Public Company and Subsidiary Defendants: Timing of Resolutions and Enforcement Venue

FY 2017–FY 2026

SEC Fiscal Year of Initiation	Civil Actions Initiated and Resolved on Same Date	Civil Actions Initiated and Resolved on Different Dates	Administrative Proceedings Initiated and Resolved on Same Date	Administrative Proceedings Initiated and Resolved on Different Dates
2017	6	1	58	0
2018	8	4	61	0
2019	4	3	89	0
2020	5	3	54	0
2021	1	6	46	0
2022	3	5	60	0
2023	4	3	84	0
2024	2	3	75	0
2025	3	0	53	0
2026	1	3	12	0

Note: Relief defendants are not considered. Only public company and subsidiary defendants are considered when determining timing of resolution.

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