



Program on
Corporate Compliance
and Enforcement
at NYU School of Law

Confronting Cybersecurity and Data Privacy Challenges in Times of Unprecedented Change

October 14 and 16, 2020
New York University
School of Law
Virtual Conference



Program on
Corporate Compliance
and Enforcement
at NYU School of Law



Faculty Director
Jennifer H. Arlen



Executive Director
Alicyn Cooley



Assistant Director
Clarissa D. Santiago

The Program on Corporate Compliance and Enforcement (PCCE) is a law and policy program dedicated to promoting effective enforcement and compliance. Through practical discourse and legal scholarship, PCCE helps shape optimal enforcement policy, guides firms in developing more effective and robust compliance programs, and educates in the fields of corporate compliance and enforcement.

Confronting Cybersecurity and Data Privacy Challenges in Times of Unprecedented Change

October 14 and 16, 2020

Conference Objectives

This conference brings together academics, government officials, corporate directors, in-house counsel, compliance officers, and private attorneys for an off-the-record discussion of today's most significant challenges and risks pertaining to cybersecurity and data privacy. The conference participants will offer concrete guidance on how companies and their counsel can overcome and even preempt these challenges, and more effectively manage the risks. In addition, the conference will provide candid insight into the trajectory of increasing regulation and enforcement in the areas of cybersecurity and data privacy, including, in particular, by the Federal Trade Commission and the New York State Department of Financial Services. The conference will begin with a discussion of boards' and corporate

executives' duties and best practices in these areas. It will then examine how enforcement agencies, financial services providers, and corporations collectively can address the threats posed today by the misappropriation of corporate data, including terrorism and election interference. The next panel will provide practical guidance, and regulators' perspectives, on how firms should be managing the cybersecurity risks carried by third-party vendors and cloud services. The conference will close with a discussion of the current failure of corporate and accounting rules to influence corporate behavior with respect to the accumulation, use, and retention of data that is a business resource, and whether extending corporate rules to such data might improve cybersecurity.

Continuing Legal Education

This event has been approved for up to seven New York State CLE credits in the Areas of Professional Practice category for those who attend the entire event. It is appropriate for newly admitted attorneys as well as experienced attorneys.

Conference Agenda

Wednesday, October 14, 2020

9:00–9:40 A.M. **Keynote**

Linda Lacewell, Superintendent, New York State Department of Financial Services

9:40–9:55 A.M. **Break**

9:55–11:05 A.M. **A New Frontier for Data Management: Applying Corporate Rules to Data**

Moderator: Randal Milch, Co-Chair, Center for Cybersecurity; Distinguished Fellow, Reiss Center on Law and Security; Professor of Practice, NYU School of Law

Panelists:

Mary Galligan, Managing Director, Deloitte & Touche

Avi Gesser, Partner, Debevoise & Plimpton LLP

Edward Stroz, Founder and Co-President, Aon Cyber Solutions (formerly Stroz Friedberg)

11:05–11:20 A.M. **Break**

11:20 A.M.– 12:30 P.M. **Board and Executive Duties and Best Practices in Cybersecurity and Data Privacy**

Moderator: Judith Germano, Founder, Germano Law LLC; Distinguished Fellow, Center for Cybersecurity; Senior Fellow, Reiss Center on Law and Security; and Adjunct Professor of Law, NYU School of Law

Panelists:

Nicole Friedlander, Partner, Sullivan & Cromwell LLP

Thomas H. Glocer, Executive Chairman, BlueVoyant LLC; Lead Director, Morgan Stanley

Jules Polonetsky, Chief Executive Officer, Future of Privacy Forum

Myrna Soto, Chief Strategy and Trust Officer, Forcepoint

Friday, October 16, 2020

9:00–9:40 A.M.

Keynote

Rebecca Kelly Slaughter, Commissioner, U.S. Federal Trade Commission

9:40–9:55 A.M.

Break

9:55–11:05 A.M.

Present-Day Dangers of Corporate Data Misappropriation

Moderator: Andrew Weissmann, Distinguished Senior Fellow, Reiss Center on Law and Security; Distinguished Senior Fellow, Center on the Administration of Criminal Law; and Senior Fellow, Program on Corporate Compliance and Enforcement, NYU School of Law

Panelists:

Jennifer Daskal, Professor of Law and Faculty Director, Tech, Law & Security Program, American University Washington College of Law

Kristina Littman, Chief, Cyber Unit, Division of Enforcement, U.S. Securities and Exchange Commission

Jeannie S. Rhee, Partner, Paul, Weiss, Rifkind, Wharton & Garrison LLP

David Szuchman, Senior Vice President, Global Head of Financial Crime and Customer Protection, PayPal

11:05–11:20 A.M.

Break

11:20 A.M.–
12:30 P.M.

Third-Party and Cloud Risk Management

Moderator: Alicyn Cooley, Executive Director, PCCE; Adjunct Professor of Law, NYU School of Law

Panelists:

Kim Berger, Chief, Internet and Technology Bureau, Office of the New York State Attorney General

Justin Shibayama Herring, Executive Deputy Superintendent, Cybersecurity Division, New York State Department of Financial Services

Marshall L. Miller, Partner, Kaplan, Hecker & Fink LLP

Senior Speaker, UK National Cyber Security Centre

Conference Keynote Speakers



Linda A. Lacewell
Superintendent, New York
State Department of
Financial Services

Linda A. Lacewell currently serves as Superintendent of the New York State Department of Financial Services. As Superintendent, she oversees and regulates the activities of

approximately 1,500 banking and other financial institutions, and more than 1,400 insurance companies, totaling \$7.3 trillion in assets. Prior to this role, she served in various leadership capacities in the Office of New York State Governor Andrew M. Cuomo, including Chief of Staff and Counselor to the Governor, where she oversaw Executive Chamber operations, as well as ethics and law enforcement matters. Lacewell also served as Chief Risk Officer, in which role she built the first statewide system for ethics, risk, and compliance in state agencies and authorities. She also serves as an adjunct professor at NYU School of Law, teaching ethics in government.



Rebecca Kelly
Slaughter
Commissioner
U.S. Federal Trade
Commission

Rebecca Kelly Slaughter was sworn in as a Federal Trade Commissioner on May 2, 2018.

Commissioner Slaughter brings to the FTC more than a

decade of experience in competition, privacy, and consumer protection. She builds consensus for a progressive vision, and staunchly advocates for our nation's consumers and workers. Slaughter believes that the FTC's dual missions of promoting competition and protecting consumers are interconnected and complementary, and she is mindful that enforcement or rulemaking in one arena can have far-reaching implications for the other.

A proponent of greater resources, transparency, and comprehensive use of the FTC's authorities, Slaughter is outspoken about the growing threats to competition and the broad abuse of consumers' data. Along with advocating for consumers, particularly those traditionally underrepresented and marginalized, Slaughter strongly supports working families and work-life balance.

Before joining the FTC, Slaughter served as Chief Counsel to Senator Charles Schumer of New York, the Democratic Leader. She was an associate in the D.C. office of Sidley Austin LLP before entering federal service.

Slaughter received her B.A. in anthropology from Yale University and her J.D. from Yale Law School, where she served as an editor on the *Yale Law Journal*.

Moderators and Panelists



Kim Berger

**Chief of the Internet and
Technology Bureau
New York Attorney General's Office**

Kim Berger is currently the Chief of the Internet and Technology Bureau for the New York Attorney General. Prior to serving in the NY Attorney General's Office, Berger served in the U.S. Department of Justice for over 13 years—specifically, in the U.S. Attorney's Office for the Southern District of New York, the Fraud Section of Main Justice, and the U.S. Attorney's Office for the Northern District of California. She has also held in-house counsel positions at Microsoft and Tesla.



Alicyn Cooley

**Executive Director, Program on
Corporate Compliance and Enforcement,
and Adjunct Professor of Law
NYU School of Law**

Alicyn Cooley is Executive Director of the Program on Corporate Compliance and Enforcement and an Adjunct Professor of Law at NYU School of Law. She joined PCCE after serving for seven years as an Assistant U.S. Attorney in the U.S. Attorney's Office for the Eastern District of New York, most recently as Deputy Chief of the Business and Securities Fraud Section. In that role, she led and supervised investigations and prosecutions of securities fraud, investment adviser fraud, health care fraud, tax fraud, (involving, e.g., hedge fund investment fraud, market manipulation, insider trading, and cryptocurrency offerings) money laundering, and Foreign Corrupt Practices Act violations. She also investigated, tried, and argued the appeals of multiple murder and racketeering cases involving organized criminal enterprises.

Before working as a federal prosecutor, Cooley was an associate at Davis Polk & Wardwell and clerked for the Honorable Barrington D. Parker, Jr. of the U.S. Court of Appeals for the Second Circuit, and the Honorable John Gleeson of the U.S. District Court for the Eastern District of New York. She received her J.D. from Yale Law School and her B.A. from Yale University.

Cooley co-authors the annually published treatise *Federal Criminal Practice: A Second Circuit Handbook*.



Jennifer Daskal

**Professor of Law and Faculty Director,
Tech, Law & Security Program
American University Washington
College of Law**

Jennifer Daskal is a Professor and Faculty Director of the Tech, Law & Security Program at American University Washington College of Law, where she teaches and writes in the fields of cybersecurity, national security, and criminal and constitutional law. Daskal was previously Counsel to the Assistant Attorney General for National Security at the Department of Justice. Before that, she was Senior Counterterrorism Counsel at Human Rights Watch, worked as a Staff Attorney for the Public Defender Service for the District of Columbia, and clerked for the Honorable Jed S. Rakoff. She also spent two years as a National Security Law Fellow and Adjunct Professor at Georgetown Law Center.

Daskal's scholarship has appeared in the *Yale Law Journal*, *University of Pennsylvania Law Review*, *Vanderbilt Law Review*, *Virginia Law Review*, *Stanford Law Review Online*, and *Harvard Journal of National Security Law*, among other places. She has published numerous op-eds, including in the *New York Times*, *Washington Post*, and the *Atlantic* and has appeared on BBC, C-Span, MSNBC, and NPR, among other media outlets. She received her J.D. from Harvard Law School, M.A. from Cambridge University (Marshall Scholar), and B.A. from Brown University.



Nicole Friedlander

**Partner
Sullivan & Cromwell LLP**

Nicole Friedlander is a Partner at Sullivan & Cromwell LLP and a member of the firm's Criminal Defense and Investigations Group and co-head of the Cybersecurity Practice. Friedlander's practice focuses on internal investigations, regulatory enforcement proceedings, and white-collar criminal defense. She represents financial institutions and services firms in fraud, FCPA, cybersecurity, anti-money laundering, and tax matters. Friedlander joined the firm in 2016 after over eight years as Chief of the Complex Frauds and Cybercrime Unit for the U.S. Attorney's Office for the Southern District of New York, where she prosecuted sophisticated financial frauds, cybercrimes, money laundering and Bank Secrecy Act offenses, FCPA violations, and criminal tax cases. Her cybersecurity experience includes leading the successful investigation of the largest-ever cyber theft of customer data from a U.S. financial institution; overseeing the indictment of Iranian state-sponsored hackers for coordinating cyberattacks on 46 financial institutions; leading cutting-edge virtual currency-related cases; and prosecuting a Russian national for hacking U.S. banks in a case the FBI named one of its top ten of the year.



Mary Galligan

**Managing Director
Deloitte & Touche**

Mary Galligan is a Managing Director in Deloitte & Touche's Cyber Risk Services practice. Galligan advises senior executives on the crisis management challenges they face, in particular cyber risks. She helps clients develop and execute security programs to prevent and minimize the business impact of cyber threats. This includes board education, cyber war gaming, and other strategy efforts. Galligan joined Deloitte after retiring from a distinguished career with the FBI, where she oversaw all investigations into national security and criminal cyber intrusions in New York City, and advised numerous financial institutions, media entities, and law firms during high-pressure situations. Her most recent position was with the New York Office as the Special Agent in Charge of Cyber and Special Operations, where she led the largest technical and physical surveillance operation in the FBI. Galligan provides cyber awareness briefings to over 70 boards of directors at privately held and Fortune 500 companies and frequently provides commentary in print and TV news outlets on developing cyber events.

She holds a bachelor's degree from Fordham University, a master's degree in psychology from the New School for Social Research, and an Honorary Doctor of Law degree from Marian University.



Judith H. Germano

**Founder, Germano Law LLC;
Distinguished Fellow, Center for
Cybersecurity; Senior Fellow, Reiss
Center on Law and Security; and**

Adjunct Professor of Law, NYU School of Law

Judith H. Germano is a practicing attorney, entrepreneur, academic, and former federal prosecutor. As Distinguished Fellow at NYU's Center for Cybersecurity (CCS), she leads the CCS Cybersecurity Leaders roundtable series, engaging corporate executives and senior government officials to address critical cybersecurity concerns, and chairs NYU's annual Women Leaders in Cybersecurity Conference. A former federal prosecutor for 11 years and founder of Germano Law LLC, she counsels public and privately held organizations on cybersecurity and privacy matters, and represents companies and individuals on issues relating to complex financial crimes, internal investigations, and regulatory-compliance matters.

Germano previously was Chief of Economic Crimes at the U.S. Attorney's Office for the District of New Jersey, where she supervised and prosecuted complex criminal cases involving cybercrime, securities and other financial fraud, identity theft, corruption, export enforcement, and national security.



Avi Gesser

Partner

Debevoise & Plimpton LLP

Avi Gesser '98 is a member of Debevoise & Plimpton's Cybersecurity & Data Privacy Group and the White Collar & Regulatory Defense Group. He has extensive experience advising on a wide range of cybersecurity matters, incident response issues, data strategy concerns, and complex commercial litigation.

Gesser has represented international financial services firms, private equity firms, hedge funds, and media organizations, among others, throughout full cybersecurity incident cycles, from breaches to regulation compliance to civil litigation, and advised boards and executives on critical data liability issues. He has advised several U.S. and international financial institutions on cross-border tax investigations conducted by the U.S. Department of Justice, the Securities and Exchange Commission, and the New York Department of Financial Services.

Recognized for his outstanding work by legal directories and trade publications, in 2013, he was the recipient of both the Attorney General's Exceptional Service Award and the FBI Director's Award for Excellence—Outstanding Criminal Investigation. He received his B.S. and LL.B. from the University of Manitoba, and LL.M. degrees for the University of Cambridge and New York University.



Thomas H. Glocer

Executive Chairman, BlueVoyant LLC;

Lead Director, Morgan Stanley

Tom Glocer is Executive Chair and Co-Founder of BlueVoyant LLC and Capitolis Inc., cyber defense and fintech companies, respectively. Glocer is also the Chairman of Istari Global Limited, a cyber risk management platform organized by Temasek Holdings Private Limited, a Partner in Communitas Capital LLC, a fintech-focused venture capital fund, and the founder and managing partner of Angelic Ventures, LP, a family office that invests in technology start-ups.

From 1993 through 2011, Glocer worked at Reuters Group PLC and its successor company, Thomson Reuters Corp. He served as CEO during his last 11 years at the news and professional information provider. Prior to Reuters, Glocer was an M&A lawyer at Davis Polk & Wardwell in New York, Paris, and Tokyo.

He is a Director of Merck & Co. (Chair of Compensation Committee), Morgan Stanley (Lead Director), Publicis Groupe, K2 Intelligence, Reynen Court, and the Atlantic Council; a Trustee of the Cleveland Clinic; and a member of the International Advisory Group of Linklaters LLP and various alumni boards at Columbia and Yale Universities.

Glocer received a B.A. from Columbia College in 1981 and a J.D. from Yale Law School in 1984.



Justin Shibayama Herring
Executive Deputy Superintendent,
Cybersecurity Division
New York State Department of
Financial Services

Justin Shibayama Herring is an Executive Deputy Superintendent at the New York Department of Financial Services (DFS), where he leads the newly created Cybersecurity Division. The Cybersecurity Division focuses on protecting consumers and industry from cyber threats and is the first of its kind to be established at a banking or insurance regulator. The division oversees DFS's cybersecurity examinations, issues guidance on DFS's cybersecurity regulations, and conducts cyber-related investigations with the Consumer Protection and Financial Enforcement Division.

Herring joined DFS from the U.S. Attorney's Office for New Jersey, where he was Chief of the office's first Cyber Crimes Unit, supervising the prosecution of crimes such as national security threats, ransomware, hacks targeting corporations, financial institutions, accounting firms and government networks, and cyber-enabled frauds such as business email compromises and account takeovers. He also prosecuted and supervised white-collar cases involving investment fraud, stock manipulation, money laundering, insider trading, and corporate embezzlement.

Herring graduated from the University of Chicago Law School, and received a B.A. from Swarthmore College.



Kristina Littman
Chief, Cyber Unit
Division of Enforcement
U.S. Securities and Exchange Commission

Kristina Littman is Chief of the U.S. Securities and Exchange Commission's Division of Enforcement's Cyber Unit, a national, specialized unit that focuses on protecting investors and markets from cyber-related misconduct. Littman joined the SEC's Division of Enforcement in 2010 in the Philadelphia office. She has held senior attorney positions in SEC Chairman Jay Clayton's office, the Trial Unit, and the Market Abuse Unit. Prior to joining the SEC, Littman practiced law at Drinker Biddle & Reath LLP, specializing in white-collar and securities litigation. She earned her J.D. and M.B.A. from Rutgers University School of Law-Camden and an undergraduate degree from Florida State University.



Randal Milch

**Co-Chair, Center for Cybersecurity;
Distinguished Fellow, Reiss Center on
Law and Security; Professor of Practice
NYU School of Law**

Randal Milch '85 is Co-Chair of the NYU Center for Cybersecurity, a Distinguished Fellow at the Reiss Center on Law and Security, and a Professor of Practice at NYU School of Law. He was most recently Executive Vice President and Strategic Policy Adviser to Verizon's Chairman and CEO. He served as the company's General Counsel from 2008 to 2014 and, prior to that, was General Counsel of several business divisions within Verizon. Milch chaired the Verizon Executive Security Council, which was responsible for information security across all Verizon entities. He was responsible for national security matters at Verizon beginning in 2006, and served as the senior cleared executive at Verizon. Earlier in his career, Milch was a Partner in the Washington, D.C., office of Donovan, Leisure, Newton & Irvine. Milch holds a J.D. from New York University School of Law and a B.A. from Yale.



Marshall L. Miller

**Partner
Kaplan Hecker & Fink LLP**

Marshall L. Miller is a Partner at Kaplan Hecker & Fink LLP, where his practice focuses on helping clients navigate internal investigations, whistleblower complaints, data breaches, regulatory challenges, and government enforcement actions and prosecutions. He joined the firm from Wachtell, Lipton, Rosen & Katz.

Miller is a widely recognized expert on cybersecurity, data privacy, and related regulatory compliance, having overseen some of the country's most high-profile cybersecurity prosecutions during his tenure in public service. At the Department of Justice (DOJ), he supervised the department's leading cybercrime unit—the Computer Crimes & Intellectual Property Section—and oversaw the launch of its Cybersecurity Unit. At the U.S. Attorney's Office, Marshall spearheaded the formation of the Office's National Security & Cybercrime Section.

At the DOJ, he served as the highest-ranking career official in the Criminal Division, supervising over 600 federal prosecutors, as well as the Department's most important programs and prosecutions, such as its Foreign Corrupt Practices Act program, its Health Care Fraud Strike Forces, its Office of International Affairs, and its flagship cybercrime and public corruption units.

Miller is a graduate of Yale Law School and Yale College.



Jules Polonetsky
Chief Executive Officer
Future of Privacy Forum

Jules Polonetsky serves as CEO of the Future of Privacy Forum (FPF), a Washington, D.C.-based non-profit organization that serves as a catalyst for privacy leadership and scholarship, advancing principled data practices in support of emerging technologies. FPF is supported by the chief privacy officers of more than 175 leading companies and several foundations, including the U.S. National Science Foundation, as well as by an advisory board comprised of the country's leading academics and advocates. FPF's current projects focus on AI, adtech, ethics, data-driven research, health, smart cities, connected cars, and student privacy.



Jeannie S. Rhee
Partner
Paul, Weiss, Rifkind, Wharton & Garrison LLP

Jeannie S. Rhee, a former prosecutor and a versatile litigator with nearly a decade in private practice, is currently a Partner in the Paul, Weiss Litigation Department. A seasoned first chair trial lawyer, Rhee has extensive experience handling significant civil, white-collar and regulatory matters, complex anti-corruption and other sensitive internal investigations, and cybersecurity and data-privacy matters.

Rhee recently served in Special Counsel Robert Mueller's Office, where she led the team investigating Russian cyber, social media, and intelligence efforts to influence the 2016 presidential election, among other matters. She earlier served as Deputy Assistant Attorney General in the U.S. Department of Justice's Office of Legal Counsel and as an Assistant U.S. Attorney in the District of Columbia. Rhee also served as a clerk for Judge Stanley Sporkin on the District Court for the District of Columbia and for Judge Judith Rogers on the Court of Appeals for the District of Columbia Circuit.

Senior Speaker

U.K. National Cyber Security Centre

The National Cyber Security Centre (NCSC) supports the most critical organizations in the U.K., the wider public sector, and industry SMEs, as well as the general public. When incidents do occur, it provides effective incident response to minimize harm to the U.K., help with recovery, and learn lessons for the future.

Launched in October 2016, the NCSC has headquarters in London and brought together expertise from CESG (the information assurance arm of GCHQ), the Centre for Cyber Assessment, CERT-UK, and the Centre for Protection of National Infrastructure.

The NCSC provides a single point of contact for SMEs, larger organizations, government agencies, the general public, and departments. It also works collaboratively with other law enforcement, defence, the U.K.'s intelligence and security agencies, and international partners.



Myrna Soto

Chief Strategy and Trust Officer Forcepoint

Myrna Soto is the Chief Strategy and Trust Officer for Forcepoint, a global cybersecurity technology leader for user and data protection. She has over 28 years of focused information technology/security experience and has received accolades within a variety of industries, including financial services, hospitality, insurance/risk management, and gaming/entertainment.

Soto serves on the boards of CMS Energy/Consumers Energy, Spirit Airlines, and Popular Inc., which operates under the brand names of Banco Popular, and Popular Bank. She is recognized as a Governance and Board Leadership Fellow by the National Association of Corporate Directors.

Previously, Soto served as COO of Digital Hands LLC. Digital Hands is an award-winning managed security service provider focused on providing world-class security operations to customers and partners. She was responsible for Security Operations, Service Delivery, Sales, Customer Success, Marketing, and HR. Soto was also a Partner at ForgePoint Capital and a member of the ForgePoint Capital Investment Team. She remains a Venture Investor & Advisor for ForgePoint Capital. She also has held roles at Comcast Corp., MGM Resorts International, American Express, Royal Caribbean and Norwegian cruise lines, and Kemper Insurance.

Soto holds a bachelor's degree from Florida International University, a master of science degree in industrial psychology, and an MBA from Nova Southeastern University.



Edward Stroz

**Founder and Co-President
Aon Cyber Solutions
(formerly Stroz Friedberg)**

Ed Stroz is the Founder and Co-President of Aon Cyber Solutions (formerly Stroz Friedberg), a global leader in investigations, intelligence, and risk management. Stroz oversees the firm's growth and client development, while ensuring the maintenance of its distinctive culture. He also provides hands-on strategic consulting in investigations, intelligence, due diligence, and cyber and physical security. Before starting the firm, Stroz was an FBI special agent, where he formed the bureau's computer crime squad in New York. Trained as a CPA, Stroz has extensive experience in investigations of white-collar crime, including bank and securities fraud, and has testified in court numerous times as an expert witness.

Stroz is a Trustee Fellow of Fordham University, his alma mater, and serves as an advisor to the Center on Law and Information Policy at Fordham Law School. He sits on the Board of Directors of the Crime Commission of NYC and is a member of the Association of Former Intelligence Officers. He served on the NY State Courts System E-Discovery Working Group, providing ongoing support and expertise to the NY State Judiciary. As a member of the National Association of Corporate Directors, in 2017 he earned the CERT Certificate in Cybersecurity Oversight from Carnegie Mellon University.



David Szuchman

**Senior Vice President and Global Head of
Financial Crime and Customer Protection
PayPal**

As SVP and Head of Global Financial Crimes Compliance and Customer Protection, Szuchman is responsible for fulfilling PayPal's commitment to combat money laundering, terrorism financing, and related financial crimes around the world. Since joining the company, he has led the transformation of PayPal's enhanced anti-money laundering and sanctions programs and practices, and the evolution of the company's brand risk management and internal investigations functions. In 2019, Szuchman expanded his role to oversee consumer risk, resolution, and protections, and the customer identification program, expanding the organization to over 3,000 employees across more than 20 sites globally.

Prior to joining PayPal, Szuchman was an Executive Assistant District Attorney and Chief of the Investigation Division at the New York County District Attorney's (DA's) Office, where he was responsible for supervising the Rackets Bureau, Major Economic Crimes Bureau, Cybercrime and Identity Theft Bureau, Counter Terrorism Program, Financial Frauds Bureau, Tax Crimes Unit, Public Corruption Unit, and the Asset Forfeiture Unit. Under Szuchman's leadership and supervision, the Investigation Division initiated and concluded significant cases against numerous large financial institutions. He also assisted the DA with major initiatives such as the Global Cyber Alliance and efforts surrounding encryption.

Szuchman graduated from the University of Vermont with a bachelor's degree in political science, and received his J.D. from Hofstra University School of Law.



Andrew Weissmann

Distinguished Fellow, Reiss Center on Law and Security; Distinguished Senior Fellow, Center on the Administration of Criminal Law; Senior Fellow, Program on Corporate

Compliance and Enforcement, NYU School of Law

Andrew Weissmann is a Senior Fellow at the Program on Corporate Compliance and Enforcement and a Distinguished Senior Fellow at the Reiss Center on Law and Security and the Center on the Administration of Criminal Law at NYU School of Law. He served as a lead prosecutor in Robert S. Mueller's Special Counsel's Office and as the DOJ's Fraud Section Chief. From 2011 to 2013, Weissmann served as the General Counsel for the FBI. He previously was Special Counsel to then-Director Mueller in 2005, after which he was a Partner at Jenner & Block in New York City.

From 2002 to 2005, he served as the Deputy and then the Director of the Enron Task Force in Washington, D.C., where he supervised the prosecution of more than 30 individuals in connection with the company's collapse. Weissmann was a federal prosecutor for 15 years in the Eastern District of New York, where he served as the Chief of the Criminal Division. He prosecuted numerous members of the Colombo, Gambino, and Genovese families. Weissmann won the largest Financial Industry Regulatory Authority arbitration award in history. He holds a J.D. from Columbia Law School and a B.A. from Princeton University and attended the University of Geneva on a Fulbright Fellowship.

Acknowledgments

We gratefully acknowledge financial support for this conference from Bart M. Schwartz '71 and Guidepost Solutions.

Conference Advisers

PCCE would like to thank the people who provided us with their expert advice to help us prepare for this conference. We are deeply grateful for their help.

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The Program on Corporate Compliance and Enforcement would also like to thank the following people for their assistance. This conference would not have been possible without them.

Dean Trevor W. Morrison

Jerome Miller

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We invite you to contact PCCE if you wish to join it, contribute to its mission, inquire about one of its events or projects, or bring to its attention a case or public policy issue.

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Contact

To find out more about the Program on Corporate Compliance and Enforcement or to comment on our projects, news, or blog posts, visit us on our web site at www.law.nyu.edu/centers/corporatecompliance or send us an e-mail at law.pcce@nyu.edu.

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Bruce E. Yannett '85, Partner, Debevoise & Plimpton LLP

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Program on Corporate Compliance and Enforcement at NYU School of Law

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