactAssets' field building efforts. Prior to joining ImpactAssets, she served as a risk officer at Developing World Markets, an impact investment asset manager focused on linking the capital markets and financial institutions serving the bottom of the pyramid in emerging and frontier economies. She also worked at Keefe, Bruyette & Woods, a boutique investment bank, as a sell-side equity research analyst covering the US banking industry.

Osborne Kartt holds an MA in economics from New York University and a BS in economics from Louisiana State University and is a Chartered Financial Analyst.

# Conference Speakers



## Chintan Panchal

**Founding Partner,  
RPCK/Rastegar Panchal**

Chintan Panchal is the co-founder of RPCK, a mission-aligned global boutique law firm focused on corporate, finance, and M&A transactions. He leads RPCK's impact investing practice and counsels family offices, funds, and entrepreneurs on structuring and executing multiple bottom line transactions and building social enterprises. He also sits on the board of Camelback Ventures, a nonprofit business incubator supporting women and minority entrepreneurs. He is also pro bono general counsel to the charity Keep A Child Alive.

Panchal's theory of change is that capitalism, as a powerful tool for harnessing human effort, can be a highly effective force for driving positive change in the world, if aligned properly. Prior to starting RPCK, he practiced law at Allen & Overy and also clerked for Judge Judith M. Barzilay of the US Court of International Trade. He lives in Old Greenwich, Connecticut, with his wife and two young daughters.



## Sung-Hyui Park

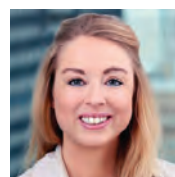
**Senior Associate,  
Bates Wells Braithwaite**

Sung-Hyui Park is a senior associate in the Impact Economy practice at Bates Wells, the first and only UK law firm to be certified as a B Corp. It is also recognized for combining practical, commercial legal expertise with the deep understanding of the impact economy that comes from working with more charities, not-for-profits, and social impact-focused businesses than any other UK law firm.

Park advises on the full range of activities carried out by clients seeking to have a positive social impact through their business and other activities, including capital raising, formation, governance, and general not-for-profit and commercial law issues. She has a particular interest in structuring and executing impact-focused investment transactions in a wide range of forms, including debt, equity, quasi-equity and blended finance, venture philanthropy, and grant funding. Her clients range from development finance institutions (DFIs), charities and international NGOs, corporate and charitable foundations, impact investors, and purposeful businesses.

Previously, Park trained and worked for 10 years in the Banking & Finance team at Clifford Chance, where she advised on an extensive range of high-value, multi-jurisdictional emerging markets, acquisition finance, and other financing transactions. She has a first-class honours degree in LLB Laws from the London School of Economics, where she was also awarded the John Griffith Prize for

best intermediate performance, the Morris Finer Memorial Prize for best performance in Part 1, and the Linklaters Prize for Commercial Contracts. She is a fluent Korean speaker and is a trustee and co-founder of the Social Mission Institute.



## Eleonore Pauwels

**Research Fellow on Emerging  
Cybertechnologies, United Nations  
University Centre for Policy Research**

Eleonore Pauwels is the Research Fellow on Emerging Cybertechnologies at United Nations University Centre for Policy Research. Prior to UNU, Pauwels held the position of director of the Anticipatory Intelligence (AI) Lab with the Science and Technology Innovation Program at the Woodrow Wilson International Center for Scholars. She is a former official of the European Commission's Directorate on Science, Economy and Society.

Pauwels is a writer and international science policy expert who specializes in the governance and democratization of converging technologies. Her research analyzes and compares how emerging technologies, such as artificial intelligence, blockchain, and genome-editing, raise new opportunities and challenges for health, security, economics, and governance in different geo-political contexts. She examines the promises and perils that will likely arise with the development of AI civil and military technologies, the Internet of Bodies and Living Things, and the convergence of cyber- and bio-security. Pauwels is an advisor on the AI Initiative at Harvard Kennedy School and the IEEE Global

Initiative on Ethics of Autonomous and Intelligent Systems, as well as an expert for the World Economic Forum.

Pauwels regularly testifies before US, European, and international authorities, including the US Department of State, the US National Academy of Sciences, the US National Institutes of Health, the US National Intelligence Council, the European Commission, the Organization for Economic Co-Operation and Development, and the United Nations. She is also well-versed in communicating complex and novel scientific developments for lay audiences (including TEDxCERN on CRISPR, PBS interview on the dual nature of AI, and *AI Media* interview). Bilingual in French and English, she frequently writes for *Nature*, the *New York Times*, the *Guardian*, *Scientific American*, *Le Monde*, *Slate*, and the *World Economic Forum*.



## Neil Pearson

**Partner, Mills & Reeve**

Neil Pearson is a partner in the tax team at UK law firm Mills & Reeve, specializing in advising on all aspects of investments into businesses and social enterprises. He was one of the original authors of the report commissioned to make the case for a specific UK tax relief for social investment in 2013-14. Since then he has helped fund managers establish funds for investing into social enterprises and has advised a number of social enterprises raising much-needed investments. Pearson also works closely with a number of the leading organizations (such as Big Society Capital and Worthstone) helping to promote and grow impact investment in the UK.



## María Peña

**Head of Legal, Symbiotics**

María Peña is the head of Legal at Symbiotics, a Swiss-based asset management company specialized in microfinance and impact investments in emerging and frontier markets, with eight offices across the world. She is responsible for all the group's legal, governance, and regulatory affairs, including managing and providing support for Symbiotics' cross-border impact investing activities across the value chain of investments. As a member of the senior management team, Peña contributes to the operational strategy, governance, and risk management of the Symbiotics group. Her other fields of expertise include compliance, corporate, commercial, and labor law.

Prior to Symbiotics, Peña worked for over 12 years as an in-house legal counsel in the private and investment banking sector in Geneva, Switzerland, and Luxembourg. She started her professional career with a traineeship in the Legal Service of the European Parliament, in Luxembourg.

Peña holds a master's degree in law from Universidad San Pablo-CEU Madrid (Spain); a master's degree in European studies from Université Catholique de Louvain, Louvain-la-Neuve (Belgium); and a Diplôme d'Etudes Juridiques et Economiques de l'Union Européenne from the Université de Paris I Pantheon Sorbonne, Paris. She fluently speaks Spanish, English, and French.



## Andrea Phillips

**Co-Founder and Managing Partner, Maycomb Capital**

Andi Phillips is co-founder and managing partner at Maycomb Capital, a new impact investing platform, under which she leads the Community Outcomes Fund, the largest US outcomes-based financing fund to date. Phillips has over 25 years of experience leading, designing, and implementing large-scale, public/private partnerships that leverage private investment to address pressing social challenges. Previously, she launched and managed the Goldman Sachs Social Impact Fund and led signature social impact bond investments for the firm, including the investment in the Rikers Island Social Impact Bond, the first such transaction ever executed by a financial institution in the US market. She has been the principal investment professional, leading the investment for four of the first eight transactions done in the US market, including transactions that financed recidivism reduction in Massachusetts and early childhood education in Utah and Chicago.

Earlier, Phillips was president of a Community Development Financing Institution (CDFI), Seedco Financial, which provided affordable capital to small businesses and nonprofits in disadvantaged communities. At Seedco, she also managed performance-based contracts in workforce development, totaling over \$100 million.

Phillips holds a BA from Tufts University and an MPP from the Harvard Kennedy School of Government. She lives in Brooklyn, New York, with her husband and three sons.

# Conference Speakers



## Olivia Prentice

**Chief Operating Officer,  
Impact Management Project**

Olivia Prentice is COO of the Impact Management Project, where she leads the development of best practice impact measurement and management with both the IMP community of over 2,000 practitioners and with the standard-setting organizations in the IMP Structured Network (which include IFC, GRI, PRI, UNDP, GIIN, OECD, and others). She is experienced in designing impact management approaches across diverse geographies for a range of audiences, including wealth managers, investment managers, and corporate leadership. She has authored a number of reports illustrating best practice impact measurement and management in practice, and regularly delivers training on this topic through global academic institutions and industry membership networks.

Prior to this, Prentice led Bridges Fund Management's impact management strategy internally across all fund types (growth equity, social impact bond, social businesses, and property), which included developing systems for investment selection and impact accounting. She previously worked for CDC, the UK's Development Finance Institution, and with the DFID Impact Fund.

Prentice is currently based in New York.



## Peter Rabley

**Venture Partner, Omidyar Network**

Peter Rabley brings Omidyar Network more than 25 years of experience in the international property rights space as an entrepreneur, business leader, and technologist. In his current role, he invests in Property Rights in emerging markets.

Prior to joining Omidyar Network, Rabley was the founder and CEO of International Land Systems until its recent acquisition by Thomson Reuters. Post-acquisition, Rabley was vice president for global business development and strategy for the tax and accounting government division at Thomson Reuters. He began his career implementing some of the earliest GIS/LIS into metropolitan government in the United States in the 1980s. Over the next decade, he translated this experience into innovative applications for international development as director of GIS/LIS at PADCO.

Rabley graduated from the University of Miami with a bachelor's degree in economics and geography, as well as a master's degree in geography.



## Roberto Randazzo

**Partner, R&P Legal Studio Associato;  
Member of the Board of the  
European Social Enterprise Law  
Association and President, ESELA**

Partner, R&P Legal Studio Associato;  
Member of the Board of the  
European Social Enterprise Law  
Association and President, ESELA

Roberto Randazzo is a partner at R&P Legal. Early in his career, he was in corporate and business law, gaining significant experience in international contracts. Over the past 20 years, he consolidated his expertise in the charity and social business sector, deepening new areas of legal consulting in the field of innovation, both entrepreneurial and social. He gained solid experience in innovative startups and nonprofit entities, with particular attention to impact investing, social finance, and social business matters.

Randazzo now advises several major entities in the social business sector on their development and management, as well as on fundraising, extraordinary transactions, tax, and accounting matters, in Europe and in emerging countries. As part of his wider involvement in this sector, he has developed and implemented new legal forms, taking a leading position as advisor in charity law and social enterprises on the national and international stage.

He is a social innovation lecturer at Polytechnic of Milan and formerly taught at social enterprise, non-profit organizations, and cooperative management at Bocconi University. He is author of many publications and papers in nonprofit law and impact investing. Since 2012, Randazzo has

been honorary consul for the Republic of Uganda to Milan and Northern Italy. In April 2019, he became president of ESELA—The Legal Network for Social Impact. He is a member of the Special Working Group on the Impact Economy of the Global Steering Group for Impact Investment (GSG).



### **Rachel Robbins**

**Senior Independent Director, Atlas Mara Limited; former Vice President and General Counsel, International Finance Corporation**

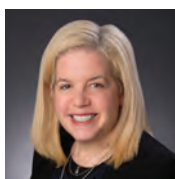
Rachel F. Robbins is currently senior independent director of Atlas Mara Limited, a UK-listed financial services company whose aim is to be the premier financial services company of Sub-Saharan Africa. She is also a Trustee of New York University School of Law and an Advisory Board member of the Grunin Center for Law and Social Entrepreneurship. She previously served as a non-executive director of FINCA Microfinance Holding Company, a global microfinance company.

From 2008 to 2012, Robbins served as vice president and general counsel of the International Finance Corporation and as a member of its Management Group. She joined the IFC with three decades of experience in legal and financial services, including extensive experience in corporate governance and in managing global teams through periods of change. Between 2006 and 2008, she was executive vice president, general counsel, and secretary of the New York Stock Exchange and NYSE Euronext. She spent 20 years at JPMorgan & Co. and was general counsel and corporate

secretary from 1996 to 2001. From 2003 to 2004, she was general counsel of Citigroup International.

She started her legal career at Milbank, Tweed, Hadley & McCloy.

Robbins holds a JD from New York University School of Law and a BA in French literature from Wellesley College.



### **Dina Ellis Rochkind**

**Of Counsel, Paul Hastings**

Dina Ellis Rochkind is of counsel in the Paul Hastings Government Affairs practice and is based in the firm's Washington, DC, office. Her practice focuses on representing clients before members of Congress on Capitol Hill and the Executive Branch. Rochkind represents clients in matters involving regulatory initiatives, policymaking and legislation, and enforcement actions. She has over 20 years of experience on Capitol Hill, lobbying, and working for the Executive Branch. Prior to joining Paul Hastings, she served as senior staff for the US House Financial Services and Senate Banking Committees and for Senator Pat Toomey. Rochkind also served in the George W. Bush administration as deputy assistant secretary at the Treasury Department. She has been involved in drafting major pieces of legislation over the last two decades, including: the 2005 bankruptcy reform legislation, the FACT Act, E-Sign, Check 21, Federal Deposit Insurance Reform Act, Gramm-Leach-Bliley Act, and, most recently, the comprehensive and bipartisan JOBS Act, for which she was the lead staffer in the Senate.



### **Lynn Roland**

**General Counsel, Acumen**

Lynn Roland joined Acumen in 2010 and is general counsel, corporate secretary, and a member of the Extended Leadership Team and Management Committee. In that capacity, Roland ensures that the organization's programs are carried out in furtherance of its mission and in compliance with law, drives organizational strategy, policies and risk assessment, effects strategic initiatives, and advises on board matters. She leads the global legal function and works across functions and geography to develop and implement organizational structure, policy and projects. Her role involves protecting Acumen, while also enabling it to function with efficiency and creativity.

Prior to joining Acumen, Roland had a short stint at a digital services company as in-house counsel; she spent the majority of her earlier career at McDermott Will & Emery, where she was a partner in the corporate/transactional practice and oversaw the pro bono program of the New York office. Roland obtained a BA as an English major from the University of Pennsylvania, and a JD from Columbia Law School.

# Conference Speakers



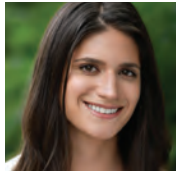
## Flavia Rosembuj

**Global Lead for Blended Finance, Climate Business and Trust Funds, International Finance Corporation (IFC)**

Flavia Rosembuj is global lead for Blended Finance, Climate Business and Trust Funds at the Legal Department of the International Finance Corporation. She is a lawyer with 20+ year experience in innovative finance, sustainable development, environmental law, and climate change. Prior to joining the World Bank, she worked in leading law firms in the UK, France, and Spain.

Rosembuj chairs the Leadership Committee at DC Greens, a DC-based nonprofit organization that works on food education in DC, on urban agriculture in the city, and on giving access to vegetables to every ward in the city.

Rosembuj holds a PhD from the University of Barcelona and an LLM from University of Paris Sorbonne, was a visiting scholar at Columbia University, and is a guest speaker at Stanford School of Law.



## Delilah Rothenberg

**Managing Director, Development Capital Strategies**

Delilah Rothenberg is managing director of Development Capital Strategies (DCS), an advisory firm specializing in sustainable investment, with a particular focus on ESG integration and impact strategy. She has experience in a number of sectors, including private equity, banking, agribusiness, infrastructure and real assets, consumer products, and education. Rothenberg's past experience includes institutional equities at Bear, Stearns & Co and investment research with Gerson Lehrman Group (GLG). She has been an active contributor to USAID's Responsible Land-Based Investments initiatives, the United Nations Principles for Responsible Investment (PRI) Supply Chains Working Group, and the Global Impact Investing Network (GIIN) HoldCo Working Group (focused on structuring investment vehicles for long-term strategies and less liquid markets), and recently she served on the Board of Directors of Business Council for Peace (Bpeace), a nonprofit that provides pro bono consulting to entrepreneurs in conflict-affected countries. She currently serves as a board director of Invest Africa US and is a member of the SASB Standards Advisory Group.

Rothenberg holds a BA in history, politics, and African studies from New York University, where she also studied Swahili. Her work has been featured in various media outlets, and she speaks publicly on topics relating to ESG, impact, and investment in Africa.



## Pamela Rothenberg

**Partner, Womble Bond Dickinson (US)**

Pamela Rothenberg is a partner in the Washington, DC, office of Womble Bond Dickinson (US), where she practices in the areas of commercial real estate, business, and entrepreneurship. Rothenberg is a change agent and founded her firm's impact business, comprised of a team of more than 40 firm professionals working at the cutting edge of the rapidly growing impact economy. Her mission is to harness the positive power of business to generate revenue and solve endemic social problems.

In 2015 and 2018, the firm's Impact Business Group was short listed for Financial Times Innovation awards. In June of 2018, the firm's Impact Business Group was part of a team that won the inaugural Grunin Prize for Innovation from NYU Law for the development and documentation of a new equity investment structure.

Rothenberg serves on a number of boards, including the Advisory Board for the Dingman Center for Entrepreneurship at the University of Maryland and the Board of Governors for the Fairfax-Falls Church Community Partnership to Prevent and End Homelessness. She received her BA in history, summa cum laude, from Tufts University and her JD from Northwestern University School of Law.



## William E. Ryan III

### President and Chief Fiduciary Officer, Newport Trust

William Ryan is president and chief fiduciary officer of Newport Trust Company and served as president and chief fiduciary officer of Evercore Trust Company, N.A., prior to the acquisition of Evercore Trust's institutional trustee and independent fiduciary business by Newport Trust in 2017. Before joining Evercore Trust, Ryan was with Morgan Stanley, where he was an executive director in the Legal and Compliance Division and Head of ERISA Law, responsible for coordinating ERISA and qualified tax issues for Morgan Stanley's Institutional Securities Group (Institutional Brokerage), Morgan Stanley Investment Management (Asset Management), and Morgan Stanley Smith Barney (Retail Brokerage).

Prior to Morgan Stanley, Ryan was the chief ERISA/Benefits counsel for Prudential Financial, Inc. from 1995 through 2004, handling ERISA-related HR, compensation, and product issues for Prudential Insurance, and was in private practice in New York from 1987 through 1995, specializing in ERISA issues. On behalf of various industry groups, he has met with and testified before the US Department of Labor, the Treasury Department, the White House, and various state regulators on fiduciary and other retirement issues. He has also served on various industry groups, including the SIFMA Retirement Committee (where he served as co-chair from 2010-2013).

Ryan received an AB in history from Princeton University in 1984, a JD from Stanford Law School in 1987 (where he was an editor

of the *Stanford Law Review*), and an LLM in taxation from New York University School of Law in 1995.



## Kevin Saunders

### General Counsel, Accion

Kevin Saunders joined Accion in 2007 and is currently its general counsel. He is responsible for all of Accion's legal and corporate governance affairs, including managing and directly providing the legal support for Accion's extensive impact investing activities. He has provided essential guidance on many different aspects of the organization's work advancing inclusive finance. He has served as the primary counsel for the more than 30 Accion Venture Lab and Frontier transactions and investments in bold, disruptive fintech startups; helped close Accion Investments in Microfinance, SPC; coordinated the capital raise and restructuring that improved and expanded Accion's microfinance work in China; led Accion's investment in Myanmar's DAWN; and served as the secretary to Accion's Board of Directors.

Prior to joining Accion, Saunders served as a law clerk with Boston University's Office of General Counsel, a private law firm, the international health nonprofit Partners in Health, and the Arkansas Department of Human Services. He also provided legal services to veterans and homeless individuals and conducted research with the International Consortium for Law and Development. Saunders holds a JD from Boston University School of Law and received his bachelor's and master's degrees from Arkansas State University. He has been admitted to the Bar of the State of Massachusetts.



## Monika Scherer

### General Counsel & Chief Compliance Officer, MicroVest

Monika Scherer has been general counsel and CCO of MicroVest since 2017, providing legal counsel to senior management and the board, and overseeing legal services for all business areas, including governance, fund management, investment advisory operations, and global investments. She is a non-voting member of the Investment Committee.

Scherer has extensive in-house and law firm experience in cross-border and finance law. Prior to joining MicroVest, she was lead counsel at IIC/BID Invest, the private sector arm of the IDB Group. In that capacity, she worked in lending, equity, and fund structuring operations through Latin America and the Caribbean, as well as on institutional, strategic and finance matters. In the earlier part of her career, she worked in the Caracas and Washington, DC, offices of Baker & McKenzie, advising clients on corporate and securities law, cross-border transactions, and the intricacies of doing business in regulated industries in developing economies.

Scherer is dual-qualified to practice civil law (Venezuela, 2000) and common law (District of Columbia, 2007). She holds an LLB, summa cum laude, from UCAB-Caracas and an LLM in law and economics from the GMU School of Law.

# Conference Speakers



## Astrid Scholz

### Treasurer, XXcelerate Fund

Dr. Astrid J. Scholz is managing partner and CEO (“Chief Everything Officer”) of Sphaera, a system design and technology firm dedicated to co-creating global, distributed, democratic infrastructure for mobilizing data, innovations, and capital to achieve the Sustainable Development Goals. Scholz was previously president of Ecotrust, a conservation-based development organization with \$150M in AUM, where Sphaera was incubated. She is a founding director of the XXcelerate Fund, a revolving loan fund and mentorship program created for and by women entrepreneurs that is piloting in her home state of Oregon. She is also a founding director of Zebras Unite, a growing global community of founders, investors, and allies who are creating a more ethical, inclusive, collaborative, and sustainable approach to building businesses. Scholz holds degrees from the University of St. Andrews, the University of Bristol, and the University of California, Berkeley.



## Michael Schrader

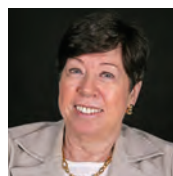
### Of Counsel, Orrick, Herrington & Sutcliffe

Michael Schrader is a member of Orrick’s Public Finance Department and a founding member of the firm’s Portland, Oregon, office. He works with state agencies, counties, cities, special districts and other local governments, tribal governments and enterprises, and for-profit and nonprofit borrowers in structuring, negotiating, and documenting bond issues, loans, and other financing arrangements.

A significant portion of Schrader’s practice is devoted to financing affordable housing projects. This includes representing Oregon Housing and Community Services in connection with its conduit revenue bond program.

He currently serves on the boards of Bridge Meadows, a developer, owner, and operator of affordable intergenerational housing communities, and the Portland Housing Center, an organization providing educational and financial services to promote homeownership in underserved communities. He also serves as special counsel (pro bono) to Mercy Corps and to the Rosewood Initiative.

Schrader has drafted legislation that has been enacted in Oregon, Alaska, and Indiana. He has also published articles on developments in financing law and has spoken at several finance industry seminars and continuing legal education events. He received his JD, magna cum laude, Order of the Coif, from Indiana University School of Law and his BA, cum laude, from Brigham Young University. He is admitted to the bar in both Oregon and Washington states.



## Helen Scott

### Professor of Law; Founder and Faculty Co-Director of the Mitchell Jacobson Leadership Program in Law and the Grunin Center for Law and Social Entrepreneurship, New York University School of Law

Helen Scott is professor of law and the founder and co-director of the Mitchell Jacobson Leadership Program in Law and Business and the Grunin Center for Law and Social Entrepreneurship at New York University School of Law. In the Jacobson Program, she has helped develop and co-teaches a number of innovative law and business courses, including Law & Business of Corporate Governance, Ethical and Legal Challenges in the Modern Corporation, and Turnarounds and Leadership: Law & Business. The program also coordinates programming with the Stern School of Business, including the JD/MBA Program. Professor Scott is currently a member of the Board of Directors of IEX and has been a member of the New York University of Law faculty since 1982.



## Jimena Serrano Pardo

### Senior Advisor in Gender, Diversity and Inclusion, IDB Invest

Jimena Serrano Pardo is a senior advisor in Gender, Diversity and Inclusion at IDB Invest, the private sector branch of the Inter-American Development Bank Group. In her current role, she works to complement IDB Invest’s investments in Latin America and the Caribbean with evidence-based

advice to improve gender equality within clients' organizational structure and business operations. She led the Women's Empowerment Principles Gap Analysis Tool, which is used globally to assess inclusiveness of corporate policies and practices. She also works closely with the blended finance team to design gender programs for IDB Invest's clients that can be associated with financial incentives.

Prior to joining IDB Invest, Serrano Pardo was a senior analyst in the Economist Intelligence Unit, where she led the design of multiple indices, including the WEVentureScope on female entrepreneurship in Latin America and the Caribbean and the Microscope on Microfinance, a global index that measures the regulatory environment for financial inclusion. She presented the results of these rankings to an array of stakeholders, including governments, business associations, foundations, and multilateral institutions.

Serrano Pardo also worked as a legal advisor in the Colombian Financial Superintendence. In that capacity, she was part of the emergency team that was created to tackle the proliferation of Ponzi schemes. She also contributed with research in the newly created Corporate Governance division. She holds a degree in law from Universidad de Los Andes in Colombia and a master's degree in international economics from the Johns Hopkins' School of Advanced International Studies (SAIS).



## John Simpkins

### **Executive Director, Transformative Health Institute, Prisma Health**

John Simpkins is executive director of the Transformative Health Institute at Prisma Health–Upstate in Greenville, South Carolina. He most recently was a Senior Lecturing Fellow at Duke University School of Law and previously served as the general counsel of the United States Agency for International Development. Prior to joining USAID, he was the deputy general counsel in the White House Office of Management and Budget.

Simpkins' legal career has encompassed private practice and academia, including serving as of counsel at Wyche, P.A., as a visiting assistant professor of law at the University of Victoria, as assistant professor and associate director of the Riley Institute at Furman University, and as assistant professor and director of Diversity Initiatives at the Charleston School of Law in South Carolina. A member of the African Network of the International Association of Constitutional Law, he has consulted and conducted research in comparative constitutional law and constitutional design in Nigeria, Liberia, Kenya, Uganda, and South Africa, among other countries. Simpkins received his AB in government from Harvard College and a JD and LLM in international and comparative law from Duke University School of Law. He is a Fellow of the American Bar Foundation and a member of the Aspen Global Leadership Network at the Aspen Institute.



## Rina Singh

### **Founder, Trinity Institute, Technology for Humanity**

Rina Singh is a serial entrepreneur with 20 years of experience growing early-stage ventures, particularly in the area of emerging technologies. She was the co-founder and COO of CoEqual (formerly TrueChain), a cybersecure distributed ledger technology solving the major scalability bottlenecks of blockchain platforms. She is an interim COO for Sanavi, a life sciences company with patented compounds preventing microbial resistance, and a board member of Cloud Charger, a residential appliance with patented algorithms to provide near/free electric vehicle charging while helping to load-balance the utility grid.

Singh is also the founder/chair of the Athena Initiative, a woman-run non-profit organization with the aim to educate on issues pertaining to emerging technologies as they reshape society. The organization's primary audience are those at the intersection of technology and humanity: policymakers at all levels—national, state, and multilateral.

Previously Singh ran the South Asia division of Veracity Worldwide, a private sector intelligence company. She began her career with A.T. Kearney consultants, followed by M&A banking at Credit Suisse First Boston. She holds a BA from Princeton University and a PhD from the University of Oxford, where she was a Fulbright Scholar and had her dissertation published by MacMillan Press.

# Conference Speakers



## Laura Smith

### Associate, Harter Secrest & Emery

Laura Smith is an Environmental, Land Use, and Municipal attorney in the Rochester, New York, office of Harter Secrest & Emery. In addition to representing the firm as attorney for the Town of Irondequoit, New York, Smith represents businesses, developers, municipalities, and public authorities on a wide range of issues that include zoning and planning, municipal law, governance, procurement, and compliance with the New York State Environmental Quality Review Act. She served as a law clerk for the Environmental Protection Agency Region III, Office of Regional Counsel. She holds a BA from the College of Wooster and a JD from the University of Pennsylvania Law School.



## Ulysses Smith

### President and Chief Executive Officer, Telos Governance Advisers; Director of Bingham Centre Business and the Rule of Law Program

Ulysses Smith is a leading US attorney and thought leader on issues of governance, sustainability, development and anti-corruption. He is the president and CEO of Telos Governance Advisers, which works with businesses, governments, and nonprofits on issues of governance, human rights, sustainability, and anti-corruption. He is counsel at the law firm Hoffman Kessler, director of the Business and Rule of Law Programme at the think tank Bingham Centre for the Rule of Law, special

advisor to the UN Global Compact on Governance and Anti-Corruption, and a member of the Global Compact's Expert Network. Smith has advised multinationals, state-owned enterprises, governments, and UN agencies in more than 20 countries on six continents. He speaks and writes frequently on issues of governance, rule of law, and business, and his commentary can be found in *The Hill*, the *Washington Times*, *Directorship*, and *Huffington Post*, among others. In 2016, Smith was recognized by the UN Global Compact as one of 10 Global SDG Pioneers for his work to advance peace, justice, governance, and the rule of law.



## Bjorn Sorenson

### Of Counsel, Blue Dot Advocates, PBC; Member, IILWG

Bjorn Sorenson is of counsel to Blue Dot Advocates, PBC, a social impact law firm and public benefit cooperative. He is also founder of King Spoke Advisors, a boutique firm that serves as counsel, consultant, and coach to mission-driven organizations. Through his legal and executive coaching practice, Sorenson helps social entrepreneurs, businesses, and leaders find their values, develop sustainable leadership, and achieve enduring impact. A champion for resilient leadership in the law, he has coached law students, law firms, and judges to emerge as healthy agents for social change. He was the first general counsel to the US affiliate of Grameen Bank's Nobel Prize-winning microfinance program, and previously he served as a senior associate at the law firm of Skadden Arps. His pro bono practice includes advising diverse stakeholders in post-conflict

and developing countries on complex issues of economic development, investment regulation, international law, and human rights. Sorenson is a Certified Practitioner of the Leadership Circle Profile, a Qualified Teacher of Mindfulness Based Stress Reduction, and a founding co-chair of the Mindfulness and Well-Being Committee of the NYC Bar Association. He holds a BA from Western Michigan University, an MTS from Harvard Divinity School, and a JD from American University Washington College of Law.



## Matthew Sparkes

### Vice President, Lead Investments and Risk Counsel, BlueOrchard Finance

Matthew Sparkes is vice president, Lead Investments and Risk Counsel for BlueOrchard Finance, a pioneering manager of impact investment funds. As the principal transaction-focused legal advisor for BlueOrchard, his practice centers on structuring, documenting, and restructuring of emerging markets debt and equity impact investments. He is also a senior member of the team responsible for investment risk management and the monitoring processes for debt and equity investments in a portfolio spanning more than 60 countries.

Prior to joining BlueOrchard, Sparkes served as the corporate counsel for Root Capital, where he was responsible for developing the in-house legal function and served as both a legal and strategic business advisor to executive management. He has worked in private practice advising public companies, NGOs, and small businesses on public policy and regulatory

matters, and he previously served as both special counsel to the US Securities and Exchange Commission and as a national security advisor to a senior member of the US House of Representatives. Sparkes is a graduate of the College of the Holy Cross and Northeastern University School of Law. He works, lives (and skis as often as humanly possible) in Geneva, Switzerland, with his wife, Dr. Susan Sparkes, and their two young children.



### **Mitchell Strauss**

**Special Advisor for Socially Responsible Investment Finance, Overseas Private Investment Corporation (OPIC)**

Mitchell Strauss is special advisor for Socially Responsible Investment Finance at the Overseas Private Investment Corporation, a US government corporation charged with mobilizing and facilitating US private investment in developing countries throughout the world. She is responsible for impact investing, including policy and origination of innovative financing products and structures designed specifically to leverage socially responsible investment to achieve sustainable projects in emerging markets. She collaborates with other US private sector and government and international government entities to assist in coordinating private and public funding to efficiently support projects with socially responsible or impact investment elements for the corporation, including micro finance and SME businesses.

Formerly the director of Credit Policy for the corporation and a former private sector banker, she held various positions in finance and banking. Strauss has an MBA from the University of Connecticut and a BA from Lake Forest College.



### **John J. Suydam**

**Chief Legal Officer, Apollo Global Management**

John Suydam is a senior partner and chief legal officer at Apollo, which he joined in 2006. He is also a member of the firm's Management Committee. Previously, Suydam was a partner at O'Melveny & Myers, where he served as head of Mergers and Acquisitions and co-head of the Corporate Department. He serves on the boards of the Legal Action Center and Environmental Solutions Worldwide Inc. and is a director of the American Investment Council. He is a Trustee of New York University School of Law and is a member of the Department of Medicine Advisory Board of Mount Sinai Medical Center.

Suydam received his JD from New York University School of Law and a BA, magna cum laude, in history from the State University of New York at Albany.



### **Scott Taitel**

**Clinical Professor of Public Service, Director of Social Impact, Innovation and Investment, Wagner Graduate School of Public Service, New York University**

Scott Taitel is clinical professor of Public Service and director of Social Impact, Innovation and Investment at the NYU Wagner Graduate School of Public Service. He teaches numerous courses, including Managing Financial and Social Returns of Social Enterprises, Social Impact Investment, Corporate Finance & Public Policy, and Financial Management for Global Nonprofit Organizations, and leads an interdisciplinary practicum of NYU Wagner and NYU Stern School of Business students in the development of a student-operated Impact Investment Fund.

Professor Taitel has also created the Social Innovation & Investment Initiative at Wagner, which serves as a central hub and incubator in the field of social finance bringing together policymakers, philanthropists, finance professionals, nonprofits, and foundations to collaboratively strengthen the growing field.

As former chief operating officer for the Clinton Foundation's Enterprise Partnership, Professor Taitel was responsible for establishing impact investment funds and the oversight of a portfolio of social enterprises, as well as economic development, health, and nutrition projects throughout the developing world.

# Conference Speakers

Prior to joining the Clinton Foundation, Professor Taitel was a managing partner of an international venture capital firm and held numerous senior executive roles in both private and public multinational technology companies.



## Brian W Tang

**Managing Director, ACMI;  
Founding Executive Director,  
LITE Lab@HKU**

Brian W Tang is an innovator, educator, and ecosystem-builder with a social mission.

He has extensive experience as a corporate lawyer at Wall Street law firm Sullivan & Cromwell in New York and Silicon Valley and global investment bank Credit Suisse in Hong Kong, where he advised on some of the world's largest and first-ever financial services and technology capital markets transactions, M&A, and project financings. He was president of Credit Suisse's APAC Microfinance Advocates and nudged more impact investing as its Hong Kong Charity Committee co-chairman.

Tang founded ACMI to provide inclusive global thought leadership, industry-wide consensus building, and transformational support for innovative technology, educational, and policy solutions, including in ESG reporting.

In addition to being a recognized author and speaker in fintech (with 37,000 MOOC learners worldwide), lawtech/regtech (with hackathons and incubators supporting access to justice and financial inclusion projects), and AI governance (sitting on IEEE's Global AI Ethics Initiative Policy Committee), Tang is founding

executive director of LITE Lab@HKU, an interdisciplinary and experiential program on law, innovation, technology and entrepreneurship (LITE), including assisting social enterprises.

Tang also founded Young Makers & ChangeMakers, an inclusive STEAM education social enterprise for youth to address UN SDGs through innovative solutions.



## Perry Teicher

**Impact Finance Attorney, Orrick,  
Herrington & Sutcliffe**

Perry Teicher represents and advises entrepreneurs, fund sponsors, family offices, and tax-exempt organizations across a wide range of transactions, including corporate legal matters, cross-border mergers and acquisitions, private fund formation matters and portfolio transactions, and private placements. Teicher particularly advises clients that aim to integrate social, environmental, and financial outcomes. As Orrick's impact finance attorney, he leads Orrick's Impact Finance & Investment practice, the first impact-oriented practice at a global law firm. Orrick's Impact Finance & Investment practice serves a global client base across a range of sectors, leveraging Orrick's unique capabilities to provide innovative, effective, and thoughtful legal services to those clients aiming to create positive social and environmental change alongside targeted financial returns.



## Lucas Tesler

**Co-Founder, Goodbit**

Lucas Tesler is an authority on cryptocurrency and blockchain education. He is a co-founder of Goodbit101.com, the most robust online platform designed to teach new users about these technologies. Goodbit uses text, graphics, and engaging videos to get people up to speed on how cryptocurrency and blockchain work, with no prior knowledge needed. The company is venture funded, and its mission is to accelerate the adoption of cryptocurrency and blockchain. When not working at Goodbit, Tesler is a student at Brown University studying business and attention science. He has spoken at many cryptocurrency and blockchain events and has led workshops for DC lawmakers so that they can make better-informed policy decisions.



## John Tobin

**Professor of Practice,  
SC Johnson College of Business,  
Cornell University**

Professor John Tobin-de la Puente is a professor of practice at the Charles H. Dyson School of Applied Economics and Management at Cornell University. Professor Tobin is an environmental biologist and attorney by training. He has over two decades of private sector experience, having practiced corporate law and worked in the international finance industry for most of his career. His recent professional experience has been focused on corporate

sustainability, including the management of environmental, social, and reputational risks, stakeholder engagement and communications, business ethics, sustainability strategy, and the development of financial products and services that aim to address broad societal challenges. In addition, he has substantial leadership and governance experience in nonprofit entities at the board level, particularly in the areas of environment, science, and education.



### **Etienne Toussaint**

**Assistant Professor of Law,  
University of the District of  
Columbia, David A. Clarke  
School of Law**

Etienne C. Toussaint is an assistant professor of law at the UDC David A. Clarke School of Law. He teaches Contracts I & II and co-directs the Community Development Law Clinic,, where he supervises the representation of cooperative housing developments, entrepreneurs, small businesses, and nonprofit organizations in the Washington, DC, metropolitan area on a wide range of transactional legal matters, including housing development and finance, entity formation, contract drafting, business counseling, intellectual property, and tax issues.

Prior to joining the law faculty at UDC in 2017, Professor Toussaint served as a visiting associate professor of clinical law and Friedman Fellow with the Small Business & Community Economic Development Clinic at George Washington University Law School. Professor Toussaint began his legal career as a project finance associate with the international law firm Norton Rose Fulbright US. Subsequently, he

served as a Law & Policy Fellow with the Poverty & Race Research Action Council in Washington, DC, a civil rights advocacy organization dedicated to helping connect policy advocates with social scientists working on race and poverty issues. Prior to embarking on a career as a legal advocate and scholar, he worked as a strategy consultant for Booz Allen Hamilton, focusing on environmental policy and sustainable development initiatives.

Professor Toussaint is a graduate of Massachusetts Institute of Technology, where he earned a BS in mechanical engineering. At MIT, he was designated a Ronald McNair Scholar and received the Alpha Phi Alpha Distinguished Collegiate Award. He completed an MSE in environmental engineering from Johns Hopkins University, where he served as graduate student adviser for Engineers Without Borders. He earned his JD at Harvard Law School, where he was a student-attorney in the Transactional Law Clinic, the Ghana Human Rights Clinic, and the Harvard Defenders, while also serving as an editor of the *Environmental Law Review*, vice president of the Board of Student Advisers, and a member of the National Executive Board of the National Black Law Students Association.

Professor Toussaint's research interests include community economic development theory, environmental justice advocacy, and economic justice reform through progressive transactional law practice. His recent article, "The New Gospel of Wealth: On Social Impact Bonds and The Privatization of Public Good," was published by *Houston Law Review* in the fall of 2018.



### **Anne Tucker**

**Associate Professor of Law &  
Faculty Director, Legal Analytics &  
Innovation Initiative, Georgia State  
University College of Law**

Anne Tucker, professor of law at Georgia State University College of Law, researches corporate law, recently focusing on issues related to institutional investors and retirement investors. Her research focuses on how pooled investments are regulated but also on their power to achieve important personal and social ends such as retirement security and private funding for social entrepreneurship. Her interdisciplinary empirical work on impact investment terms through her collaboration with the Wharton Social Impact Initiative is among the first academic work on the topic. Professor Tucker also serves as the faculty director of the Legal Analytics & Innovation Initiation, where she works to incorporate data science methodologies in the research and study of the law.



### **John E. Tyler**

**General Counsel, Ewing  
Marion Kauffman Foundation;  
Course Designer and Lecturer,  
Columbia University**

John Tyler is general counsel, secretary, and chief ethics officer for the Ewing Marion Kauffman Foundation. He is responsible for all legal aspects of the foundation's operations, including intellectual property, employment, investments, governance, and compliance

# Conference Speakers

with applicable IRS regulations. Prior to joining the foundation six years ago, Tyler practiced law with one of Kansas City's largest law firms, where he emphasized commercial litigation (including copyright and trademark claims), personal injury litigation, and employment law. He has written several articles published in law reviews and legal journals, is a frequent national and local presenter on legal topics, and has received numerous awards for his public service. Tyler has served on several nonprofit boards, including current service on the advisory board for the National Center on Philanthropy & Law at New York University School of Law and the School Board for the Diocese of Kansas City-St. Joseph, where he just completed his third term as president. He and his wife are the parents of six children.



## Stephen Valdes-Robles

### Senior Counsel, NatureVest

Steve Valdes-Robles is the senior attorney responsible for advising The Nature Conservancy, including its NatureVest unit, on impact investments. He has worked as an attorney in the public and private sector in multiple countries across five continents. Prior to joining the Conservancy, he was a foreign service legal officer for the US Agency for International Development (USAID), where he completed tours in Washington, Jerusalem, Tel Aviv, and Mozambique. At USAID, he advised on a wide range of matters, including conservation, development finance, agriculture, water and sanitation, health, and education. Before USAID, he was a corporate attorney at Cleary Gottlieb Steen & Hamilton, where he

spent time in the New York, Hong Kong, Moscow, and Frankfurt offices. He holds a BA from the University of Notre Dame and a JD from the University of Pennsylvania Law School and is a member of the New York Bar.



## Carl Valenstein

### Partner, Morgan, Lewis & Bockius

Carl Valenstein is a partner at Morgan Lewis & Bockius and recently relocated to the Boston office from Washington, DC, where he practiced for over 30 years. His practice focuses on domestic and international corporate and securities matters, mergers and acquisitions, project development, and asset finance covering a wide range of industries and regions, including developed and emerging markets (Latin America and Africa). He also counsels clients concerning international risk management issues and has been involved in internal investigations and enforcement cases in this area. Valenstein is fluent in Spanish and Portuguese and conversant in French and Italian. He received a BA, cum laude, in history from Yale University and a JD from Michigan Law School.

For more than 20 years, Valenstein has provided legal assistance to microfinance institutions and assisted public charities, foundations, social enterprises and entrepreneurs, impact investment venture capital funds, and other impact investors. He founded and co-chairs the Morgan Lewis Impact Investment Initiative, which is a cross-office and interdisciplinary group of Morgan Lewis lawyers engaged in impact investment work.

For the past nine years, he has been an adjunct professor at the Michigan Law School International Transactions Clinic (ITC), focusing on impact investment, and has been teaching at New York University School of Law's ITC since its founding two years ago. He also serves on the Advisory Board of the Michigan Ross School of Business, Social Venture Fund, the oldest student-led impact investment fund. In conjunction with the Michigan Law School ITC, he represented Habitat for Humanity International in establishing MicroBuild, a \$50 million fund that expands housing microfinance lending and helps thousands of low-income families globally. The fund has now been expanded to \$100 million and has received the Overseas Private Investment Corporation's (OPIC) 2016 Access to Finance Award. This project was recently recognized by the Financial Times for innovative social finance.



## Maria Santos Valentin

### General Counsel and Corporate Secretary, The Rockefeller Foundation

As The Rockefeller Foundation's general counsel and corporate secretary, Maria Santos Valentin oversees and advises the foundation on a broad range of legal matters.

Prior to joining the foundation, Santos Valentin worked at the Soros Economic Development Fund—part of the Open Society Foundations—since its inception in 1998, most recently serving as secretary and general counsel. In this capacity, she structured and negotiated the fund's more than 40 innovative program-related investments around the world, primarily in Central and Eastern

Europe, India, Africa, and the Middle East, which totaled over \$200 million. The investments focused on increasing financial inclusion around the world and improving the lives of smallholder farmers, refugees, and migrants—ranging from a project that helped provide over 300,000 owned affordable housing units in South Africa, to establishing a wholly owned holding company in India, Aspada, which was the first of its kind to be created by a private foundation.

Prior to her work at the Soros Economic Development Fund, Santos Valentin worked for 10 years as an international corporate securities lawyer, first for Brown & Wood, based in the United States, and then Clifford Chance, based in the United Kingdom. In that capacity, she worked on emerging market capital markets transactions in Central and Eastern Europe and Latin America, many of which were the first such issuances out of the country, including Spain, Romania, Argentina, and China. In addition, she worked for two years as a senior commercial associate with the Overseas Private Investment Corporation, where she helped structure a small business lending program.

Santos Valentin is a member of the New York Bar and the American Bar Association and was also appointed to the Water Board of New York City by Mayor Michael Bloomberg, serving in that role from 2003 to 2008.

She holds a BA in economics from Fordham University and a JD from Yale Law School.



## Marleen Vogelaar

**Founder and CEO,  
Shapeways and Ziel**

Marleen Vogelaar spent her teens and 20s on the track, immersed in the world of competitive athletics. Her professional background is in manufacturing and finance. As co-founder of Shapeways, the world's largest 3D printing service and marketplace for consumers, she has driven the transformation of 3D printing into the digital era of mass custom manufacturing. With Ziel, Vogelaar brings her expertise of digital manufacturing to apparel. She is rewriting the rules of the industry by making on-demand, eco-friendly, high-performance athletic wear, with a personal interest in creating clothing for people of all shapes and sizes.



## Kyle Westaway

**Managing Partner, Westaway**

Kyle Westaway is the author of *Profit & Purpose*, the managing partner at Westaway—an innovative Brooklyn law firm that counsels social entrepreneurs—and a lecturer on law at Harvard Law School, where he co-teaches a course on social entrepreneurship. Every Saturday morning he sends out the Weekend Briefing—an email on the impact of innovation on society. Westaway serves on the board of the Closed Loop Foundation and is a mentor at Harvard's I-Lab.



## Dara Westling

**Investor Relations, Direct Public  
Offering / RegA+ Crowdfunding  
Offering, TechSoup**

Dara Westling helps mission-aligned businesses, social ventures, and non-profits with financing strategies. She is proud to be working with the TechSoup team, Cutting Edge Counsel, and SVX US to launch and manage TechSoup's \$11.5 million capital raise, utilizing the SEC's Regulation A+. TechSoup, a non-profit social enterprise, has matched changemakers with over \$11.1B in tech donations and services in 200+ countries and territories worldwide.

Westling previously served on TechSoup's executive team supporting a variety of global initiatives. In addition, she has consulted and advised on capital raising projects, including Guerrilla Development's two-building development project, Jolene's First Cousin, which was designed to help address Portland, Oregon's, growing homelessness problem. She has also served on a crowdfunding advisory committee at the State of Oregon's Division of Financial Regulation to explore the evolution of crowdfunding exemptions.

Westling currently serves on the board of The Transitions Project, is a member of the visioning committee for the Ninety-Nine Girlfriends collective giving initiative, and is a member of Business for a Better Portland. She is a small business owner, has an MBA, a master's degree in public and international affairs, and a certificate in impact investing and social enterprise.



## Aaron Wright

**Assistant Clinical Professor,  
Cardozo Law School; Director,  
Cardozo Blockchain Project**

Aaron Wright is an expert in corporate and intellectual property law, with extensive experience in internet and new technology issues. Before joining Cardozo's faculty, he sold a company to Wikia, the for-profit sister project of Wikipedia, where he ran Wikia's New York office, served as general counsel and vice president of Product and Business Development, and helped build an open source search engine.

Wright has clerked for Judge William J. Martini of the US District Court for the District of New Jersey and worked as an associate at several prominent New York law firms, including Patterson Belknap and Jenner & Block. He received his JD from the Cardozo School of Law, where he served as the editor-in-chief of the Cardozo Law Review. He has a forthcoming book about blockchain technology and the law (co-authored with Primavera De Filippi) that will be published by *Harvard University Press*.



## Julie Wynne

**Partner, Froriep**

Julie Wynne is a partner at the Swiss law firm Froriep and the head of its Charities and Social Enterprise practice.

Wynne advises nonprofit organizations and mission-driven businesses for their set-up and tax issues and assists them with mergers, reorganizations, joint ventures, and other forms of collaborative working, as well as constitutional reviews. In addition, she regularly provides advices on grant-making, fundraising, corporate philanthropy, and governance.

Wynne is specially involved in the promotion of philanthropy and the use of business as a force for good. She is a board member of B Lab (Switzerland), ESELA—The Legal Network for Social Impact and Giving Women. She is also co-chair of STEP Philanthropy Advisors Steering Committee and a member of the Legal Advisory Board of Sustainable Finance Geneva.



## Katy Yang

**Fellow, Grunin Center for Law  
and Social Entrepreneurship,  
New York University School of Law**

Katy Yang is a Teaching Fellow for the International Transactions Clinic at New York University School of Law and a Fellow at the Grunin Center for Law and Social Entrepreneurship. Prior to joining NYU Law, she was an associate at global law firm Cleary Gottlieb Steen & Hamilton, where she represented US and international clients in a variety of complex domestic and cross-border transactions and advised on compensation and benefits, corporate governance, capital markets, and debt finance matters. During her time at Cleary Gottlieb, Yang also maintained an active pro bono practice that included serving as an extern attorney with Lawyers Alliance for New York and advising nonprofits on various governance, regulatory, and contract matters.

Yang has also worked on issues of gender violence and currently serves as a committee co-chair of the Sanctuary for Families Pro Bono Council and as a member of the Steering Committee for LeGal's LGBTQ Homeless Youth Clinic. She earned her BA in the Plan II Honors Program and BBA in the Business Honors Program, both with highest honors, from the University of Texas at Austin, where she wrote her senior thesis on social entrepreneurship in young people. She holds a JD from Harvard Law School and is a member of the New York Bar.



## Joseph W. Yockey

**Co-Editor, *The Cambridge Handbook of Social Enterprise Law***

Joseph W. Yockey is a professor of law and the Michael and Brenda Sandler Faculty Fellow in Corporate Law at the University of Iowa College of Law. He joined the faculty in 2010 and writes in the areas of corporate governance, compliance, social enterprise, and higher education. He recently co-edited *The Cambridge Handbook of Social Enterprise Law* with Professor Benjamin Means, and his scholarship appears in leading law journals across the country.

Before coming to Iowa, Professor Yockey practiced corporate litigation at Sidley Austin in Chicago. He is a summa cum laude graduate of the University of Illinois College of Law, where he served as articles editor for the University of Illinois Law Review and was elected to the Order of the Coif. After graduating from law school, he clerked for Judge John D. Tinder (retired from the US Court of Appeals for the Seventh Circuit).

Professor Yockey is also the vice president and president-elect of the University of Iowa Faculty Senate, as well as the co-founder of Iowa Law's First-Generation Professionals student organization (launched in 2019). This year, he will begin serving on the Iowa State Bar Association's Business Law Council.

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# Conference Speakers

