117	7TH CONGRESS 2D SESSION	<b>S.</b> _		_		
То	amend title 28, Uni of a code of condu United States, and f	act for the	justices of			

## IN THE SENATE OF THE UNITED STATES

Mr.	WHITEHOUSE introduced the following	g bill;	which	was	read	twice	and
	referred to the Committee on						

## A BILL

- To amend title 28, United States Code, to provide for the establishment of a code of conduct for the justices of the Supreme Court of the United States, and for other purposes.
  - 1 Be it enacted by the Senate and House of Representa-
  - 2 tives of the United States of America in Congress assembled,
  - 3 SECTION 1. SHORT TITLE.
  - 4 This Act may be cited as the "21st Century Courts
  - 5 Act of 2022".

	2
1	SEC. 2. CODE OF CONDUCT FOR THE SUPREME COURT OF
2	THE UNITED STATES.
3	(a) In General.—Chapter 16 of title 28, United
4	States Code, is amended by adding at the end the fol-
5	lowing:
6	"§ 365. Codes of conduct
7	"(a) Not later than 180 days after the date of the
8	enactment of this section—
9	"(1) the Supreme Court of the United States
10	shall, after appropriate public notice and opportunity
11	for comment, issue a code of conduct for the justices
12	of the Supreme Court; and
13	"(2) the Judicial Conference of the United
14	States shall issue a code of conduct for the judges
15	of the courts of appeals, the district courts (includ-
16	ing bankruptcy judges and magistrate judges), and
17	the Court of International Trade.
18	"(b) The Supreme Court and the Judicial Conference
19	may modify the applicable codes of conduct under this sec-
20	tion after giving appropriate public notice and opportunity
21	for comment.".
22	(b) TECHNICAL AND CONFORMING AMENDMENT.—
23	The table of sections for chapter 16 of title 28, United
24	States Code, is amended by adding at the end the fol-
25	lowing.

<sup>&</sup>quot;365. Codes of conduct.".

1	<b>SEC. 3.</b>	DISQU	ALIFIC	ATION OI	F FEDERAL	JUDGES.
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2 Section 455 of title 28, United States Code, is 3 amended—

- 4 (1) in subsection (a), by inserting "bankruptcy judge," after "judge,";
- 6 (2) in subsection (b), by adding at the end the following:
  - "(6) Where the justice, judge bankruptcy judge, or magistrate judge of the United States received, during the 6-year period ending on the date on which the judge was assigned to the proceeding, income, a gift, or reimbursement required to be reported under section 102 of the Ethics in Government Act of 1978 (5 U.S.C. App.) from a party to the proceeding, a lawyer in the proceeding, an individual employed in a supervisory capacity by a party or law firm in the proceeding, or an affiliate of a party or law firm in the proceeding.
    - "(7) Where a party to the proceeding, a lawyer in the proceeding, an individual employed in a supervisory capacity by a party or law firm in the proceeding, or an affiliate of a party or law firm in the proceeding made any lobbying contact or spent substantial funds in support of the nomination, confirmation, or appointment of the justice, judge,

1	bankruptcy judge, or magistrate judge of the United
2	States.
3	"(8) Where the justice, judge, bankruptcy
4	judge, or magistrate judge of the United States,
5	their spouse, child, or spouse of their child has, dur-
6	ing the 6-year period ending on the date on which
7	the justice, judge, bankruptcy judge, or magistrate
8	judge of the United States was assigned to the pro-
9	ceeding—
10	"(A) received income, a gift, or reimburse-
11	ment required to be reported under section 102
12	of the Ethics in Government Act of 1978 (5
13	U.S.C. App.) from, or been employed or volun-
14	teered for more than 6 consecutive months in
15	an official supervisory or advisory capacity for
16	a party to the proceeding, a lawyer in the pro-
17	ceeding, or an affiliate of a party or law firm
18	in the proceeding; or
19	"(B) been employed or volunteered for
20	more than 6 consecutive months in an official
21	supervisory or advisory capacity alongside a
22	lawyer in the proceeding.";
23	(3) by striking subsection (e) and inserting the
24	following:

1	"(c) A justice, judge, bankruptcy judge, or magistrate
2	judge of the United States shall be informed about—
3	"(1) the personal and fiduciary financial inter-
4	ests of the justice, judge, bankruptcy judge, or mag-
5	istrate judge of the United States;
6	"(2) the personal financial interests of the
7	spouse and minor children residing in the household
8	of the justice, judge, bankruptcy judge, or mag-
9	istrate judge of the United States; and
10	"(3) any interest that could be substantially af-
11	fected by the outcome of the proceeding.";
12	(4) in subsection (d)—
13	(A) in paragraph (4)—
14	(i) in clause (iii), by inserting "and"
15	at the end;
16	(ii) in clause (iv), by striking the pe-
17	riod at the end and inserting a semicolon;
18	and
19	(B) by adding at the end the following:
20	"(5) 'official supervisory or advisory capacity'
21	includes acting as a director, officer, trustee, or any
22	other equivalent position;
23	"(6) 'affiliate' means an entity that effectively
24	controls or is controlled by another entity or is asso-
25	ciated with another entity under common ownership

1	or control, regardless of tax status or corporate
2	form. Whether an entity is an affiliate of another
3	shall be determined under the totality of the cir-
4	cumstances, including—
5	"(A) whether the entities share employees,
6	board members, or officers;
7	"(B) whether the entities share facilities or
8	mailing addresses;
9	"(C) whether the entities are related orga-
10	nizations, as defined by the Internal Revenue
11	Service; and
12	"(D) any indicia that the 2 entities are
13	alter egos or otherwise effectively the same or-
14	ganization regardless of tax status or corporate
15	form;
16	"(7) 'substantial funds' means an amount of
17	money that a reasonable person would consider to be
18	significant based on the totality of circumstances, in-
19	cluding—
20	"(A) the proportion of funds spent relative
21	to the revenues or expenditures of the indi-
22	vidual or entity;
23	"(B) the proportion of funds spent relative
24	to other known spending in support of the nom-
25	ination, confirmation, or appointment of the

1	justice, judge, bankruptcy judge, or magistrate
2	judge of the United States; and
3	"(C) any other objective indicia of the sig-
4	nificance of the financial support of the indi-
5	vidual or entity for the nomination, confirma-
6	tion, or appointment of the justice, judge, bank-
7	ruptcy judge, or magistrate judge of the United
8	States.";
9	(5) in subsection (e)—
10	(A) by adding "bankruptcy judge," after
11	$\rm ``judge,";$
12	(B) in the first sentence, by inserting ",
13	unless the ground for disqualification arises
14	under paragraph (7) of that subsection" before
15	the period at the end;
16	(C) after the second sentence, by adding
17	"Where the ground for disqualification arises
18	only under subsection (b)(7), waiver may be ac-
19	cepted only if offered by all parties to the pro-
20	ceeding.";
21	(6) in subsection (f), by inserting "under sub-
22	section (b)(4)" after "disqualified"; and
23	(7) by adding at the end the following:
24	"(g) If a justice, judge, bankruptcy judge, or mag-
25	istrate judge learns that a condition requiring disqualifica-

1 tion under this section is present, the justice, judge, bank-

- 2 ruptcy judge, or magistrate judge shall—
- 3 "(1) immediately notify all parties to the pro-
- 4 ceeding; and
- 5 "(2) include the notification required under
- 6 paragraph (1) in the official record of the pro-
- 7 ceeding.
- 8 "(h)(1) A justice, judge, bankruptcy judge, or mag-
- 9 istrate judge shall grant or certify to a reviewing panel
- 10 a timely motion filed by a party to the proceeding that
- 11 is accompanied by a certificate of good faith and an affi-
- 12 davit alleging facts sufficient to show that disqualification
- 13 of the justice, judge, bankruptcy judge, or magistrate
- 14 judge is required under this section or any other Federal
- 15 law.
- 16 "(2) A reviewing panel described in paragraph (1)
- 17 shall be selected at random from judges of the United
- 18 States who do not sit on the same court as the judge,
- 19 bankruptcy judge, or magistrate judge who is the subject
- 20 of the motion or as the other members of the reviewing
- 21 panel. No more than 1 member of the reviewing panel may
- 22 be a judge of the same judicial circuit as the judge, bank-
- 23 ruptcy judge, or magistrate judge who is the subject of
- 24 the motion.

1 "(3) The Supreme Court of the United States shall 2 be the reviewing panel for a motion seeking to disqualify 3 a justice. 4 "(i) The clerk of the applicable court shall publish 5 timely notice on the website of the court of— 6 "(1) any matter in which a justice, judge, bank-7 ruptcy judge, or magistrate judge of the United 8 States disqualifies is disqualified under this section; 9 "(2) in the case of any matter in which the re-10 viewing panel under subsection (h) rules on a motion 11 to disqualify; and 12 "(3) an explanation of each reason for the dis-13 qualification or ruling, which shall include a specific 14 identification of each circumstance that resulted in 15 disqualification.". 16 SEC. 4. CONFLICTS RELATED TO AMICI CURIAE. 17 (a) In General.—Exception as provided in sub-18 section (b), the Supreme Court of the United States and 19 the Judicial Conference of the United States shall pre-20 scribe rules of procedure in accordance with sections 2072 21 through 2074 of title 28, United States Code, for prohibiting the filing of or striking an amicus brief that would result in the disqualification of a justice, judge, or mag-

istrate judge.

1	(b) INITIAL TRANSMITTAL.—The Supreme Court of
2	the United States shall transmit to Congress—
3	(1) the proposed rules required under sub-
4	section (a) not later than 180 days after the date of
5	enactment of this Act; and
6	(2) any rules in addition to those transmitted
7	under paragraph (1) pursuant to section 2074 of
8	title 28, United States Code.
9	SEC. 5. AMICUS DISCLOSURE.
10	(a) IN GENERAL.—Chapter 111 of title 28, United
11	States Code, is amended by adding at the end the fol-
12	lowing:
13	"§ 1660. Disclosures related to amicus activities
14	"(a) Definition.—In this section, the term 'covered
15	amicus' means any person, including any affiliate of the
16	person, that files an amicus brief in a calendar year in
17	the Supreme Court of the United States or a court of ap-
18	peals of the United States.
19	"(b) DISCLOSURE.—
20	"(1) In General.—Any covered amicus that
21	files an amicus brief in the Supreme Court of the
22	United States or a court of appeals of the United
23	States shall list in the amicus brief the name of any
24	person who—

1	"(A) contributed to the preparation or sub-
2	mission of the amicus brief;
3	"(B) contributed not less than 3 percent of
4	the gross annual revenue of the covered amicus
5	for the previous calendar year if the covered
6	amicus is not an individual; or
7	"(C) contributed more than \$100,000 to
8	the covered amicus in the previous calendar
9	year.
10	"(2) Exceptions.—The requirements of this
11	subsection shall not apply to amounts received by a
12	covered amicus described in paragraph (1) in com-
13	mercial transactions in the ordinary course of any
14	trade or business conducted by the covered amicus
15	or in the form of investments (other than invest-
16	ments by the principal shareholder in a limited li-
17	ability corporation) in an organization if the
18	amounts are unrelated to the amicus filing activities
19	of the covered amicus.
20	"(c) AUDIT.—The Comptroller General of the United
21	States shall conduct an annual audit to ensure compliance
22	with this section.
23	"(d) Prohibition on Provision of Gifts of
24	TRAVEL BY COVERED AMICI TO JUDGES AND JUS-
25	TICES.—

1	"(1) IN GENERAL.—Except as provided in para-
2	graph (2), no covered amicus may make a gift or
3	provide travel to a judge of a court of appeals of the
4	United States, the Chief Justice of the United
5	States, or an associate justice of the Supreme Court
6	of the United States.
7	"(2) REIMBURSEMENT FOR TRAVEL FOR AP-
8	PEARANCES AT ACCREDITED LAW SCHOOLS.—Para-
9	graph (1) shall not apply to reimbursement for trav-
10	el for an appearance at an accredited law school.
11	"(e) CIVIL FINES.—Whoever knowingly fails to com-
12	ply with any provision of this section shall, upon proof of
13	such knowing violation by a preponderance of the evi-
14	dence, be subject to a civil fine of not more than \$200,000,
15	depending on the extent and gravity of the violation.
16	"(f) Rules of Construction.—
17	"(1) Constitutional rights.—Nothing in
18	this section shall be construed to prohibit or inter-
19	fere with—
20	"(A) the right to petition the Government
21	for the redress of grievances;
22	"(B) the right to express a personal opin-
23	ion; or

1	"(C) the right of association, protected by
2	the First Amendment to the Constitution of the
3	United States.
4	"(2) Prohibition of activities.—Nothing in
5	this section shall be construed to prohibit, or to au-
6	thorize any court to prohibit, amicus activities by
7	any person or entity, regardless of whether such per-
8	son or entity is in compliance with the requirements
9	of this section.
10	"(g) SEVERABILITY.—If any provision of this section,
11	or the application thereof, is held invalid, the validity of
12	the remainder of this section and the application of such
13	provision to other persons and circumstances shall not be
14	affected thereby.".
15	(b) TECHNICAL AND CONFORMING AMENDMENT.—
16	The table of sections for chapter 111 of title 28, United
17	States Code, is amended by adding at the end the fol-
18	lowing:
	"1660. Disclosures related to amicus activities.".
19	SEC. 6. JUDICIAL TRAVEL.
20	(a) DISCLOSURES REGARDING TRAVEL-RELATED
21	REIMBURSEMENTS.—
22	(1) IN GENERAL.—Section 102(a)(2)(B) of the
23	Ethics in Government Act of 1978 (5 U.S.C. App.)
24	is amended—

1	(A) by striking "source and a brief" and
2	inserting "source, and a brief";
3	(B) by inserting ", including the value,"
4	before "of reimbursements"; and
5	(C) by striking "greater and received" and
6	inserting "greater, received".
7	(2) Periodic reports.—Section 103 of the
8	Ethics in Government Act of 1978 (5 U.S.C. App.)
9	is amended by adding at the end the following:
10	"(m)(1) Not later than 30 days after completing trav-
11	el in connection with which a judicial officer receives, or
12	will receive, a reimbursement required to be reported
13	under section 102(a)(2)(B), the judicial officer shall file
14	a report regarding the reimbursement.
15	"(2) The Administrative Office of the United States
16	Courts shall publish on a website of the Federal judiciary
17	each report filed under paragraph (1).".
18	(b) Definition of Personal Hospitality of an
19	Individual.—
20	(1) In general.—Section 109(14) of the Eth-
21	ics in Government Act of 1978 (5 U.S.C. App.) is
22	amended—
23	(A) by striking "any individual means"
24	and inserting the following: "an individual"—
25	"(A) means";

1	(B) in subparagraph (A) (as so des-
2	ignated)—
3	(i) by striking "his family" each place
4	it appears and inserting "the family of the
5	individual"; and
6	(ii) by adding "and" after the semi-
7	colon; and
8	(C) by adding at the end the following:
9	"(B) with respect to a judicial officer, does
10	not include—
11	"(i) private travel on a boat or air-
12	plane owned by an individual if that travel
13	is substituting for commercial transpor-
14	tation;
15	"(ii) any food, lodging, or entertain-
16	ment provided by an individual who has
17	(or owns or controls an entity that has) a
18	matter pending before the court on which
19	the judicial officer serves or before a court
20	the decisions of which may be appealed to
21	the court on which the judicial officer
22	serves;
23	"(iii) lodging at a residence or other
24	property that is rented to others by the in-
25	dividual providing the hospitality;

1	"(iv) hospitality provided by an indi-
2	vidual at—
3	"(I) a restaurant, nightclub, re-
4	sort, hotel, or other commercial estab-
5	lishment; or
6	"(II) a private club of which the
7	individual is a paying member;
8	"(v) hospitality extended by an indi-
9	vidual, the cost of which is paid for by a
10	corporation or organization, including a
11	corporation or organization that is not less
12	than 10-percent owned by the individual;
13	or
14	"(vi) hospitality extended by an indi-
15	vidual, the cost of which is reimbursed to
16	the individual by any third party.".
17	(2) CERTIFICATION REGARDING LACK OF REIM-
18	BURSEMENT.—Section 102(a)(2) of the Ethics in
19	Government Act of 1978 (5 U.S.C. App.) is amend-
20	$\operatorname{ed}$ —
21	(A) in subparagraph (A), by striking "The
22	identity" and inserting "Subject to subpara-
23	graphs (C) and (D), the identity"; and
24	(B) by adding at the end the following:

1	"(D) A judicial officer who receives food,
2	lodging, or entertainment that is exempted
3	under subparagraph (A) from being reported as
4	being food, lodging, or entertainment received
5	as personal hospitality of an individual shall in-
6	clude in the report covering the period during
7	which the food, lodging, or entertainment was
8	received a certification that the cost of the food,
9	lodging, or entertainment was not reimbursed
10	by any third party.".
11	SEC. 7. FINANCIAL CONFLICTS OF INTEREST.
12	Section 208 of title 18, United States Code, is
13	amended by inserting after "Government employee,", "or
14	an officer or employee of the judicial branch of the United
15	States Government,".
16	SEC. 8. VIDEO RECORDING OF COURT PROCEEDINGS.
17	(a) Courts of Appeals.—
18	(1) IN GENERAL.—Chapter 3 of title 28, United
19	States Code, is amended by adding at the end the
20	following:
21	"§ 50. Internet publication of certain video record-
22	ings
23	"(a) In General.—The open proceedings of each
24	1 ' 6 ' 6 ' 1 1 11 1 1 1 1 1 1 1
	hearing of a court of appeals shall be made available by

1	"(1) to the extent practicable, in real time dur-
2	ing such hearing; and
3	"(2) for not fewer than 5 years after the date
4	on which the hearing concludes.
5	"(b) Copyright Protection Not Available.—An
6	audio or video recording created pursuant to the require-
7	ment under this section shall be considered a work of the
8	United States Government for purposes of section 105 of
9	title 17.".
10	(2) TECHNICAL AND CONFORMING AMEND-
11	MENT.—The table of sections for chapter 3 of title
12	28, United States Code, is amended by adding at
13	the end the following:
	"50. Internet publication of certain video recordings.".
14	(b) Supreme Court of the United States.—
15	(1) In General.—Chapter 1 of title 28, United
16	States Code, is amended by adding at the end the
17	following:
18	"§ 7. Internet publication of certain video recordings
19	"(a) In General.—Each oral argument and reading
20	of an opinion before the Supreme Court of the United
21	States shall be made available by video for public trans-
22	mission over the internet—
23	"(1) on the day of such oral argument and
24	reading; and

1	"(2) in real time during such oral argument
2	and opinion reading.
3	"(b) COPYRIGHT PROTECTION NOT AVAILABLE.—An
4	recording created pursuant to the requirement under this
5	section shall be considered a work of the United States
6	Government for purposes of section 105 of title 17.".
7	(2) TECHNICAL AND CONFORMING AMEND-
8	MENT.—The table of sections for chapter 1 of title
9	28, United States Code, is amended by adding at
10	the end the following:
	"7. Internet publication of certain video recordings.".
11	SEC. 9. RESTRICTIONS ON SEALED COURT FILINGS.
12	(a) In General.—Chapter 111 of title 28, United
13	States Code, as amended by section 5 of this Act, is
14	amended by adding at the end the following:
15	"§ 1661. Restrictions on sealing judicial records
16	"(a) In General.—Unless otherwise provided by
17	law, no court may seal any judicial record or any part of
18	a judicial record unless—
19	"(1) the court finds that a compelling interest
20	justifies abridging the right of public access to the
21	judicial record or the part of the judicial record;
22	"(2) the findings and conclusions of the court
23	are specific to each judicial record or each part of
24	a judicial record;

1	"(3) the seal is narrowly tailored and lasts no
2	longer than necessary; and
3	"(4) the public has been given notice and op-
4	portunity to challenge the seal.
5	"(b) Rules.—
6	"(1) IN GENERAL.—Except as provided in para-
7	graph (2), the Supreme Court of the United States
8	and the Judicial Conference of the United States
9	shall prescribe rules of procedure in accordance with
10	sections 2072 through 2074 to ensure that disin-
11	terested members of the public have a simplified and
12	inexpensive process to contest a motion to seal a ju-
13	dicial record, to appeal an order sealing a judicial
14	record, and to request that a judicial record be un-
15	sealed. No local rule of procedure may be less pro-
16	tective of the right of public access to judicial
17	records than the rules prescribed under this sub-
18	section. Such rules shall be prescribed and submitted
19	to the Congress pursuant to sections.
20	"(2) Initial transmittal.—The Supreme
21	Court of the United States shall transmit to Con-
22	gress—
23	"(A) the proposed rules required under
24	paragraph (1) not later than 1 year after the
25	date of enactment of this section; and

1	"(B) any rules in addition to those trans-
2	mitted under paragraph (1) pursuant to section
3	2074 of title 28, United States Code.
4	"(c) Rules of Construction.—Nothing in this
5	section may be construed to—
6	"(1) abolish, diminish, or infringe upon any
7	right, responsibility, or remedy provided by the Con-
8	stitution of the United States or any other law;
9	"(2) relieve a court of any part of the inde-
10	pendent duty of the court to enforce the right of
11	public access to judicial records;
12	"(3) abrogate any rule of law that is more or
13	additionally protective of the right of public access
14	to judicial records.".
15	(b) TECHNICAL AND CONFORMING AMENDMENT.—
16	The table of sections for chapter 111 of title 28, United
17	States Code, as amended by section 5 of this Act, is
18	amended by adding at the end the following:
	"1661. Restrictions on sealing judicial records.".
19	SEC. 10. STUDIES BY THE FEDERAL JUDICIAL CENTER.
20	(a) In General.—Not later than December 31,
21	2022, and every other year thereafter, the Federal Judi-
22	cial Center shall conduct a study of the extent of compli-
23	ance or noncompliance with the requirements of sections
24	144 and 455 of title 28, United States Code, as amended
25	by section 3 of this Act

1	(b) REPORTS TO CONGRESS.—Not later than April
2	1 of each year following the completion of the study re-
3	quired under subsection (a)—
4	(1) the Federal Judicial Center—
5	(A) shall submit to Congress a report con-
6	taining the findings of the study; and
7	(B) may submit to Congress recommenda-
8	tions to improve the compliance by the Federal
9	judiciary with the requirements of sections 144
10	and 455 of title 28, United States Code, as
11	amended by section 3 of this Act; and
12	(2) the Comptroller General of the United
13	States shall submit to Congress a report containing
14	an evaluation of the methodology and findings of the
15	study.
16	(c) FACILITATION OF STUDIES.—In order to facili-
17	tate the studies required under subsection (a)—
18	(1) the Judicial Conference of the United
19	States shall maintain a record of each instance in
20	which a justice, judge, bankruptcy judge, or mag-
21	istrate judge was not assigned to a case due to po-
22	tential or actual conflicts indicated on a conflicts
23	sheet; and
24	(2) the clerk of each court shall maintain and
25	include in the relevant case docket a record of each

1 instance in which a justice, judge, bankruptcy judge,

- 2 or magistrate judge disqualifies after a case assign-
- 3 ment is made.