Program on
Corporate Compliance
and Enforcement
at NYU School of Law

Academy for Directors and Senior Officers

November 19 and 20, 2026

New York University School of Law







NYU

Led by the Program on Corporate Compliance and Enforcement (PCCE)—in partnership with industry experts, leading practitioners, and distinguished scholars from around the country—the Academy provides a unique platform for directors, executives, and professionals to enhance their understanding of critical governance and compliance issues. Our program will include discussions of cybersecurity, legal risk, crisis management, activist investors, director liability, AI, board culture and composition, accounting, antitrust, and DEI.

NACD Recertification Credits, PCCE Certificates, CLE, and IAPP CPE

A PCCE Directors' Academy Certificate will be awarded for each full day of in-person attendance.

Additionally, the Academy for Directors and Senior Officers qualifies for up to 13 recertification credits for directors maintaining their NACD Directorship Certification®. NYU also expects to offer up to 10.5 New York CLE credits, including at least one cybersecurity credit, for attendees who sign in and out each day. Attendees who hold a privacy certification from the International Association of Privacy Professionals (IAPP) may also self-apply on IAPP's website for Continuing Privacy Education (CPE) credits for attending the cybersecurity and AI-related sessions at the Academy.

NYU School of Law is committed to the availability of quality affordable CLE programs for its alumni community and members of the bar-at-large. Prospective attendees interested in obtaining financial aid for this program should contact PCCE for more information. Written requests for financial aid should include the applicant's name, phone number, email address, employment status, and recent employment history, along with a brief statement of financial need. All requests for financial aid will be kept confidential.

PCCE Conference Rule

To encourage frank, open analysis of issues and sharing of information, the Academy is governed by the following rule:

Participants are free to use the information received, but all content at this PCCE event is not for attribution, in whole or in part. Specifically, neither the identity of the person who makes the comment nor his or her affiliation (including whether he or she is a government or private-sector employee) may be revealed. PCCE does not videotape or otherwise record events (or any segment of an event) governed by this rule, unless otherwise noted in the program. PCCE takes photographs of speakers and attendees, some of which are included on the PCCE website, on social media, and in our brochure. Your attendance at PCCE events constitutes permission for us to use photographic and identifying information for such purposes.

Thursday, November 19, 2026

Vanderbilt Hall, 40 Washington Square South, Greenberg Lounge

8:00–8:30 A.M. — **Registration and Continental Breakfast**

8:40–8:45 A.M. — **Welcome and PCCE Rule**

8:45–8:50 A.M. — **Dean's Welcome**

Troy McKenzie, Dean and Cecilia Goetz Professor of Law, NYU School of Law

8:55–9:45 A.M. — **Fireside Chat: Risks from Integrating AI into Consumer-Facing Applications**

MODERATOR:

Jennifer Arlen, Faculty Director, PCCE

SPEAKER:

Eugene Soltes, McLean Family Professor of Business Administration, Harvard Business School

9:50–10:50 A.M. — **Panel: Governance of Enterprise Risk**

MODERATOR:

Tom Glocer, Lead Director, Morgan Stanley, Merck; Executive Chairman and Founder, BlueVoyant

PANELISTS:

Mark Beasley, Alan T. Dickson Distinguished Professor of Accounting, North Carolina State, Poole College of Management

Will Clarke, Independent Director, Iten Defense; Advanced Leadership Fellow, Harvard University; Partner, Newport, LLC

Avi Gesser, Partner, Debevoise & Plimpton

Robert Werner, Board Director, DB USA Corp., Deutsche Bank Trust Company Americas

10:50–11:10 A.M. — **Networking Coffee Break**

11:10 A.M.–12:10 P.M. — **Panel: Implications of AI for Talent Management**

MODERATOR:

Alicia Syrett, Founder and CEO, Pantegrion Capital LLC; Founder, Chairs & Leads

PANELISTS:

Gail Fierstein, Founder, GSF Advisory; former Chief People Officer, CaaStle

Samantha Kappagoda, Independent Director, UBS Funds; Chief Data Scientist, Numerati® Partners LLC; Visiting Academic, Courant Institute of Mathematics, Computing and Data Science, New York University

Suzan Morno-Wade, Board Director, Veritiv, Latham Pool Group; former Chief Human Resources Officer, Xerox

Jane Sadowsky, Board Director, Allied Gold Inc., Nexa Resources, Scientific Games; Senior Advisor, Head of Diversity and Inclusion, Moelis and Company

12:25–1:35 P.M. Networking Lunch and Keynote

Lipton Hall, 108 West Third Street, Lower Level

MODERATOR:

Ed Rock, Martin Lipton Professor of Law, NYU Law

SPEAKER:

Paul Singer, President, Co-Chief Executive Officer, and Co-Chief Investment Officer, Elliott Investment Management L.P.

1:45–2:35 P.M. Breakout Sessions

AI Risk Management Tabletop Simulation for Officers and Directors

Vanderbilt Hall, 40 Washington Square South, Greenberg Lounge

SESSION LEADER:

Joanna Ridgway, Chief Revenue Officer, Cien.ai

SPEAKERS:

Tamara Gracon, CEO, TBG Consulting

Paula Fontana, CMO and Board Member, iluminr

Accounting for Directors and Officers

D'Agostino Hall, 108 West 3rd Street, Lower Level, Straus Faculty Club

SPEAKERS:

David Berrey, Senior U.S. Audit Partner and Vice Chair, Deloitte

Lou Romeo, Audit Partner, Deloitte

2:45–3:45 P.M. Panel: Board Refreshment and Structure

MODERATOR:

David Katz, Partner, Wachtell, Lipton, Rosen & Katz

PANELISTS:

John de Figueiredo, Distinguished Professor of Law, Strategy, and Economics, Duke University; Director, Employers Insurance Group

Virginia Gamble, Board Chair, Nutanix; Board Director, Virtu Financial, Evertec, 10x Banking, Consumer Edge

Laurel McCarthy, Consultant, Board Advisory Practice, Spencer Stuart

Richard Zabel, General Counsel, Chief Legal Officer, and Head of Research, Elliott Investment Management L.P.

3:45–4:05 P.M. Networking Coffee Break

4:05–5:00 P.M. Keynote and Fireside Chat

MODERATOR:

Ed Rock, Martin Lipton Professor of Law, NYU Law

SPEAKER:

Karen Valihura, former Justice, Delaware Supreme Court

5:00–5:45 P.M. Networking Reception

Friday, November 20, 2026

Furman Hall, 245 Sullivan Street, Lester Pollack Colloquium Room, 9th Floor

8:30–8:50 A.M. — **Registration and Continental Breakfast**

8:50–9:00 A.M. — **Welcome and PCCE Rule**

9:00–9:30 A.M. — **Presentation on Director Liability**

SPEAKER:

Jennifer Arlen, Faculty Director, PCCE

9:35–10:35 A.M. — **Panel: When Can Legal Risk Turn into Enterprise Risk?**

MODERATOR:

Jennifer Arlen, Faculty Director, PCCE

PANELISTS:

Martine Beamon, Partner, Davis Polk & Wardwell

Bruce Karpati, Partner and Global Chief Compliance Officer, KKR

Matt Miner, General Counsel and Vice Chair, KPMG US

Jane Sherburne, Principal, Sherburne PLLC; Board Director, Perella Weinberg Partners, HSBC North America Holdings, and Teledyne Technologies, Inc.

10:35–10:50 A.M. — **Networking Coffee Break**

10:50–11:50 A.M. — **Simulation: Cybersecurity Tabletop**

MODERATOR:

Erez Liebermann, Partner, Debevoise & Plimpton

PANELISTS:

Douglas Bloom, Managing Director, Deputy Global Head of Cyber, Information Security and Technology Risk, Morgan Stanley

Judith Germano, Board Director, Dime Community Bank; Distinguish Fellow, NYU Center for Cybersecurity; Founder & Lead Counsel, GermanoLaw LLC

Judy Titera, Board Director, MS Transverse, Nemours Children's Health System; former Chief Privacy Officer, USAA

Kira Whitacre, Managing Director, Senior Counsel, Evercore

12:05–1:05 P.M. — **Networking Lunch and Keynote**

Lipton Hall, 108 West 3rd Street, Lower Level

MODERATOR:

Jennifer Arlen, Faculty Director, PCCE

SPEAKER:

David Woodcock, Director of Enforcement, U.S. Securities and Exchange Commission

1:20–2:10 P.M. Breakout Sessions

Antitrust/Unfair Competition

D'Agostino Hall, 108 West 3rd Street, Lower Level, Straus Faculty Club

SPEAKER:

Lisl Dunlop, Partner, Axinn LLP
Daniel Francis, Professor, NYU Law
Emma Mittelstaedt, Adjunct Professor, NYU Law

Building Supply Chain Resilience

Furman Hall, 245 Sullivan Street, Lester Pollack Colloquium, 9th Floor

SPEAKERS:

Sean Laffere, Managing Director, Alvarez & Marsal
Ben YoKell, Managing Director, Alvarez & Marsal

2:30–3:30 P.M. Simulation: Crisis Management

MODERATOR:

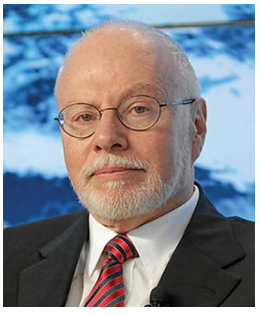
Kristin Mace, Partner, Covington & Burling

PANELISTS:

Steven Barg, Global Co-Head of Engagement, Elliott Investment Management
Jacquelyn Kasulis, Partner, Kirkland & Ellis
Sharon Cohen Levin, Partner, Sullivan & Cromwell
Winston Paes, Partner, Debevoise & Plimpton

3:30–4:15 P.M. Networking Reception

Keynote Speakers



Paul E. Singer

President, Co-Chief Executive Officer, and Co-Chief Investment Officer, Elliott Investment Management L.P.

Paul E. Singer is the Founder, President, Co-Chief Executive Officer, and Co-Chief Investment Officer of Elliott Investment Management. Launched in 1977 with $1 million of capital, total assets under management for the Elliott funds have grown to approximately $79.8 billion as of December 31, 2025. Elliott is a Florida-based trading firm with affiliated offices elsewhere. Mr. Singer is the Founder of the Paul E. Singer Foundation and Co-Founder of Start-Up Nation Central, an Israel-based nonprofit organization. He is also Chairman Emeritus of the Manhattan Institute for Policy Research. Mr. Singer holds a B.S. in Psychology from the University of Rochester and a J.D. from Harvard Law School.



Eugene Soltes

McLean Family Professor of Business Administration, Harvard Business School

Eugene Soltes is the McLean Family Professor of Business Administration at Harvard Business School and the Founder/Director of Integrity Lab, a compliance analytics and advisory company. His expertise lies in the use of data and quantitative methods to analyze organizational culture and conduct. Professor Soltes has supported organizations' development of data-driven compliance programs and has published in leading academic and practitioner journals on the use of data science to understand and evaluate compliance program design. He is the author of *Why They Do It: Inside the Mind of the White-Collar Criminal* and co-author of *Corporate Criminal Investigations and Prosecutions*.



Hon. Karen Valihura

Distinguished Professor of Corporate Law and Director of Corporate Law, Farnan School of Law, Wilmington University; Former Justice, Delaware Supreme Court

The Honorable Karen Valihura was sworn in as Justice of the Supreme Court of Delaware on Friday, July 25, 2014. Her corporate litigation practice included complex commercial and corporate governance issues, federal and state securities matters, as well as mergers and acquisitions and other transactional litigation. Prior to her appointment to the Supreme Court, Justice Valihura was a partner at Skadden, Arps, Slate, Meagher & Flom, LLP, where she practiced law from 1989 until her appointment to the court in 2014. Justice Valihura served on the Advisory Board of the John L. Weinberg Center for Corporate Governance and served as chair of the Delaware Supreme Court's Board on Professional Responsibility and as chair of the Delaware Supreme Court's Permanent Ethics Advisory Committee on the Delaware Rules for Professional Conduct. As a justice, she was selected by the National Association of Corporate Directors to the NACD Directorship 100, honoring the most influential people in corporate governance. Justice Valihura received her undergraduate degree from Washington and Jefferson College in 1985 and her law degree from the University of Pennsylvania Law School. She served as a law clerk to Judge Robert E. Cowen of the U.S. Court of Appeals for the Third Circuit.



David Woodcock

Director of Enforcement, U.S. Securities and Exchange Commission

David Woodcock was appointed Director of the SEC's Division of Enforcement in May 2026. He previously served as Director of the agency's Fort Worth Regional Office from 2011 to 2015, where he led enforcement lawyers, accountants, and examiners, and created and served as chair of the SEC's cross-office and cross-division Financial Reporting and Audit Task Force, which was designed to enhance the SEC's detection and prosecution of violations involving accounting and false financial statements. Immediately prior to returning to the division, Mr. Woodcock was a partner and chair of the Securities Enforcement Practice Group at a major law firm. In that role, he focused on regulatory enforcement, internal investigations, and corporate governance. He also previously served as a senior in-house corporate attorney at a major oil and gas company. Mr. Woodcock earned his bachelor's degree in accounting from Louisiana State University and his J.D. from the University of Texas School of Law. Mr. Woodcock is also a former CPA.

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Executive Vice President and General Counsel, Merck & Co., Inc.


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Acknowledgments

PCCE would like to thank the people who provided us with their expert advice to help us prepare for this event, including: George Canellos, Samantha Kappagoda, Joanna Ridgway, Ed Rock, Lisa Shalett, Alicia Syrett, Richard Zabel, NACD, the Nasdaq Center for Board Excellence, and the PCCE Board of Advisors. We are deeply grateful for their help.

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