Full text for Figure 3: Public Company and Subsidiary Defendants: Monetary Settlements and Cooperation Noted (FY 2017–FY 2026). The figure consists of stacked bars representing fiscal years between FY 2017 FY 2026 inclusive. Each stacked bar is divided into four categories; these categories are as follows (from top to bottom): No Cooperation and No Monetary Settlement, No Cooperation and Monetary Settlement, Cooperation and No Monetary Settlement, and Cooperation and Monetary Settlement. In FY 2017, 6% of defendants neither cooperated nor paid monetary settlements, 41% of defendants did not cooperate and paid monetary settlements, 3% of defendants cooperated and did not pay monetary settlements, and 51% of defendants cooperated and paid monetary settlements. There were 69 defendants in FY 2017. In FY 2018, 4% of defendants neither cooperated nor paid monetary settlements, 34% of defendants did not cooperate and paid monetary settlements, 4% of defendants cooperated and did not pay monetary settlements, and 59% of defendants cooperated and paid monetary settlements. There were 80 defendants in FY 2018. In FY 2019, 3% of defendants neither cooperated nor paid monetary settlements, 20% of defendants did not cooperate and paid monetary settlements, 5% of defendants cooperated and did not pay monetary settlements, and 72% of defendants cooperated and paid monetary settlements. There were 109 defendants in FY 2019. In FY 2020, 2% of defendants neither cooperated nor paid monetary settlements, 36% of defendants did not cooperate and paid monetary settlements, 3% of defendants cooperated and did not pay monetary settlements, and 59% of defendants cooperated and paid monetary settlements. There were 66 defendants in FY 2020. In FY 2021, 2% of defendants neither cooperated nor paid monetary settlements, 42% of defendants did not cooperate and paid monetary settlements, 4% of defendants cooperated and did not pay monetary settlements, and 53% of defendants cooperated and paid monetary settlements. There were 53 defendants in FY 2021. In FY 2022, 1% of defendants neither cooperated nor paid monetary settlements, 36% of defendants did not cooperate and paid monetary settlements, 1% of defendants cooperated and did not pay monetary settlements, and 61% of defendants cooperated and

paid monetary settlements. There were 75 defendants in FY 2022. In FY 2023, 1% of defendants neither cooperated nor paid monetary settlements, 30% of defendants did not cooperate and paid monetary settlements, 9% of defendants cooperated and did not pay monetary settlements, and 60% of defendants cooperated and paid monetary settlements. There were 102 defendants in FY 2023. In FY 2024, 10% of defendants neither cooperated nor paid monetary settlements, 15% of defendants did not cooperate and paid monetary settlements, 5% of defendants cooperated and did not pay monetary settlements, and 71% of defendants cooperated and paid monetary settlements. There were 103 defendants in FY 2024. In FY 2025, 3% of defendants neither cooperated nor paid monetary settlements, 24% of defendants did not cooperate and paid monetary settlements, 6% of defendants cooperated and did not pay monetary settlements, and 67% of defendants cooperated and paid monetary settlements. There were 66 defendants in FY 2025. In FY 2026, 21% of defendants neither cooperated nor paid monetary settlements, 26% of defendants did not cooperate and paid monetary settlements, 16% of defendants cooperated and did not pay monetary settlements, and 37% of defendants cooperated and paid monetary settlements. There were 19 defendants in FY 2026.