



CII-NYU Corporate Governance Bootcamp

November 17–19, 2021 | Virtual Event

PRESENTED BY



Institute for
**Corporate
Governance
& Finance**
NYU School of Law

Wednesday, November 17

Bootcamp Basics – Introduction to Corporate Governance

10:30 AM	Introductions Edward Rock, Martin Lipton Professor of Law and Director, Institute for Corporate Governance & Finance, NYU School of Law Amy Borrus, Executive Director, Council of Institutional Investors
10:45 AM-11:45 PM	Corporate Governance Edward Rock, NYU Law
11:45 AM-12:00 PM	Break
12:00 PM -1:00 PM	How to Read a Financial Statement April Klein, Professor, NYU Stern School of Business
1:00 PM-1:30 PM	Break
1:30 PM-2:30 PM	Executive Compensation Ryan Bubb, Professor, NYU Law
2:30 PM-2:45 PM	Break
2:45 PM-3:45 PM	Securities Regulation Stephen Choi, Professor, NYU Law

End of Bootcamp Basics

Program for Governance Professionals with Some Experience

4:00 PM-5:00 PM	Introductions and Shareholder Engagement on Climate & Diversity Introduction to Advanced Program: David Katz, Partner, Wachtell, Lipton, Rosen & Katz John Galloway, Principal, Global Head of Investment Stewardship, Vanguard Laura Hewett, Vice President, Corporate Governance, Southern Company Diana Lee, Director of Corporate Governance & ESG Analyst, AllianceBernstein Moderator: Amy Borrus, CII
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5:00 PM – 5:15 PM **Break**

5:15 PM-6:00 PM **Fireside chat with John Coates**
John Coates, John F. Cogan Jr. Professor of Law and Economics, Harvard Law School;
former SEC General Counsel
Moderators: Allison Bennington, Partner, PJT Partners, and Robert Jackson Jr.,
Professor of Law, NYU; former SEC Commissioner

Thursday, November 18

Program for Governance Professionals with Some Experience (continued)

11:00 AM-11:50 AM **Proxy Plumbing**
Bruce Goldfarb, CEO, Okapi Partners;
Scott Winter, Managing Director, Innisfree M&A
Moderator: Edward Rock, NYU Law

11:50 AM-12:00 PM **Break**

12:00 PM-1:00 PM **Executive Compensation: Analyzing Say-on-Pay Proposals**
Christina Maguire, Retired Managing Director, BNY Mellon; Current MBA candidate
Kern McPherson, Vice President, Research and Engagement, Glass Lewis

1:00 PM-1:30 PM **Break**

1:30 PM-2:15 PM **Fireside Chat with Travis Laster**
J. Travis Laster, Vice Chancellor, Delaware Court of Chancery
Moderator: Edward Rock, NYU Law

2:15 PM-2:30 PM **Break**

2:30 PM-3:15 PM **Fireside chat: The Role of a Director**
Ann Yerger, Independent Director, Bed Bath & Beyond, Hershey Entertainment and
Resorts; Advisor, North American Board Practice, Spencer Stuart
Moderator: Christina Maguire, Retired Managing Director, BNY Mellon; Current MBA
candidate

3:15 PM-3:30 PM **Break**

3:30 PM-4:30 PM **Role of Active Portfolio Managers in Voting**
Ingrid Dyott, Co-Portfolio Manager, Neuberger Berman
Thomas Lee, Executive Director & CIO, New York State Teachers Retirement System
Ashley Woodruff, Associate Portfolio Manager, T. Rowe Price
Moderator: Jeff Warshauer, Corporate Governance Officer, New Jersey Division of
Investment

4:30 PM-4:45PM **Break**

4:45 PM-5:30 PM **Fireside Chat with Jeff Ubben**
Jeff Ubben, Founder & Managing Partner, Inclusive Capital Partners
Moderator: Edward Rock, NYU Law

Friday, November 19

Program for Governance Professionals with Some Experience (continued)

11:00 AM -12:00 PM **Governance of Young Public Companies**
Craig E. Marcus, Partner, Ropes & Gray
Timothy Walsh, President & CEO, CCMP Capital
Barbara Yanni, Director, Trevana, Vaccinex and Akcea
Moderators: David Hennes, Partner, Ropes & Gray; Edward Rock, NYU Law

12:00 PM-12:10 AM **Break**

12:10 PM-1:00 PM **Shareholder Proposals**
Michael Garland, Assistant Comptroller, Office of the New York City Comptroller
James McRitchie, Publisher, CorpGov.Net
Ariel Smilowitz, Associate, BlackRock
Josh Zinner, CEO, Interfaith Center on Corporate Responsibility
Moderator: Ken Bertsch, Former Executive Director, CII

1:00 PM-1:30 PM **Break**

1:30 PM-2:30 PM **The Role of Institutional Investors in Shareholder Litigation**
Carolina de Onis, Managing Director, General Counsel & Chief Compliance Officer, The
University of Texas/Texas A&M Investment Management Company
Anya J. Freedman, Assistant City Attorney, Public Pensions General Counsel Division,
Office of the Los Angeles City Attorney
Mark Lebovitch, Partner, Bernstein Litowitz Berger & Grossmann
Moderator: Edward Rock, NYU Law

2:30 PM **Closing Remarks**

End of Program for Governance Professionals with Some Experience