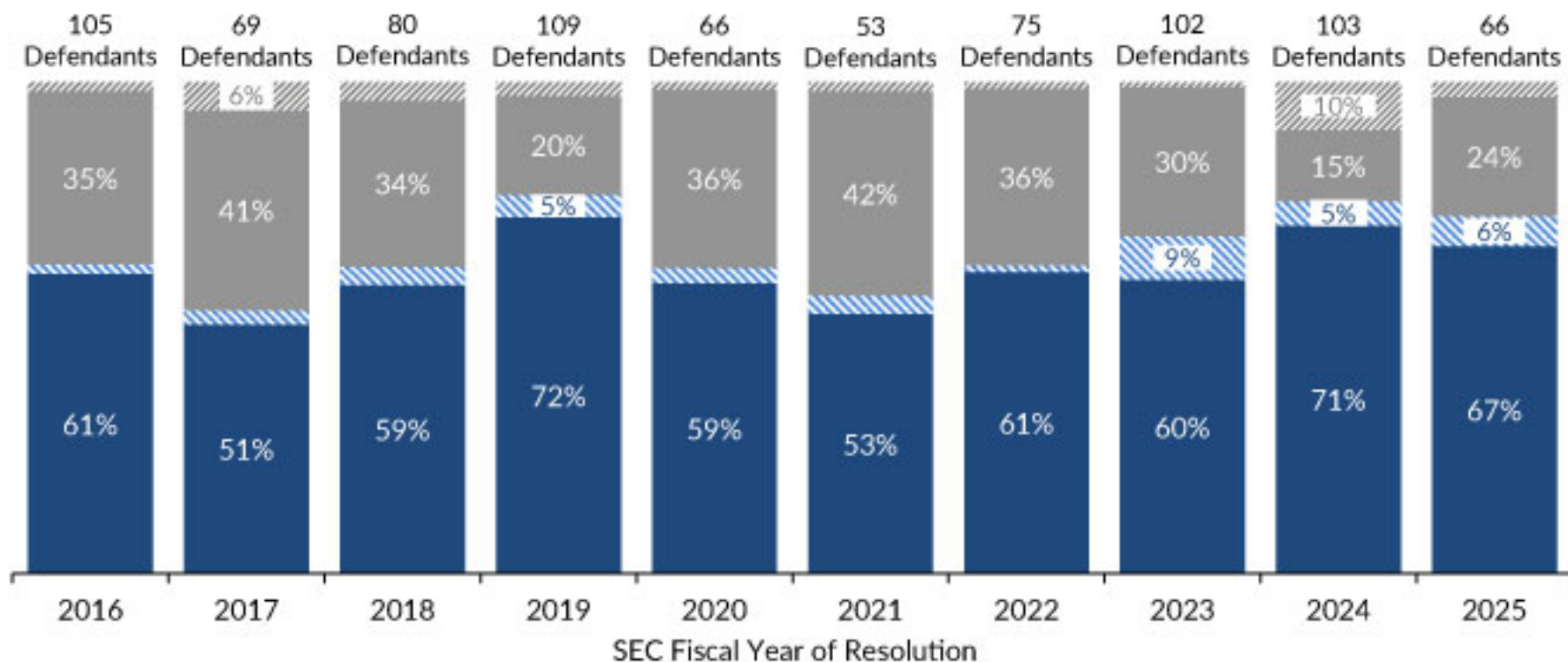


# Public Company and Subsidiary Defendants: Monetary Settlements and Cooperation Noted FY 2016–FY 2025

■ Cooperation and Monetary Settlement  
■ No Cooperation and Monetary Settlement  
▨ Cooperation and No Monetary Settlement  
▨ No Cooperation and No Monetary Settlement



Note: Settlements are counted at the defendant level. Relief defendants, individual defendants, and non-public/non-subsubsidiary defendants are not considered. Defendants that did not settle, either because the action is ongoing or because the action was resolved through trial, are excluded. A defendant with cooperation indicates the defendant cooperated with the SEC prior to the non-trial resolution of that action. The words "cooperation" or "remediation" must be mentioned in the document detailing the non-trial resolution, or the SEC must acknowledge voluntary reporting by the defendant. Percentages may not add to 100% due to rounding.